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## SIGART NEWSLETTER

The SIGART Newsletter is a bimonthly publication of the Special Interest Group on Artificial Intelligence of the Association for Computing Machinery. The Newsletter reports on projects being conducted by the artificial intelligence research community and generally reviews current progress in the state-of-the-art. Correspondents report news from local SIGART Chapters and other AI Centers.

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The Editors encourage contributions from authors, including Letters to the Editor (AI Forum), Technical Contributions (1 to 6 pages), Abstracts (preferably 100-200 words), Book Reviews, Bibliographies of Special Topics in AI, News Items (Conferences, Meetings, Course Announcements, Personals, etc.), Advertisements (New Products or Classified Advertising), Puzzles, Poems, Cartoons, etc. Material may be reproduced from the Newsletter for non-commercial purposes with credit to the author and SIGART.

Anyone interested in acting as editor for a special issue of the Newsletter devoted to a particular topic in AI is invited to contact the Editor. Letters to the Editor will be considered as submitted for publication unless they contain a request to the contrary. Technical papers appearing in this issue are unrefereed working papers, and opinions expressed in contributions are to be construed as those of the individual author rather than the official position of SIGART, the ACM, or any organization with which the writer may be affiliated.

You are invited to join and participate actively. SIGART membership is open to members of the ACM upon payment of dues of \$3.00 per year and to non-ACM members upon payment of dues of \$5.00 per year. To indicate a change of address or if you wish to become a member of SIGART, please complete the form on the bottom of the last page of this issue.

Copy deadline for the February Issue: January 25th.

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CHAIRMAN'S MESSAGE

This is the traditional time of year to wish everyone a Happy Holiday Season and a Prosperous New Year. It is also a time for reflection; for stepping back from our day-by-day activities to examine our accomplishments and failures and goals. Throughout the year, the press of immediate needs screens out longer term issues. For this reason, the break in our daily schedule at this Holiday Season is an important opportunity to evaluate ourselves and our efforts this past year.

I know of no greater wish than for you to find, in addition to health and happiness, that you've grown in capability, responsibility, and in service, and that you've addressed important issues without getting lost in the immediate details. The failure to see and heed the larger picture is usually a major limitation on our ability to grow and advance.

Organizations, much like individuals, are largely preoccupied with immediate problems. It is therefore most appropriate that SIGART is currently undergoing such self evaluation (through last issue's questionnaire) of its goals, directions, and accomplishments. The increasing importance of AI techniques in applications and other disciplines gives us many opportunities, both as individuals and as an organization, to grow in new directions. I hope the New Year finds each of us willing and able to accept these challenges.

R.M.B. 11/20/73

## EDITOR'S ENTRY

## 1. Foerster Public Lecture at Berkeley: "Computers and the Mind"

The Foerster Memorial Lectureship Series (on the Immortality of the Soul) was inaugurated at the University of California in 1928. Since that time, except for a brief lapse between 1965 and 1968, this series has played host to such distinguished theologians as Rev. Fulton J. Sheen, Bishop James A. Pike, and Dr. Paul J. Tillich (Harvard Divinity School). However, other noted lecturers such as Aldous Huxley (writer), Loren C. Eiseley (anthropologist), and Sir John C. Eccles (well-known neurobiologist from SUNY at Buffalo) have contributed to the series.

In an attempt to update the series, the 1973 lecture on October 25th was a debate on "Computers and the Mind" held at Zellerbach Playhouse on the Berkeley campus. Participants included Professors Seymour Papert (AI Laboratory at MIT), Hillary Putnam (Philosophy Department, Harvard), and Donald M. MacKay [pronounced MacEye] (Professor of Communication at the University of Keele, Staffordshire, England).

The format called for three one-hour presentations, one by each participant, followed by a general discussion (unfortunately, the audience was never included). Starting at 3:00 PM and with approximately 2 1/2 hours scheduled for dinner (the speakers were sequestered by one of the deans to a private dinner party), things didn't finish up until after 10:00 PM, and this was quite a long time for such an enthusiastic audience to absorb one-way communication. Nevertheless, the talks (and later interaction) were well worth the audience's effort.

In the first lecture, subtitled "The Simplicity of Mind" or "Talking about Talking about Artificial Intelligence", Prof. Papert called for a new epistemological approach to AI <\*N1> to replace the old inadequate approach of trying to find a single powerful deductive procedure with sufficient generality to account for human intelligence. He gave numerous examples such as bicycle riding, catching a baseball, and elementary scene analysis to illustrate the apparent simplicity of what might be imagined to be extraordinarily complex feats. He then cited Herbert Simon's hypothesis <\*N2> that the observed complexity of human behavior may reside largely in the complexity of man's environment rather than in his intelligence per se.

In talking further about the "artificiality" of human intelligence, he asked us to consider two skilled chess players, both of equal caliber, but one who acquired his talent through a careful and lengthy reading of chess books, while the other had only played a half-dozen games in his whole life. At first blush



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it may seem that the well-read player has capitalized on his rather pedestrian direct "knowledge" of the game, while the brilliant newcomer relied solely on native "intelligence". Yet how can we characterize the new-comer's "knowledge" of game-playing strategy and tactics in general? Could this not also be regarded as an exercise of knowledge too, but of a different sort?

Papert then suggested that AI is akin to the field of lexicography of several hundred years ago, before dictionaries were written down. In both cases a great deal of common sense knowledge has yet to be encoded in a form that one can deal with. Until we tried to write computer programs to operate in the real world, we never needed to "understand the ordinary." Papert also presented a metaphor between AI and aeronautics, suggesting that just as studying the structure of feathers is not the way to learn how birds fly, so studying neurons in order to learn how people think is a limited preoccupation.

In conclusion from among the numerous other models that have been proposed, Papert urged the audience to regard as the best model of the human mind, a complex network of interacting computer programs.

In the second presentation, Prof. Putnam generally supported Prof. Papert, giving examples from number theory (Wilson's Theorem), Newton's contribution to Kepler's Laws (concerning the elliptical orbits of planets around the sun), and the explanation of why a square peg won't fit through a round hole (from a modern physics point of view). However, he found it difficult to believe that general-purpose intelligence could result from the accumulation of a large number of sub-programs, each of which had a severely restricted solution space. He argued that prehistoric man, whose brain evolved millions of years ago in an environment with no hint of the sort of complexity that characterizes modern civilization, nevertheless in principle has the capability to be educated (by sending him to a university or whatever) in order to deal successfully with today's world. He suggested that it is this "capacity to know" that is involved in intelligence and not a linking of innumerable, restricted subroutines in a network under a "big switch." He concluded by appealing to automata theory to point out that the distinction between "brain stuff" and "soul stuff" is really irrelevant for psychology.

Prof. MacKay, a Christian theologian as well as a computer scientist, was quite interested in the structure of personal self-awareness or consciousness in men and machines (what he referred to as the "I story") and stated that it is still an open question as to whether machines could be programmed to have an "I story." He then observed that it should be as easy for God (since he made us) to achieve immortality of the human soul (as he

already demonstrated by resurrecting Jesus Christ and reembodying his soul) as it is for a human programmer to run his programs on a different machine. This led to the conclusion that all mechanistically-based science, including AI, is in no way incompatible with theistic beliefs, arguments by uninformed theologians notwithstanding. To the contrary, it is quite congenial, and Prof. MacKay distinctly encouraged research in AI, which was quite refreshing.

During the joint discussion period which followed, Prof. Papert made a number of incisive observations (the two other participants occasionally had difficulty in getting him to relinquish the microphone) including, "just as the history of programming languages has been an attempt to make programs as remote as possible from the digital character of machine hardware, human children strive through learning to escape from their own biological heritage."

In the closing minutes a debate emerged between MacKay and Papert on the extent to which (rational) humans might feel that their dignity was lessened by the advent of highly intelligent machines. MacKay argued that even if Papert were an intelligent android (humanoid automaton) instead of a human being, his own self-esteem would in no way be threatened. Papert never really had time to bring his argument to its natural conclusion, but he wondered how society as a whole might respond to such a development.

#### NOTES

<N1> Papert's use of this term in AI is somewhat different, although similar in spirit, to the use John McCarthy has made of it over the years to distinguish the "engineering" or "heuristic" component of AI from the part concerned with causal reasoning or the relation between "knowledge" and "belief."

<N2> H. A. Simon, THE SCIENCES OF THE ARTIFICIAL, (MIT Press, 1969), p. 25.

<N3> He clearly was interested in including non-digital as well as digital machines in his notion.

#### 2. AI at the IEEE SMC Meeting in Boston

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Dr. Amand Mandra (Mitre Corporation, McLean, Virginia) and I recently served as co-chairmen of a session on Artificial Intelligence at the IEEE Systems, Man, and Cybernetics Society 1973 International Conference held in Boston, Massachusetts, November 5-7, 1973. The following papers were presented:

(1) "Problem Generation and Solution" by J. M. Perry and Elliot B. Koffman, University of Connecticut at Storrs.

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(2) "A Computer Controlled Rotating-Belt Hand for Orienting Objects" by John R. Birk, University of Rhode Island at Kingston.

(3) "Theory Formation by Machine: A General Framework of the Golem System" by Alois Glanc, The City University of New York in Flushing.

(4) "An Artificial Intelligence Approach to Automatic Speech Recognition" by Steven E. Levinson, University of Rhode Island at Kingston.

(5) "The Four Faces of HAL" by Howard A. Peelle and Edward M. Riseman, University of Massachusetts at Amherst.

(6) "An Augmented Active Image Transmission System for Visual Man-Machine Interaction" by Harold Alsberg of JPL and California Institute of Technology, Pasadena, California.

Other papers in the Proceedings of the Conference relevant to AI but not presented in this session, are as follows:

(1) "Man and Computer Construction Techniques for the Generation of Crossword Puzzles" by Lawrence J. Mazlack, University of Guelph, Ontario, Canada.

(2) "A Structure of Memory in Concept Formation" by T. M. Khalil, University of Florida at Gainesville and Vladimir Lovitsky, Kharkov Institute of Radioelectronics, U.S.S.R.

(3) "A Method of Concept Formation Based on Functional Decomposition" by Edwin Towster, University of Iowa in Iowa City.

Copies of the Proceedings may be obtained by writing to Mr. David Downing, Publications Chairman, Boston University, Boston, Massachusetts.

One of the highlights of the entire conference was the concluding session, a five-hour commemorative symposium celebrating the 25th anniversary of the publication of Norbert Wiener's book "Cybernetics: Control and Communication in the Animal and Machine." Chaired by Prof. B. Chandrasekharan of Ohio State, the session drew on a number of eminent speakers, most of whom knew Norbert Wiener personally and were able to relate first-hand anecdotes concerning Wiener's personal idiosyncrasies as well as take an objective view of what transpired during this quarter century regarding the promises of cybernetics, which ones have been satisfied and which were not. The list of distinguished speakers included: Michael Arbib (University of Massachusetts), Hans Bremermann (University of California at Berkeley), Collin



Cherry (Imperial College, London), Michael Watanabe (University of Hawaii), Karl Deusch (Harvard University), Rudolf Kalman (University of Florida), and Marvin Minsky (MIT).

I'm not sure whether it was a side effect of Prof. Minsky being the concluding speaker or whether those present were truly more interested in discussing AI than pure cybernetics, but when the floor was opened up to the general audience, the vast majority of the questions pertained to AI and were directed to Prof. Minsky, who as usual did an admirable job of representing the field.

### 3. On-Line SIGART Membership Directory

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You may recall a promise we made some time ago <N1> to provide a directory of our 1831 members, giving up-to-date names and addresses, as an additional feature of the On-Line Newsletter. Although it wasn't easy <N2>, we are pleased to report that our SIGART membership file now exists, and can be accessed over the ARPA Network at SRI-ARC on our directory 'SIGART' under the file 'MEMBERS'. However, we should say that it is indexed in a somewhat unusual way--by geographical location rather than alphabetically by name <N3>. An interesting consequence of this indexing, however, is that one can browse near by his own name and discover other members of SIGART that are physically near by. We hope that you will find this additional capability useful.

#### NOTES

<N1> Advantage No. (5), Item 2, p. 4, SIGART Newsletter, No. 36, October 1972.

<N2> After six months of negotiation and delay, due partially to a change over by the ACM to the IEEE computer system (for billing purposes), I stopped off at ACM Headquarters in New York City on my way back from the East Coast and picked up a computer tape of our membership. We then experienced just about every sort of tape incompatibility imaginable (9 track -> 7 track; 1600 -> 800 bpi density; BDC -> ASCII; etc.) before we succeeded in getting it on our own PDP-10. Clearly, it will be trivial if we ever need to do this again. Incidentally, the folks back at the New York Headquarters are a great bunch of people and don't deserve the vituperation normally heaped on them by those few irate members whose billing account goes astray or whose journals get lost in the mails.

<N3> The file is actually listed alphabetically by State, and within each State in order of increasing zip code.



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4. LISP for IBM 360

3d

The University of Michigan has recently developed a new version of LISP for the 360 now running under the Michigan Terminal System. The major goals were efficiency, compatibility with other LISP systems (MIT, BBN, Carnegie, etc.), and powerful I/O and error recovery procedures. The interpreter is written in 360 assembly language and is now available. The compiler is under development. For a user's manual or other documentation contact:

Bruce Wilcox  
Mental Health Research Institute  
Ann Arbor, Michigan

5. New SIGART Newsletter Reporters

3e

- (1) At MIT, Ms. Andee Rubin replaces Dr. Eugene Charniak.
- (2) At Stanford, University Horace Enea replaces Ms. Peggy Karp.

6. Questionnaires

3f

Please don't forget to send in your October-Issue Questionnaire. As of this date, they are starting to pour in. We hope to have a preliminary summary of the results by the next issue.

7. Holiday Greetings

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Rich Fikes and I would like to join with Bob Balzer in wishing you and yours a safe and happy holiday season.

L.S.C. 11/29/73

3h

## INTERLISP

by

Warren Teitelman

Xerox Research Center

Palo Alto, California

INTERLISP (INTERactive LISP) is a LISP system currently implemented on the DEC PDP-10 under the BBN TENEX time sharing system(\*R1). INTERLISP is designed to provide the user access to the large virtual memory allowed by TENEX, with a relatively small penalty in speed (using special paging techniques described in (\*R2)). Additional data types have been added, including strings, arrays, and hash association tables (hash links). The system includes a compatible compiler and interpreter. Machine code can be intermixed with INTERLISP expressions via the assemble directive of the compiler. The compiler also contains a facility for "block compilation" which allows a group of functions to be compiled as a unit, suppressing internal names. Each successive level of computation, from interpreted through compiled, to block-compiled provides greater speed at a cost of debugging ease.

INTERLISP has been designed to be a good on-line interactive system. Some of the features provided include elaborate debugging facilities with tracing and conditional breakpoints, and a sophisticated LISP-oriented editor within the system. Utilization of uniform error processing through user accessible routines has allowed the implementation of "DWIM," a Do-What-I-Mean facility, which automatically corrects many types of errors without losing the context of computation. The CLISP facility extends the LISP syntax by enabling ALGOL-like infix operators such as +, -, \*, /, =, <, >, AND, OR, etc., as well as IF-THEN-ELSE statements and FOR-WHILE-DO statements. CLISP expressions are automatically converted to equivalent LISP forms when they are first encountered. CLISP also includes list construction operators, a LISP oriented pattern match compiler, and facilities for record declarations.

A novel and useful facility of the INTERLISP system is the programmer's assistant which functions as the interface between the user and the system, and monitors and records all user inputs. The user can instruct the programmer's assistant to repeat a particular operation or sequence of operations, with possible modifications, or to UNDO the effects of specified operations. The goal of the programmer's assistant, DWIM, CLISP, etc. is to provide a programming environment which will "cooperate" with the user in the development of his programs, and free him to concentrate more fully on the conceptual difficulties and creative aspects of the problem he is trying to solve.

INTERLISP is the successor to BBN LISP(\*R3), a system developed first at Bolt Beranek and Newman for the SDS 940, and subsequently for the DEC PDP-10. System development and maintenance is continuing at Xerox Palo Alto Research Center and Bolt Beranek and Newman; implementations for other machines are in progress at the University

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of California, San Diego (for the Burroughs 6700), at the University of Uppsala, Sweden, (for the IBM 370 series), and at Xerox PARC, for the Data General Nova.

A users group has been formed for INTERLISP consisting of all people on the official mailing list maintained by the executive committee (see below). The purpose of the users group is to promote communication among the users, help maintain a standard basic system, and make available other documented packages such as FLIP, a complete format directed list processing system <R4>, TRANSOR, a subsystem which aids in converting programs written in other LISP dialects (e.g., LISP 1.5, Standard LISP) to INTERLISP, and INTERSCOPE, a question-answering system whose data base is the user's programs.

Documentation for INTERLISP is available from Warren Teitelman. A new version of INTERLISP will soon be released that implements the general control-structure scheme described in <R5>, thereby enabling and encouraging use of backtracking, coroutines, and other types of multiple environments.

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<R1> Bobrow, D. G., Burchfiel, J. D., Murphy, D. L., and Tomlinson, R. S., "TENEX, A Paged Time Sharing System for the PDP-10," Communications of the ACM, March 1972.

<R2> Bobrow, D. G., and Murphy, D. L., "The Structure of a LISP System Using Two Level Storage," Communications of the ACM, Vol.15, No.3, March 1967.

<R3> Bobrow, D. G., Hartley, A. K., Murphy, D. L., Teitelman, W., BBN-LISP TENEX Reference Manual, Bolt Beranek & Newman, July 1971, revised February 1972.

<R4> Teitelman, W., FLIP, A Format Directed List Processor in LISP, BBN Report, 1967.

<R5> Bobrow, D. G. and Raphael, B., "New Programming Languages for AI Research," given by D. G. Bobrow at IJCAI-73, August 1973, Stanford University, Xerox PARC Report No. CSL-73-2, August 1973.

## EUROPEAN AISB SUMMER SCHOOL ON KNOWLEDGE SYSTEMS

by

Keith Oatley

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University of Sussex, England

A most successful meeting was held by the Study Group on Artificial Intelligence and Simulation of Behavior at Oxford University this summer. It took the form of a number of Britain's more distinguished workers in AI, Dr. M. B. Clowes, Mr. P. Hayes, Professor H. C. Longuet-Higgins, Mr. A. Mackworth, and Professor D. Michie each giving a series of lectures and leading discussions on the problems of how human and artificial intelligence organize and use knowledge.

The School was limited in numbers to 50 participants, many of them lecturers and research leaders in departments of psychology and computer science. Perhaps the limitation was unfortunate because the very much larger number of applicants meant that many people had to be turned down. However the response did also indicate a very substantial and growing interest in AI in Britain, which the AISB group is now serving.

A good deal of effort in AI at the moment is devoted to problems which people find very easy, but which computationally are very difficult - in particular perception and language. Some of the principles that have emerged from this work indicate the extremely rich and detailed knowledge of the world and of specific problems that need to be embodied in a machine to perform even the simplest linguistic or perceptual tasks in any plausible fashion. Indeed, artificial intelligence work on vision indicates in a striking way the real intelligence of human vision. This was brought out in the lectures of Alan Mackworth of the University of Sussex in which he traced the development of work on scene analysis, the task of forming descriptions of the objects and 3-dimensional structure of a scene by interpreting a 2-dimensional digitized photograph.

It turns out that to do this task successfully there must be embodied in the program not only some understanding of the three-dimensional geometry of the world, but of the kinds of entities it might expect to encounter. It must have knowledge of what two-dimensional appearances result from translations and rotations of various 3-dimensional entities (be they whole objects as in Robert's program, corners as in Clowes's, or surfaces as in Mackworth's), it must know about viewpoint, perspective, lighting, and physical processes such as occlusion and support. Typically successful scene analysis programs have mobilized a variety of these specialized types of knowledge to make interpretations of the grey-scale patterns they were given. Typically also the knowledge in question has needed to be flexibly available at a number of different levels of the program.



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Thus rather than simply being able to categorize patterns in the picture, scene analysis needs to bring to the task a great deal of understanding about the universe it is dealing with. The same kind of conclusion follows from question answering programs, such as the widely-known one by Winograd. Much of the present excitement of Artificial Intelligence (and its importance for related disciplines) is due to the real progress being made with the problems of organizing and using knowledge in perceptual and question answering systems which although artificial, we can now say with a straight face, are also beginning to be intelligent.

5e

Winograd's program was based on Hewitt's computing language PLANNER. This language was motivated partly by the idea of embedding specific knowledge about tasks in procedures which could be called easily from many parts of the program. Pat Hayes of the University of Essex spoke about the important recent developments in programming languages of this kind.

5f

Some of the directions of artificial intelligence are becoming very clear: on the one hand it constitutes a theoretical vehicle for psychology. On the other it opens new vistas of computation in the engineering sense which are quite different, and altogether more challenging than the number-crunching and stock-control applications which dominate much of current computational practice.

5g

#### GROUP D'INTELLIGENCE ARTIFICIELLE - UNIVERSITE D'AIX-MARSEILLE

6

There are about ten people in the group, most of whom are teaching in the Mathematics and Computer Science Departments. These are the projects which are currently being worked on:

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- the programming language PROLOG and its interpreter, based on a mechanical theorem-proving approach.
- a question-answering system in French with automatic inference (all written in PROLOG).
- a heuristic theorem prover (also written in PROLOG).
- the development of basic software for a small TI600 computer made by the French Company, Telemecanique.

## CHESS

## RESPONSE TO SIMON AND CHASE &lt;\*R1&gt;

Prof. Gordon W. Gribble (Department of Chemistry: Dartmouth College; Hanover, New Hampshire) has written a letter entitled "Chess Prodigies" published in the November-December 1973 issue of AMERICAN SCIENTIST (pp. 644-646) in which the author seeks to discredit the Simon-Chase premise that "no one has reached grandmaster level with less than about a decade's intense preoccupation with the game," by citing the examples of Sam Reshevsky and Jose Capablanca.

He also takes issue with the "chunking" of familiar patterns in short term memory by citing the counter example of the astonishing performance of blindfold masters like Najdorf and Koltanowski. Simon and Chase successfully refute these objections, however, in an accompanying letter (pp. 446-447).

<R1> SIGART Newsletter, No. 41, August 1973, p.37.

## FOURTH U.S. COMPUTER CHESS CHAMPIONSHIP

The 2nd-Place Playoff for the 4th U.S. Computer Chess Championship at ACM '73 has now been completed. The standings are as follows:

1. TECH II	Points
PDP-10, Alan Baisley, MIT	2
2. CHAOS	
Univac 1108, I. Ruben et al, Sperry-Rand	1
3. OSTRICH	
Data General Supernova, George Arnold and Monty Newborn, Columbia University	0

Following the three games from the playoff are the six games from the first round of the tournament. We will publish games from rounds 2 and 3 in subsequent issues.

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READER COMMENTARY ON THE CICHELLI HEURISTICS

by

Richard Cichelli

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Allentown, Pennsylvania 18103

7c

Alex Bell (July 20, 1973) on implementing some of my suggested changes to his two move mate solver: "I've got the 'refutation man' working in PL/1 on the IBM 360/195 and that alone seems to give improvements equal to the ones you describe. E.g., 'Bell Figure 1' has dropped from 7 seconds to about 2 seconds and three move mates are averaging about 1 minute."

James Gillogly (July 3, 1973): "The Killer heuristic is the same as your refutations, but applied locally rather than globally. One would expect this to give even better results than a global application because of the closer similarity of starting positions; but in TECH the improvement is not statistically significant in most cases..."

I quote from my letter of August 20 to Alex, and my reply to Jim was similar in content.

"Refutation and killer heuristics are, in my view, static ordering devices designed to find cheaply, likely moves which will generate alpha-beta cutoffs. Both you and Jim Gillogly have contributed to my thinking, which I here clarify.

There appear to be two conflicting goals in these heuristics which I call specificity and applicability. Given that we wish to associate some move, set of moves, or value with some pattern, then specificity measures how accurately the pattern is recognized and applicability measures the number of times the heuristic returns a recommended action. Obviously, the more specific the heuristic the more accurate its recommendation and the lower its applicability. We can thus see the following progression in decreasing specificity of chess patterns.

Actual position -> move -> square-to -> man-moved -> unspecified

Gillogly's use of Al Zobrist's excluding ORing on move bit patterns lets him recognize identical positions (by hashing-error less than .01%) in the game tree and assign the previous back-up value with no further search. (Note: the ORing method solves your equivalent positions by differing paths problem.) Jim's few accurate hits don't pay for his overhead.

Move, square-to, and man-moved are the three levels of specificity my "refutation heuristic" uses. I make associations with moves, not simply movers, hoping that lack of specificity would be compensated for with limiting applicability. The intuitive notion

here is that if some man is moved, then it ceases to perform some of its functions (e.g., defending or attacking) and the opponent's reply which proves this fact has a high enough incidence in the game tree to be a likely candidate to generate a prune. Similarly, if a capture occurs on some square-to, then for any mover to this square, the capture is likely to exist. For the sake of completeness, I include "unspecified" to suggest that moves may simply be ranked, global to the tree, without any reference to local board or move conditions.

Of course, in addition to my refutation static ordering which is global to the search tree, 'DEPTH - 2' data from two plies above is also used in static ordering and 'DEPTH + 2' data is passed back for dynamic ordering."

Note for those implementing chess programs based on Bell's Algorithm: The published program fails to reverse searched plies which are captures with promotion, therefore failing on my problem #7.

Antony Marsland (at the ACM 73 tournament): "What determined the arbitrary order of search in the control run of the problem set? What about random ordering?"

I replied: If square a1 is numbered 1 and square h8 is 64, then the plies of the piece occupying the highest numbered square are searched first. (This results from loading Bell piece lists with an algorithm which reads Cooper-Kozdrowicki board input notation. E.g., problem #4 of the problem set in COKO is

4N34P384P3884Q34K3.88884K34P388).

Further notes on the program:

A brute force tournament program should be able to search nearly 250,000 nodes in three minutes. The Pascal implementation of my program is 1/40th this fast; to achieve tournament rate would require a rewrite in COMPASS (CDC assembler). However, Pascal has proven to be an ideal development tool for writing easily modifiable, readable, structured programs.

Acknowledgments:

I wish to thank Lehigh University for their continued computer time funding of this project.

[Ed. Note: A typographical error was made in the summary of Richard Cichelli's preliminary results in October SIGART Newsletter, s. (10d). The second sentence of the third paragraph should read:

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"Without the heuristics, the program solved the 10 problems by generating 74,485 nodes at a rate of 41.5 nodes per second. With the heuristics, the program solved the problems by generating 33,724 nodes at 35 nodes per second. The overall improvement is 212%." We regret the error.]

LESSONS FROM PERCEPTION FOR CHESS-PLAYING PROGRAMS (AND VICE VERSA)  
by

Herbert A. Simon

1972-73 Computer Science Research Review, pp.35-40

Department of Computer Science

Carnegie-Mellon University

Pittsburgh, Pennsylvania

7d

For nearly twenty years, artificial intelligence and cognitive psychology have maintained a close symbiotic relationship to each other. It has often been remarked that their cooperation stems from no logical necessity. That a human being and a computer are both able to perform a certain task implies nothing for the identity, or even similarity, of their respective performance processes. Each may have capabilities not shared by the other, and may build its performances on those peculiar capabilities rather than upon those they hold in common.

In spite of this logical possibility of total irrelevance of the one field for the other, during the last two decades there has been massive borrowing in both directions. Artificial intelligence programs capable of humanoid performance in particular task domains have provided valuable hypotheses about the processes that humans might use to perform these same tasks, and some of these hypotheses have subsequently been supported by evidence. Bobrow's STUDENT program, for example, which translated story problems into algebraic equations, provided a model, later tested by Paige & Simon for some of the human syntactic processes in performing that task.

Conversely, hypotheses and data about human performance have been important inputs to artificial intelligence efforts. The General Problem Solver, for example, received its early shape from analyses of human thinking-aloud protocols in a problem solving task.

The distance between AI and cognitive psychology has not been the same in all task domains. Until quite recently, for instance, AI research on theorem proving developed in directions quite different from those suggested by the study of human behavior in theorem proving tasks. There is little that is humanoid about resolution theorem proving.

In the domain of chess playing, the distance between AI and cognitive psychology has been neither so close as in the GPS



example, nor so distant as in theorem proving. The early chess playing programs, in their reliance on brute force and machine speed, borrowed little from what was known of human chess playing processes. The clear demonstration by their relatively weak levels of performance that speed was not enough, produced a gradual movement toward incorporating into the programs some of the selective task-dependent heuristics that humans rely heavily upon in their chess playing. However, the strongest chess programs in existence today still rely heavily upon extensive rapid search, usually over thousands or tens of thousands of branches of the game tree.

I should like to describe [in this paper] some efforts on the other side of the line--attempts to explore chess playing mechanisms that can explain human chess performance. These mechanisms may turn out to have important implications for the future of chess playing programs motivated by AI goals. Their own motivation, however, was largely psychological.

#### THOUGHTS ON COMPUTER PROGRAMS THAT PLAY CHESS

by

Francis D. Tuggle

Departments of Computer Science and Business Administration  
The University of Kansas at Lawrence

7e

I have never written a computer program to play chess, so read these remarks with a degree of tolerance and annoyance, both of which are warranted. (But to justify my second comment below, I may be forced to author one sometime.) As I read descriptions of chess programs, tournaments, heuristics, results, etc. in the SIGART NEWSLETTER, the journal ARTIFICIAL INTELLIGENCE, and elsewhere, I succumb to uneasy feelings. Two of these I have been able to identify, isolate, and discuss.

First, emphasis in the field seems to be shifting toward computer-computer chess matches. There are undoubtedly many good reasons for this state of affairs, but despite them, it strikes me as a diversion from the main task of constructing computer programs able to defeat skilled humans at the game of chess. Perhaps this represents stagnation. Faster hardware, larger memory, subtler code, and clever heuristics may only result in small gains on the same plateau. Whatever the reasons, the programs need to be benchmarked against people. (If there was a reliable human TECH <R1> benchmark, and if there were reliable TECH "other programs" benchmarks, then the thrust of this comment would be blunted).

Second, I am struck by an essential "sameness" to most of the chess programs. Let me use some Newell and Simon <R2> terminology. Most (all?) chess programs operate in the problem space of Move Selection: game trees get generated (heuristically)



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and positions get evaluated (heuristically) so a move can be selected and made. Yet chess, human chess anyway, is more than a sequence of moves; the moves are selected to help fulfill a strategy. Let me next employ the thoughts of Botvinnik <p.7--\*R3>: "...the [chess] program must be modelled on human thought processes." It seems to me that what is needed is a second problem space for (intelligent) chess programs, call it a Strategy Selection space. In the second space, a strategy is selected (say, King-side attack), then it is passed to the first problem space (Move Selection), perhaps in the form of specifying certain parameters (e.g., a depth bound, an evaluation function, a plausible move generator). That is, the specifics of choice of move should depend upon what strategy one is trying to follow.

One could argue that strategy selection is implicit in the choice of evaluation function, etc., but in reading the play of human-computer chess games, I get the impression of disjointed play on the part of the computer. It does not seem to smoothly flow. One could also argue that there is but one strategy, namely to obtain checkmate. Yet this is as non-operational as a corporation which announces it will act so as to maximize its long term profit. At least the firm has the good sense to produce 5 year and 10 year plans and to produce annual targets based upon those plans. Should not chess programs also produce and utilize realizable strategic plans? One might also contend that researchers are attempting to build in "strategic" and "long-range planning" into chess programs. Botvinnik <p. 81--\*R3> (or perhaps Krinitiski, or the translator Brown) feels that long-range planning could be enhanced if the goal were adopted of "...strengthen[ing] oneself rather than gain[ing] immediate material advantage." This may improve long-range planning, but it certainly is not the real-time selection of a strategy.

Let me heroically suppose that I have convinced you of the need for a second problem space for Strategy Selection. What would ensue therein? In that problem space, a determination would be made of several items; stage of the game (opening--e.g., no major pieces moved, midgame--e.g., Queen moved, endgame--e.g., both Queens off the board), state of the game (e.g., White is ahead by 2 pawns), history list of previously employed strategy (to provide some possible continuity), and an estimate of the opponent's strategy. These estimates would then be linked, via a decision process, to a strategy (e.g., an attack, the development of pieces, the coordination of pieces, obtaining or maintaining control of the center, responding to an attack, guarding a position, etc.). Since there are various tactics or plans by which any strategy could be accomplished, a second decision process might be employed to select a currently feasible plan.

It appears then that the major obstacles to be overcome in using this approach would be these: (i) the development of the list of

alternative strategies to be considered; similarly, plans or tactics for each alternative might be developed, (ii) the features of the game and opponent to be "noticed", (iii) how the choice of a strategy influences search in the Move Selection problem space, and (iv) what decision process is used to relate the features of the game to the choice of a strategy. (My own research has been concerned with decision processes of this sort; see <\*R4>. For one of the better articles discussing choice of corporate strategy, see <\*R5>).

As an illustration of the use of decision process models in the study of strategy selection, refer to the paradigm of games against an uncertain nature, first stated by Von Neumann and Morgenstern <\*R6>. For such games, there exist a number of different decision rules by which to select an action, e.g., maximax, maximin, minimax regret, etc. One can interpret these choice procedures as representing different strategies of play, e.g., the first is an optimistic one, the second is pessimistic, the third is ex post facto pessimistic, etc. Finally, given these several different strategies, Tuggle et al <\*R4> offer an information processing simulation model of a subject selecting from a given set of alternative strategies. So it is possible to produce mechanisms which intelligently select from a set of strategies.

Now that you have seen the substance of this second, rather lengthy comment, let me briefly address some related issues. The above idea (of a second problem space) has some loose connections to the CP-1 program of Newell, Shaw, and Simon <\*R7>, though it is clearly distinct from the ideas behind CP-1. That program was more of a "simulation of human thought" type than the others of its day; likewise, use of the second problem space method would (presumably) continue the tradition.

Zobrist and Carlson's <\*R8> intriguing advice-taking chess program might be further improved if it could be given strategic as well as tactical advice (their program apparently is only receptive to tactical advice such as "keep your knights off the edge of the board".) It might also be cleaner conceptually to separate the program into two problem spaces.

Another way to interpret my two problem space suggestion is to view it as yet another heuristic; i.e., it should not be adopted until its benefits (strength of game played) are shown to outweigh its costs (increased processing time). My beliefs on this matter should be obvious (and I try to shore up their plausibility in the next paragraph), but, again, Botvinnik <p. xiii-->\*R3> goes to the crux: "the word is quickly spoken, but the deed takes longer." Ergo, I may be forced to write my own chess playing program. (Never having written a chess playing program, I am unexperienced in the practical difficulties that occur and the pragmatic

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compromises that must be effected, but at the same time, I have been able to maintain a sense of perspective over such programs, remaining a detached, objective observer.)

Lastly, anyone reading this who is even remotely linked to the "simulation of human thought" field will see another level to my comments. I am really suggesting that human thought, as regards problem-solving and allied cognitive activities, occurs in two problem spaces--one in which the problem is actually solved (or attempted), and one in which progress on the problem is monitored, solution strategies are selected for use, etc. Based on the evidence Newell and Simon <R2> present, the residence times in the second problem space for most of their subjects is pretty minimal. (However, see the protocol of S8 on pp. 367-374 and their discussion of it on preceding pages. One can find several behaviors on his (S8's) part consistent with this idea of monitoring problem-solving progress, e.g., B13-B15, B110-B121, B131-B133, etc.) But investigations of human play of chess, human behavior on "impossible" problems, and human behavior on problems that admit many strategies (e.g., decision problems) may disclose the empirical validity (or falsity) of my contentions.

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- <R3> Botvinnik, M. M., COMPUTERS, CHESS, AND LONG RANGE PLANNING, (Springer-Verlag, 1970).
- <R4> Tuggle, F. D., Barron, F. H., and Day, R. O., "Simulation of an Individual Making Decisions Under Uncertainty," PROCEEDINGS 1973 WINTER SIMULATION CONFERENCE, January 1973, pp. 13-31.
- <R5> Cohen, K. J. and Cyert, R. M., "Strategy: Formulation, Implementation, and Monitoring," JOURNAL OF BUSINESS, Vol. 46, No. 3, July 1973, pp. 349-367.
- <R6> Von Neumann, J. and Morgenstern, O., THEORY OF GAMES AND ECONOMIC BEHAVIOR (Princeton, 1944).
- <R7> Newell, A., Shaw, J. C., and Simon, H. A., "Chess Playing Programs and the Problem of Complexity," in Feigenbaum and Feldman (eds.), COMPUTERS AND THOUGHT, (McGraw-Hill, 1963), pp. 39-70.
- <R8> Zobrist, A. L. and Carlson, F. R., Jr., "An Advice-Taking Chess Computer," SCIENTIFIC AMERICAN, Vol. 228, No. 6, June 1973, pp. 92-105.



CONFERENCES

1. 2nd MILWAUKEE SYMPOSIUM ON AUTOMATIC CONTROL  
March 29-30, 1974  
The University of Wisconsin at Milwaukee  
Milwaukee, Wisconsin

Papers are being accepted on artificial intelligence, robotic systems, and speech processing.

Contact: Dr. Richard A. Northouse, Program Chairman  
MSAC-74  
Electrical Engineering Department  
University of Wisconsin at Milwaukee  
Milwaukee, Wisconsin 53201

2. 2nd EUROPEAN MEETING ON CYBERNETICS AND SYSTEMS RESEARCH  
April 16-19, 1974  
Vienna, Austria

Contact: Dr. Robert Trappl, President  
Austrian Society for Cybernetic Studies  
Schottengasse 3  
A-1010 Wien 1, Austria

3. 12th ANNUAL SIGCPR (COMPUTER PERSONNEL RESEARCH) CONFERENCE  
July 18-19, 1974  
Colorado University

Contact: Robert W. Reinstedt, Chairman  
SIGCPR Conference  
RAND Corporation  
1700 Main Street  
Santa Monica, California 90406

4. FIRST ANNOUNCEMENT OF THE 2nd INTERNATIONAL JOINT CONFERENCE ON  
PATTERN RECOGNITION  
August 13-15, 1974  
Copenhagen, Denmark

The Second International Joint Conference on Pattern Recognition will take place in Copenhagen in August 1974 under the chairmanship of Professor C. J. D. M. Verhagen, Delft University of Technology, The Netherlands. The Conference will cover all aspects of theoretical and applied pattern recognition. Papers on industrial applications, feature extraction, image processing, and scene analysis are particularly welcomed. It is intended that there will be a special session on pattern recognition applied to urban environmental problems. In addition to invited papers, there will be submitted papers of 10 and 25 minutes duration; also, sessions are planned for student papers and presentation of



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last minute results. The deadline for submission of first drafts of papers is March 15, 1974. Authors will be notified by May 1 as to acceptance of their papers. Camera-ready copies must be submitted before June 15. Excursions will be arranged and a Ladies Program is being planned.

Additional information may be obtained from:

Mr. E. Backer  
E. E. Department  
Delft University of Technology  
Delft, The Netherlands

5. 5TH INTERDISCIPLINARY MEETING ON STRUCTURAL LEARNING  
April 20-21 1974  
University of Pennsylvania at Philadelphia

8e

(Post-sessions are scheduled for April 22-23, 1974.) The meeting will emphasize multidisciplinary contributions of a theoretical and empirical nature with implications for behavioral science and for education. Individuals proposing contributions or wishing to attend the meetings should contact:

Joseph M. Scadura  
University of Pennsylvania  
3700 Walnut Street  
Philadelphia, Pennsylvania

6. CALL FOR PAPERS: 8TH PRINCETON CONFERENCE ON INFORMATION SCIENCES  
AND SYSTEMS  
March 28-29, 1974  
Princeton University, Princeton, New Jersey

8f

Authors are invited to submit abstracts and summaries for consideration by January 11, 1974 to

Prof. M. E. Van Valkenberg  
Princeton Conference Program Director  
Department of Electrical Engineering  
Princeton University  
Princeton, New Jersey 08540

Special sessions are planned this year for the following topics: Applications of error correcting codes, source encoding, games and decision making, picture processing, computer system theory, theory of intractable problems, transportation systems, energy systems, state estimation, computer control, and resource planning and management. Papers need not necessarily be in these areas to receive consideration.

7. CONFERENCE ON COMPUTER GRAPHICS AND INTERACTIVE TECHNIQUES  
July 15-17, 1974

The University of Colorado at Boulder

Contact: Robert L. Schiffman  
Computing Center  
University of Colorado  
Boulder, Colorado 80302

8. EUROPEAN AISB SCIENTIFIC MEETING

A one-day meeting will be held at the University of Edinburgh on Saturday, 5 January, 1974. The meeting, which is open to both members and non-members of the AISB will last from 9:30 A.M. to 6:00 P.M.

There will be four talks, followed by discussion, viz:

'World Models for Blind Robots', by Dr. M. H. E. Larcombe, of the School of Computer science, University of Warwick.

'Problem Solving, And-Or Graphs, and Dynamic Programming', by Dr. U. Montanari, of the Istituto di Elaborazione della Informazione, Pisa.

'Problem-Solving Paradigms', by Dr. R. Kowalski, of the Department of Computational Logic, University of Edinburgh.

The fourth talk will probably be on structured programming by Professor Dijkstra, of the Department of Mathematics, Technische Hogeschool, Eindhoven.

The registration fee of 4.00 will include lunch. Unfortunately, the University is unable to provide accommodation, but details of hotels and guest-houses in the Edinburgh area will be sent to prospective participants.

Further details are available from Lesley Daniel.

Those wishing to attend should send their fee to: -

Mrs. Lesley Daniel  
Department of Computational Logic  
School of Artificial Intelligence  
University of Edinburgh  
9 Hope Park Square, Meadow Lane  
Edinburgh, EH8 9 NW  
Scotland

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9. EUROPEAN ARTIFICIAL INTELLIGENCE AND SIMULATION OF BEHAVIOR (AISB)  
STUDY GROUP

7-10 July 1974

University of Sussex, Brighton, England

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CALL FOR PAPERS

Papers are requested from any of the following major research areas associated with Artificial Intelligence:

- Natural-Language Understanding (Text and Speech)
- Heuristic Problem Solving and Game Playing
- Automatic Program Writing
- Computer Perception (especially vision)
- Artificial Intelligence and Psychology
- Robots
- Theoretical Foundations of Artificial Intelligence
- Special Hardware and Software for AI
- Applications of Artificial Intelligence
- Social Consequences of AI

(It has been decided not to accept papers dealing with statistical pattern-recognition techniques, clustering procedures, alphanumeric text recognition, and such like, since these topics seem to be adequately covered by their own special conferences.)

Complete manuscripts must be received by 1 February 1974. Authors should submit three copies in final draft form, typewritten, double-spaced, with a maximum of ten pages including figures (about 3000 words); a 100-word abstract and a set of descriptive terms characterizing the content should be included.

Each paper will be reviewed; acceptable papers will be returned to the authors by March 30, 1974 for recommended modifications and for retyping on special pages that can be reproduced photographically or by stencil. Final versions of accepted papers will be due by May 15, 1974.

Besides submitted papers, the conference will feature tutorial talks on current topics in AI, special informal discussion sessions, and films.

A preprint volume containing the papers to be presented at the conference will be distributed to attendees. There will be no hard cover volume of these papers published. The conference committee has no objections to conference authors submitting their papers for publication elsewhere, provided that the paper contains a statement that it was previously presented at AISB.

General enquiries about the Conference should be directed to:

Dr. K. Oatley  
General Chairman, AISB Summer Conference, 74  
Laboratory of Experimental Psychology  
University of Sussex  
Brighton, Sussex BN1 9QG,  
England, U.K.

Manuscripts and enquiries about the program should be directed to:

Dr. H. G. Barrow  
Program Chairman, AISB Summer Conference, 74  
School of Artificial Intelligence  
University of Edinburgh  
Hope Park Square, Meadow Lane  
Edinburgh, Eh8 9NW  
Scotland

Reservations to attend the Conference should be made by writing to:

Dr. Margaret Boden  
School of Social Sciences  
University of Sussex  
Brighton, Sussex BN1 9QG  
England, U.K.

enclosing a conference fee of 4.00 for non-members of AISB, or 3.00 for members of AISB. This fee will entitle registered participants to attend the sessions, and to receive the booklet of pre-circulated papers. Those wishing to stay at the University will be expected to arrive during the evening of 7 July (though no meal will be provided on that evening) and leave after lunch on 10 July. The total charge for accommodation and meals will be of the order of 14.00 (including VAT), payable on arrival. Application and payment of conference fees should be made as soon as possible, and preferably not later than 30 March 1974. Reservations for accommodations can be accepted between this time and 15 June 1974 with a surcharge of 1.00. We unfortunately cannot guarantee accommodation to people applying after 15 June.



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BOOK REVIEW

PURPOSIVE EXPLANATION IN PSYCHOLOGY

by

Margaret A. Boden  
Harvard University Press  
Cambridge Massachusetts, 1972

Reviewed by Kenneth Mark Colby of the Stanford University AI Project

Artificial Intelligence is a type of theoretical psychology studying mental symbol-processing functions in living and nonliving, real and abstract systems. Psychology's methods have been limited to experimental, survey, mathematical, interview, and participant-observation approaches. AI adds a new formal method in which theories are cast in the formalism of a programming language. Many workers in AI lack knowledge about psychological theories relevant to their interest. This is the perfect book for them.

Boden, a Lecturer in psychology and philosophy at the University of Sussex, England, provides a thorough and authoritative analysis of the concept of purpose which is fundamental in explaining both human behavior and the behavior of computer models emulating or simulating it. A great puzzle of the past, how final causes or purposes or goals can determine behavior, now becomes clear if one takes computer models as the key analogy. For it is not the goal-state, which has not yet come into being, which determines behavior but a system's CONCEPT or MODEL of the goal-state which directs its behavior. A convincing argument is then made to show that teleological and mechanistic explanations are complementary, and the latter cannot replace the former without losing explanatory power.

This scholarly work is packed with information not only about psychological issues central to AI, but also about surrounding and beclouded philosophical problems. Our philosophy and even metaphysics should be clear to us because they influence the system of concepts we choose in trying to understand the world.

Some of Dreyfus' inanities and confusions are dealt with firmly and without malice; for example, his confounding of a symbolic code with the information coded. Boden suggests McDougall's theory of the mind as an example of a rich theory to be simulated. I would have some reservations about this task, but only because the theory seems too holistic. Model building involves a strategy of simplification and partial approximation by investigating part-processes. But it may be that in case of the mind, we will need all the parts or most of them to have an adequate simulation.

I not only highly recommend this book--I would insist that any AI theoretician worthy of the name must be familiar with it, and the issues it addresses. My one criticism is directed at the publisher who stodgily sticks to the convention of putting the notes at the end of the book, requiring a serious reader to keep fingers in three positions as he awkwardly flips back and forth between text, notes, and bibliography.

\*\*\*\*\*

#### AI IN OTHER MEDIA

10

1. "The Robot Who Looked Like Me" short story by Robert Sheckley, COSMOPOLITAN MAGAZINE, pp. 192-195, August 1973. (An updated tale of infatuated androids.)

10a

2. "Do Androids Dream of Electric Sheep?" paperback novel by Philip K. Dick (Panther Books Ltd., 1972).

10b

3. "Murder in the Computer" ABC-TV late night movie, Tuesday, December 4, 1973. Garry Merrill plays a science writer who is one of six suspects in the murder of a computer genius. The computer plays chess and wins. Look for the Summer rerun in 1974.

10c

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# ABSTRACTS

11

## ON LOCATING OBJECTS BY THEIR DISTINGUISHING FEATURES IN MULTISENSORY IMAGES

by

Jay M. Tenenbaum

SRI Artificial Intelligence Center

Technical Note 84, September 1973

11a

This paper reports preliminary work on a knowledge-based perceptual system for a robot that must function in an actual office environment. This system is distinguished by the following pragmatic considerations:

1. It is designed to find specific objects needed by the robot in the performance of a task rather than attempting the usually unnecessary and very much harder job of completely describing an environment of potentially overwhelming complexity.
2. It is based on the premise that in real scenes there is a sufficient redundancy of perceptual clues, as well as contextual constraints among objects, so that an intelligent system can devise a relatively simple strategy for distinguishing the specific objects of interest from others likely to be present.
3. It relies heavily on multisensory (i.e., color and range) data to increase the likelihood of finding distinguishing surface attributes for a particular object. Similarly, detailed descriptive representations for complex attributes (e.g., shape and color) are avoided in favor of the simplest representations sufficient to distinguish the object of interest.

(Presented at the Japanese/American Seminar on Picture Processing and Scene Analysis, Kyoto, Japan.)

## COMPUTATION AND DEDUCTION

by

P. J. Hays

Essex University, England

(Appeared in Proceedings of The 2nd MFCS Symposium, Czechoslovakian Academy of Sciences, 1971)

11b

I argue that the usual sharp distinction that is made between the processes of computation and deduction, is misleading. An interpreter for a programming language and a theorem-proving program for a logical language are structurally indistinguishable.

Important benefits, both practical and theoretical, are obtained by combining the best of both methodologies. On the one hand, looking upon the activity of a programming language interpreter as being the generation of proofs of statements often clarifies the semantic structure of the language and allows the design of more efficient interpreters. On the other hand, regarding a theorem-prover as a device which is to be PROGRAMMED (i.e., whose behavior is to be CONTROLLED by its input language), enables the considerable body of work on computational logic technique to be put to practical use in Computer Science, and especially in Artificial Intelligence (AI) applications. In particular, one obtains a new perspective upon problem-solving languages such as PLANNER (Hewitt 1971) and CONNIVER (Sussman & McDermott 1972).

More specifically: I argue that computation is best regarded as a process of CONTROLLED deduction. It will be further argued that the two aspects (specifying the base logic and specifying the control mechanism) of programming are best separated explicitly, as the kinds of language involved have quite distinct semantics. Of course, a theorem-proving program also engages in a process of controlled deduction of consequences of its inputs. The outstanding difference between a conventional theorem-proving program and a conventional interpreter is that in the latter case the control is part of the input statement supplied by the user, while in the former case it is fixed in the program. The techniques of computational logic in no way depend upon this limited notion of control, however. It is the METHODOLOGY of conventional theorem-proving which is responsible for this restriction, and which is here rejected.

The ideas expounded here are the foundation of a research project begun at Edinburgh and now underway at Essex University. The practical aim of this work is the design and implementation of a new programming-logical system, called GOLUX <R1>, suitable for AI work and complex non-numerical computing generally. In part, therefore, this paper is a progress report on GOLUX.

<R1> "I am the Golux," said the Golux proudly, "the only Golux in the world, and not a mere device." from THE 13 CLOCKS by James Thurber.



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# THE LOGICIANS' FOLLY

by

D. Bruce Anderson

Department of Machine Intelligence

and

Patrick J. Hayes

Department of Computational Logic

University of Edinburgh

11c

Among claims made for the usefulness of theorem-proving to A.I. are that it will enable computers to do formal mathematics (via logic) and that its methods are useful for robot reasoning. We believe that the techniques developed in this field, and indeed any techniques which could be developed by its current methodology (so aptly described as 'Computational Logic') are not useful in either of these ways, though in this paper we argue mainly the latter point. Robot reasoning is central to our philosophy of artificial intelligence - and there seems to be no doubt that knowledge of how to construct a machine which can reason about and act in the real world as well as converse about itself in natural language is a necessary (but not sufficient) condition for achieving a real artificial intelligence.

# AN EFFICIENT UNIFICATION ALGORITHM

by

Lewis Denver Baxter

Technical Report CS-73-23

Department of Applied Analysis and Computer Science

University of Waterloo

Waterloo, Ontario, Canada

11d

In "Machine Intelligence" vol. 6, Robinson gives an implementation of the unification algorithm which is the basic pattern matching procedure used in computational logic. He purports that his method is "very close to maximal efficiency." However, in this paper, it is shown that his method requires exponential amounts of resources.

Also, an efficient algorithm to unify sets of expressions of first order logic is presented. The algorithm consists of a simplifying transformational phase followed by a (topological) sorting phase. The space required to implement this efficient algorithm is linear in relation to  $L$ , the length of the input. It is conjectured that the time requirement is  $O(L \log L)$ , however, a precise estimate is difficult to make due to a connection with the equivalence algorithm.



## INFERENCE AND THE COMPUTER UNDERSTANDING OF NATURAL LANGUAGE

by

Roger C. Schank  
Report No. CS358  
Computer Science Department  
Stanford University  
Stanford, California

11e

The problem of inference and how it affects language understanding is discussed. Ten classes of inference are isolated. A program that accomplishes a limited class of inference tasks from a natural language input is described.

## THE FOURTEEN PRIMITIVE ACTIONS AND THEIR INFERENCES

by

Roger C. Schank  
Report No. CS344  
Computer Science Department  
Stanford University  
Stanford, California

11f

In order to represent the conceptual information underlying a natural language sentence, a conceptual structure has been established that uses the basic actor-action-object framework. It was the intent that these structures have only one representation for one meaning, regardless of the semantic form of the sentence being represented. Actions were reduced to their basic parts so as to affect this. It was found that only fourteen basic actions were needed as building blocks by which all verbs can be represented. Each of these actions has a set of actions or states which can be inferred when they are present.

## THE DEVELOPMENT OF CONCEPTUAL STRUCTURES IN CHILDREN

by

Roger C. Schank  
Report No. CS369  
Computer Science Department  
Stanford University  
Stanford, California

11g

Previous papers by the author have hypothesized that it is possible to represent the meaning of natural language sentences using a framework which has only fourteen primitive acts. This paper addresses the problem of when and how these acts might be learned by children. The speech of a child of age 2 is examined for possible knowledge of the primitive acts as well as the conceptual relations underlying language. It is shown that there

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is evidence that the conceptual structures underlying language are probably complete by age 2. Next a child is studied from birth to age 1. The emergence of the primitive acts and the conceptual relations is traced. The hypothesis is made that the structures that underlie and are necessary for language are present by age 1.

#### THE GOALS OF LINGUISTIC THEORY REVISITED

by

Roger C. Schank and Yorick Wilks

Report No. CS368

Computer Science Department

Stanford University

Stanford, California

11h

We examine the original goals of generative linguistic theory. We suggest that these goals were well defined but misguided with respect to their avoidance of the problem of modelling performance. With developments such as Generative Semantics, it is no longer clear that the goals are clearly defined. We argue that it is vital for linguistics to concern itself with the procedures that humans use in language. We then introduce a number of basic human competencies, in the field of language understanding, understanding in context and the use of inferential information, and argue that the modelling of these aspects of language understanding requires procedures of a sort that cannot be easily accommodated within the dominant paradigm. In particular, we argue that the procedures that will be required in these cases ought to be linguistic, and that the simple-minded importation of techniques from logic may create a linguistics in which there can not be procedures of the required sort.

#### PREFERENCE SEMANTICS

by

Yorick Wilks

Report No. CS377

Computer Science Department

Stanford University

Stanford, California

11i

Preference semantics [PS] is a set of formal procedures for representing the meaning structure of natural language, with a view to embodying that structure within a system that can be said to understand, rather than within what I would call the derivational paradigm, of the transformational grammar [TG] and generative semantics [GS], which seeks to determine the well-formedness, or otherwise, of sentences. I outline a system of preference semantics that does this: for each phrase or clause

of a complex sentence, the system builds up a network of lexical trees with the aid of structured items called templates and, at the next level, it structures those networks with higher level items called paraplates and common-sense inference rules. At each stage the system directs itself towards the correct network by always opting for the most "semantically dense" one it can construct. I suggest that this opting for the "greatest semantic density" can be seen as an interpretation of Joos' "Semantic Axiom Number 1." I argue that the analysis of quite simple examples requires the use of inductive rules of inference which cannot, theoretically cannot, be accommodated within the derivational paradigm. I contrast this derivational paradigm of language processing with the artificial intelligence paradigm.

#### THE CONCEPT OF A LINGUISTIC VARIABLE AND ITS APPLICATION TO APPROXIMATE REASONING

by

L. A. Zadeh

Memorandum No. ERL-M411 (170 pp.), October 15, 1973

Department of Electrical Engineering and Computer Sciences

University of California at Berkeley

11j

By a linguistic variable we mean a variable whose values are words or sentences in a natural or artificial language. For example, "age" is a linguistic variable if its values are linguistic rather than numerical, i.e., young, not young, very young, quite young, old, not very old and not very young, etc., rather than 20, 21, 22, 23,....

In more specific terms, a linguistic variable is characterized by a quintuple  $(V, T(V), U, G, M)$  in which  $V$  is the name of the variable;  $T(V)$  is the term-set of  $V$ , that is, the collection of its linguistic values;  $U$  is a universe of discourse;  $G$  is a syntactic rule which generates the terms in  $T(V)$ ; and  $M$  is a semantic rule which associates with each linguistic value  $X$  its meaning,  $M(X)$ , where  $M(X)$  denotes a fuzzy subset of  $U$ .

The meaning of a linguistic value  $X$  is characterized by a compatibility function,  $c : U \rightarrow [0,1]$ , which associates with each  $u$  in  $U$  its compatibility with  $X$ . Thus, the compatibility of age 27 with young might be 0.7 while that of 35 might be 0.2. The function of the semantic rule is to relate the compatibilities of the so-called primary terms in a composite linguistic value - e.g., young and old in not very young and not very old - to the compatibility of the composite value. To this end, the hedges such as very, quite, extremely, etc., as well as the connectives And and Or are treated as nonlinear operators which modify the meaning of their operands in a specified fashion.

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The concept of a linguistic variable provides a means of approximate characterization of phenomena which are too complex or too ill-defined to be amenable to description in conventional quantitative terms. In particular, treating Truth as a linguistic variable with values such as true, very true, completely true, not very true, untrue, etc., leads to what is called fuzzy logic. By providing a basis for approximate reasoning, that is, a mode of reasoning which is not exact nor very inexact, such logic may offer a more realistic framework for human reasoning than the traditional two-valued logic.

It is shown that probabilities, too, can be treated as linguistic variables with values such as likely, very likely, unlikely, etc. Computation with linguistic probabilities requires the solution of nonlinear programs and leads to results which are imprecise to the same degree as the underlying probabilities.

The main applications of the linguistic approach lie in the realm of humanistic systems - especially in the fields of artificial intelligence, linguistics, human decision processes, pattern recognition, psychology, law, medical diagnosis, information retrieval, economics, and related areas.

#### SEMANTIC MEMORY OF A PROBLEM SOLVER GENERATOR

by

Franco Sorovich

Computer Science Department

Carnegie-Mellon University

Pittsburgh, Pennsylvania

11k

This paper is concerned with computer semantic memory, i.e., with the problem of representing general knowledge about a given world. The semantic memory issue is raised in the context of the problem of machine learning of heuristics, and the connection with the problem of machine representation of knowledge is emphasized. A brief overview is made of what is known about the mechanisms responsible for the observed human memory behavior. The guidelines for the implementation of a semantic memory are presented. The problem of knowledge representation is tackled in its general form, so that the proposed semantic memory may be of interest also in other fields, like natural language understanding, question answering, or theorem proving.



# A MODEL FOR ADAPTIVE PROBLEM SOLVING APPLIED TO NATURAL LANGUAGE ACQUISITION

by

Larry R. Harris  
Ph.D. Thesis, TR-133  
Computer Science Department  
Cornell University  
Ithaca, New York

111

Adaptive problem solving is the application of artificial intelligence learning techniques to practical problems. The approach taken in studying Adaptive Problem Solving is three-fold. First, to develop a model for Adaptive Problem Solving in order to specify the processes involved in computer learning, as well as the interaction between these processes. Second, theoretically well-founded, practical algorithms are developed for each of these learning processes. Third, as an application of these theories, the Natural Language Acquisition Problem is formulated in terms of the adaptive model.

The specification of algorithms to perform learning processes leads to the development of a Bandwidth Heuristic Search, an extension of heuristic search, that includes many practical considerations without forfeiting any theoretical capabilities. A modification of this algorithm, the Bandwidth Heuristic Search for Min/Max trees, is shown to be superior to the - minimax process.

## INFANTS IN CHILDREN'S STORIES - TOWARDS A MODEL OF NATURAL LANGUAGE COMPREHENSION

by

Garry S. Meyer  
M.I.T. AI Memo No. 265, August 1972  
Massachusetts Institute of Technology  
Cambridge, Massachusetts

11m

How can we construct a program that will understand stories that children would normally understand? By understand we mean the ability to answer questions about that story. Here we are interested in the understanding of natural language in a very broad area. In particular, how does one understand stories about infants? We propose a system which answers such questions by relating the story to background real-world knowledge. We make use of the general model proposed by Eugene Charniak in his Ph.D. thesis. The model sets up expectations which can be used to help answer questions about the story. There is a set of routines called BASE routines that correspond to our "real world knowledge" and routines that are "put in," called DEMONS, that correspond to

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contextual information. Context can help to assign a particular meaning to an ambiguous word or pronoun.

The problem of formalizing our real-world knowledge to fit into the model is the primary problem here. I discuss a first-level attack on formalizing information about infants and then baby bottles. The contrast between the two leads me to suggest that the same methods can not be used for both inanimate and animate objects. Finally, I outline how a finite-state model of infant behavior can be used to understand infants in children's stories better.

#### A COMPUTER MODEL OF SIMPLE FORMS OF LEARNING IN INFANTS

by

Thomas L. Jones

Graduate School of Business

Howard University

Washington, D.C.

(In Proceedings of the AFIPS 1972 Spring Joint Computer Conference, p. 885.)

11n

A major obstacle in artificial intelligence research has been the cost and difficulty of writing large computer programs. We would like the computer to program itself based on its experience. There has been extensive research on machine learning; this report describes a new form of machine learning, i.e., program learning, in which a subroutine called an experience-driven compiler is used.

The system solves causality-chain problems of the type solved by human infants; thus, it constitutes a synthesis of artificial intelligence and developmental psychology. The system exhibits several forms of learning considered fundamental by psychologists, including operant conditioning, discrimination learning, and behavior chaining. A detailed proposal for a second version of the system, with higher capability, is available from the author.

#### AN INFORMATION PROCESSING THEORY OF ASPECTS OF THE DEVELOPMENT OF WEIGHT SERIATION IN CHILDREN (REV.)

by

George W. Baylor and Jean Gascon

Psychology Department

University of Montreal

M.C.P. #14 July 1973

(To appear in COGNITIVE PSYCHOLOGY, Vol. 6, 1974.)

11o

Children varying in age from 6 to 11 years were video-tape

recorded while trying to seriate seven blocks according to weight with the aid of a scale. The typical behavior patterns that Piaget first described for the stages of intellectual development on this task were observed. Our protocols are analyzed in terms of stage specific base strategies coupled with a mechanism for translating them into task specific production systems. The actual simulation programs, written as production systems in a specially constructed language, BG, are evaluated in terms of how well they regenerate the protocols.

#### LITERARY TEXT PROCESSING

by

Sally Yeates Sedelow

University of Kansas

Lawrence, Kansas

11p

To date, computer-based literary text processing bears much greater similarity to techniques used for information retrieval and, to some degree, for question-answering, than it does to techniques used in, for example, machine translation of 'classical' artificial intelligence. A literary text is treated not as 'output' in a process to be emulated nor as a string to be transformed into an equivalent verbal representation, but, rather, as an artifact to be analyzed and described.

The absence of process as an integrating concept in computer-based literary text processing leads to very different definitions of linguistic domains (such as semantics and syntactics) than is the case with, for example, artificial intelligence. This presentation explores some of these distinctions, as well as some of the implications of more process-oriented techniques for literary text processing.

#### TYPES OF PROCESSES ON COGNITIVE NETWORKS

by

David G. Hays

State University of New York at Buffalo

11q

The main storage area in a computer simulation of human thought is often organized as a network. Numerous investigators have recently put forth diverse views of the basic issues in network design, of which the first is What types of nodes and arcs are admitted? Recognition of this issue can only lead, however, to the awareness of a more basic problem, namely, What kind of evidence would influence the design of cognitive networks? For some investigators, practical effectiveness is the sole consideration; their field is artificial intelligence, and their

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purpose is to write computer programs that can be used with profit. For others, the evidence must ultimately come from observation of human beings, on the level of gross behavior or, ultimately, on the level of microscopic activity in the nervous system.

(Prepared for the 1973 International Conference on Computational Linguistics, Pisa, August 27 - September 1, 1973.)

#### LINGUISTICS AND THE FUTURE OF COMPUTATION

by

David G. Hays

State University of New York at Buffalo

11r

My subject is the art of computation: computer architecture, computer programming, and computer application. Linguistics provides the ideas, but the use I make of them is not the linguist's use, which would be an attempt at understanding the nature of man and of human communication, but the computer scientist's use. In ancient India, the study of language held the place in science that mathematics has always held in the West. Knowledge was organized according to the best known linguistic principles. If we had taken that path, we would have arrived today at a different science. Our scholarship draws its principles from sources close to linguistics, to be sure, but our science has rather limited itself to a basis in Newtonian calculus. And so a chasm separates two cultures.

#### PROGRESS IN COMPUTING TECHNOLOGY AND RESEARCH IN ARTIFICIAL INTELLIGENCE

by

Nicholas V. Findler

Department of Computer Science

State University of New York at Buffalo

Amhurst, New York

11s

An attempt is made in this paper to show how and why work in Artificial Intelligence contributes to progress in computing technology in general. The objectives of two on-going research projects are outlined as illustrative examples. Finally, it is argued that the categories set up in the recent Lighthill report are arbitrary, counterproductive and, for a large number of projects, unusable.



## HEURISTIC PROGRAMMERS AND THEIR GAMBLING MACHINES

by

Nicholas V. Findler, Heinz Klein, R. Channing Johnson, Alexander Kowal, Zachary Levine, and John Menig  
Department of Computer Science  
State University of New York at Buffalo  
Amherst, New York

11t

Following our paper given at the IFIP Congress 71, another progress report is presented of our ongoing research efforts aimed at human decision making under uncertainty and risk. We have studied many aspects of human and machine learning processes, inductive and deductive inference-making methods, how heuristic rules are formed and optimized by human players, and how similar results can be arrived at by machines. Although the investigations have been within the general framework of the game of Poker, our findings are considered to have a rather wide range of applicability. Also, the complex information processing system at hand incorporates both normative and descriptive theories of certain human behavior. Finally, the results of some empirical explorations are followed by an account of present and planned activities.

## THE INTERPRETATION OF MASS SPECTROMETRY DATA USING CLUSTER ANALYSIS

by

Stephen R. Heller, Chin L. Chang, Heuristics Laboratory  
Kenneth L. Chu, Computer Science Laboratory  
Division of Computer Research and Technology  
National Institutes of Health  
Bethesda, Maryland

11u

The application of a graph-theoretical method of cluster analysis has been used to investigate the classification of mass spectral data. The method, the shortest spanning path (SSP), has been used to classify and characterize the mass spectra of straight-chain monofunctional alkyl-thiol esters.

## PATTERN RECOGNITION BY NEAREST NEIGHBOR CLASSIFIERS

by

Chin-Liang Chang  
Division of Computer Research and Technology  
National Institutes of Health  
Bethesda, Maryland

11v

A nearest neighbor classifier is one which assigns a pattern to the class of the nearest prototype. In this paper, an algorithm is given to find prototypes for a nearest neighbor classifier.

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The idea is to start with every sample in a training set as a prototype, and then successively merge any two nearest prototypes of the same class so long as the recognition rate is not downgraded. The algorithm is very efficient. For example, when it was applied to a training set of 514 cases of liver disease, only 34 prototypes were found necessary to achieve the same recognition rate as the one using the 514 samples of the training set as prototypes. Furthermore, the number of prototypes need not be specified beforehand in the algorithm.

#### SKELETON PLANNING SPACES FOR NON-NUMERIC HEURISTIC OPTIMIZATION by

L. Siklossy and M. A. Maecker  
The University of Texas at Austin  
November 1973 TR-29

11w

The AFTERMATH system implements a heuristic technique for improving long solutions (up to about 250 steps) for robot planning problems. AFTERMATH transforms the given solution into a skeleton solution that focuses attention on repetitious and opposite moves. AFTERMATH attempts to obtain an alternate, improved skeleton. From the alternate skeleton, an alternate solution is built (if possible) to the original problem. If the alternate solution is an improvement, AFTERMATH accepts it as input, and cycles.

Although not guaranteeing optimality, AFTERMATH improves many solutions, sometimes gradually in several cycles. Examples can be built for which AFTERMATH obtains an arbitrarily large improvement in one cycle.

#### COLLABORATIVE PROBLEM-SOLVING BETWEEN OPTIMISTIC AND PESSIMISTIC PROBLEM-SOLVERS

by  
L. Siklossy and J. Roach  
The University of Texas at Austin  
November 1973 TR-30

11x

An optimistic problem-solver assumes that a problem has a solution and attempts to find such a solution. A pessimistic problem-solver assumes that a problem has no solution, and tries to prove this lack of a solution. When one of the problem-solvers fails to achieve its goal, it is an indication that the other problem-solver may succeed. Moreover, information may be extracted from the failure to help the other problem-solver in its success. In such a case, the two complementary systems are said to collaborate.

We give examples of collaboration between an optimist, LAWALY, and a pessimist, DISPROVER, which operate on worlds of simulated robots. When collaborating, each system can solve more problems than if it worked alone.

ENGLISH AS A COMMAND LANGUAGE FOR MACHINES AND THE SEMANTICS OF "LEFT" AND "RIGHT"

by

Norman Sondheimer  
Computer Sciences Department  
University of Wisconsin-Madison  
Madison, Wisconsin

11y

A speech-understanding system for man-machine communication in unrestricted English is a distant goal. A more feasible task is the development of systems for the control of machines. The English in these command languages should be confinable to small sets of words, simple sentences, and phrases. This should avoid many problems but those of spatial reference would still remain. These include the noncorrespondence of frames of reference and the nonconformity of heuristics that identify the sides of objects. This paper looks at the problems of understanding "left" and "right" as examples of the general phenomena. Conclusions are drawn from these problems that effect the structure of any command language and the general hope for English-based command languages.

ENGLISH AS A VERY HIGH LEVEL LANGUAGE FOR SIMULATION PROGRAMMING

by

George E. Heidorn  
IBM Thomas J. Watson Research Center  
Yorktown Heights, New York  
RC 4536 (#20187)  
September 21, 1973

11z

An automatic programming system which produces simulation programs from information obtained through natural language dialogue has been implemented under CP/CMS on the IBM 360/67. In the current version the information obtained from an English conversation about a simple queuing problem is used to build a language-independent entity-attribute-value data structure. From this structure both an English description of the problem and a GPSS simulation program for it can be produced. This processing is done by a FORTRAN program which interprets sets of decoding and encoding rules written in a specially developed grammar-rule language. The paper includes a complete sample problem with a discussion of its processing and examples of decoding and encoding rules.

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## Situations Wanted:

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# AI IN THE MOVIES

by  
Steve Coles

13

WESTWORLD, one of the two films suggested to arrive in 1974 <\*R1>, already appeared in late October 1973. The film, written and directed by Michael Crichton (Andromeda Strain, The Terminal Man <\*R2>, Pursuit), stars Yul Brynner, Richard Benjamin, and James Brolin. Brynner plays the role of a "robot gunslinger" in a giant amusement park of the future styled after Disneyland. Guests pay \$1000 a day to relive the excitement of the Old West, including the opportunity to engage the gunslinger in a showdown fight in which they are guaranteed to outdraw and kill it. The guarantee is somewhat dubious, however, since the alleged advertisement for Westworld says, "Boy, have we got a vacation for you...where nothing can possibly go wrong."

13a

Perhaps the greatest disappointment in Westworld, however, is the total abandonment of intellectual standards by Michael Crichton in bringing the story premise to a logically coherent as well as visually exciting conclusion. From the time Westworld begins to disintegrate, the plot also begins to degenerate into a rising crescendo of internal inconsistencies with ruthless violence seemingly the only message. It seems strange that inconsequential perturbations in the script (that could not possibly have influenced the films success or failure in the market place, but which could have salvaged its internal consistency) were not made by a man who I know should know better (He has an M.D. degree from Harvard). I counted at last a dozen instances of this sort, ranging from the implausibility of mechanical systems subject to a biological-style epidemic infection to the unlikely prospect that a gunslinger robot could easily drink a shot of whiskey at the bar (at the beginning of the film) while a female android, based on the same technology, could not (at the end of the film) take even a sip of water without going up like a Christmas tree of electrical sparks. Maybe next time Crichton treats the subject of robots in a film or novel he will call for advice from an AI expert. Do I hear any volunteers?

13b

## REFERENCES

13c

<R1> SIGART Newsletter, No. 41, p. 56, June 1973

<R2> SIGART Newsletter, No. 36, pp. 43-44, October 1972.

## DISCOUNT ON THE MACHINE INTELLIGENCE SERIES

By

George Ernst

Case Western Reserve University

Cleveland, Ohio

14

Last Spring, David Gelperin, a SIGART member, informed me that Halsted Press, the publisher of the Machine Intelligence Series, has a group discount plan. ACM Headquarters has given SIGART permission to make use of this discount plan provided that SIGART does all of the paper work, which I have agreed to do.

14a

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Network Working Group  
Request for Comments  
Ref: Telnet Protocol Specification (NIC #15372)

Edward Taft  
PARC-MAXC

## SECOND THOUGHTS ON TELNET GO-AHEAD

### INTRODUCTION

In this RFC we present objections to the requirement that hosts implement the Telnet Go-Ahead (GA) command, as specified in the Telnet Protocol Specification (NIC #15372). The thrust of these objections is in three major directions:

1. The GA mechanism is esthetically unappealing, both to myself and to many other people I have talked to. I shall attempt to describe why this is so.
2. As specified in the Protocol, GA will not, in general, work; i.e. it will not serve its intended purpose unless hosts make various unwarranted assumptions about how other hosts operate.
3. GA is impossible for most hosts to implement correctly in all cases. This is certainly true of the PDP-10 operating systems with which I am familiar (10/50 and Tenex).

The purpose of this RFC is to advocate either complete removal of the GA mechanism or relegating it to the status of a negotiated option whose default state is that it be suppressed.

### TERMINOLOGY

"Half-duplex" is a two-way communication discipline in which transmission takes place in only one direction at a time and the receiving party is constrained not to transmit until the transmitting party has explicitly given up control of the communication path ("turned the line around").

This definition is distinct from a common (but incorrect) use of the terms "half-duplex" and "full-duplex" to designate local and remote character echoing.

"Reverse break" is a means by which a computer connected to a terminal by a half-duplex path may regain control of the path for further typeout after previously having relinquished it.

This is the complement of the "break" or "attention" mechanism, implemented by all half-duplex terminals, by means of which the user may gain control of the line while it is in use by the computer.

4b1

#### ESTHETIC OBJECTIONS TO GA

5

One assumption that permeates the Telnet Protocol specification (and is explicitly stated on Page 7) is that the "normal" mode of communication between computers and terminals is half-duplex, line-at-a-time. While historically this is partially true, it is also clear, both within the ARPA Network community and elsewhere, that the trend is toward highly interactive man-machine communication systems which are difficult to implement under half-duplex communication disciplines.

5a

The GA mechanism is an attempt to solve a specific problem, that of switching control between computer and user in a subset of those hosts utilizing IBM 2741 or equivalent terminals. I say "a subset" because in fact the problem arises only in the case of 2741s lacking the reverse break capability. Many people log into TIPs from 2741s (with reverse break); from what experience I have had, I think the TIP does a very good job of turning the line around at the right moments. (I am told this is also the case at Multics).

5b

\*\*Given the trend toward more interactive communication, and given the fact that the terminals on the Network requiring a Go-Ahead mechanism are a distinct minority of all terminals, I think we should be reluctant to burden our protocols with kludges that are so clearly a concession to obsolete design.

5c

I have little doubt that before long somebody (if not IBM) will produce a full-duplex 2741-like terminal (indeed, perhaps it has already been done). There is an obvious need for a terminal with Selectric quality keyboard and hard-copy better suited to interactive applications (i.e. full-duplex).

5c1

As a more practical consideration, it makes little sense to have the default state of the GA option be the one that benefits the least number of hosts and terminals.

5d

There is no question that most parties to Telnet communication will immediately negotiate to suppress GA. To do otherwise will double the amount of network traffic generated by character-at-a-time typein, and will increase it by a non-negligible amount even for line-at-a-time typein.

5d1

## Second Thoughts on Telnet Go-Ahead

It strikes me as worthwhile to minimize the number of such "necessary" option negotiations, especially in view of the large number of TTPs and mini-hosts on the Network. Many such hosts must, due to resource constraints, implement only a limited subset of the available options. It follows, then, that the default state of all options should be the one most hosts will be willing to use.

5d2

## WHY GA WON'T WORK

6

We now show that a server process's being "blocked on input" (as specified in the Protocol) is not in itself a sufficient condition for sending out GA.

6a

This is due to the fact that the user Telnet has no control over the packaging of a "line" of information sent to the server; rather, this is a function of the NCP, which must observe constraints such as allocation and buffering. Consider the following example:

6b

A user types a line of text, which is buffered by his host's user Telnet until he signals end-of-line. His keyboard then becomes locked (this being the behavior of half-duplex terminals while the computer has control of the line), and stays locked in anticipation of the server's eventual response and subsequent GA command.

6b1

The user Telnet transmits this text line over the connection; however, due to insufficient allocation or other conditions, the text actually gets packaged up and sent as two or more separate messages, which arrive at the server host in the correct order but separated by some amount of time.

6b2

The server Telnet passes the contents of the first message to the appropriate process, which reads this partial text line and immediately blocks for further input. At this moment (assuming the second message hasn't arrived yet), the server Telnet, in accordance with the Protocol, sends back a GA command.

6b3

The rest of the text then arrives; in response, the server process may generate a large volume of output. Meanwhile, however, the GA command has caused the user's keyboard to become unlocked and computer output thereby blocked. Hence we have a deadlock, which will be resolved only when the user recognizes what has happened and (manually) gives control back to the computer.

6b4



Of course, this particular problem is avoided if the Telnet protocol is modified to specify that the server Telnet will transmit GA only if the server process is blocked for input AND the most recent character passed to that process was end-of-line.

6c

I claim that this solution is bad in principle because it assumes too much knowledge on the part of the serving host as to what constitutes "end-of-line" in the using host.

6c1

Furthermore, the Protocol explicitly (and quite rightly) specifies that the user Telnet should provide some means by which a user may signal that all buffered text should be transmitted immediately, without its being terminated by end-of-line.

6c2

One must conclude, then, that in general the server Telnet has no precise way of knowing when it should send GA commands.

6d

#### IMPLEMENTATION PROBLEMS

7

The foregoing analysis illustrates the problems that arise with the GA mechanism in communication between servers and users whose normal mode of operation is half-duplex, line-at-a-time. When we turn to hosts that provide full-duplex service, such as the PDP-10s and many other hosts on the Network, the problems are much more severe.

7a

This is particularly true of operating system such as Tenex that exercise such tight control over terminal behavior that they prefer to operate in server echoing, character-at-a-time mode. This will probably become less necessary as protocols such as the Remote Controlled Transmission and Echoing Option come into general use, enabling servers to regulate echoing and break character classes in user Telnets.

7a1

Even in hosts such as 10/50 systems that provide reasonable service to line-at-a-time users for most subsystems (e.g. excluding DDT and TECO), GA is impossible to implement correctly. This is true for several reasons.

7b

First, there are a number of subsystems that never block for terminal input but rather poll for it or accept it on an interrupt basis. In the absence of typein, such processes go on to do other tasks, possibly generating terminal output.

7c

## Second Thoughts on Telnet Go-Ahead

Processes of this sort come immediately to mind. The user Telnet, FTP, and RJE programs are implemented in this fashion by almost all hosts. 10/50 has a subsystem called OPSE, used to control multiple independent subjobs from a single terminal.

7c1

Since these programs never block for input, GA commands will never be sent by the server Telnet in such cases even though the processes are prepared to accept terminal input at any time.

7c2

Second, there is not necessarily a one-to-one relationship between processes and terminals, as seems to be assumed by the Telnet Protocol specification.

7d

For example, in Tenex one process may be blocked for terminal input while another process is generating output to the same terminal. (Such processes are typically parallel forks of the same job).

7d1

Third, there is the possibility of inter-terminal links, such as are provided in many systems.

7e

By this I do not mean special Telnet connections established between a pair of NVTs for the express purpose of terminal-to-terminal communication, as is suggested on page 9 of the Protocol specification. Rather, I am referring to facilities such as the Tenex LINK facility, in which any number and any mixture of local and Network terminals and processes may have their input and output streams linked together in arbitrarily complex ways. Clearly the GA mechanism will fall flat on its face in this case.

7e1

Also, the notion that one user of an inter-terminal link should have to "manually signal that it is time for a GA to be sent over the Telnet connection" in order to unlock another user's keyboard offends me to no end.

7e2

Finally, most systems provide means by which system personnel and processes may broadcast important messages to all terminals (e.g. SEND ALL in 10/50, NOTIFY in Tenex). Clearly such asynchronous messages will be blocked by a half-duplex terminal that has been irrevocably placed in the typein state by a previous GA.

7f

This strikes me as such an obvious problem that I am forced to wonder how half-duplex hosts handle it even for their local terminals.

7f1

## Second Thoughts on Telnet Go-Ahead

Most of the above difficulties may be traced directly back to a single cause: Whereas the Protocol states that GA should be generated by server processes as a signal that they are prepared to accept INPUT, the user processes making use of this signal are construing it as a promise that the server will generate no further OUTPUT. In most Network server systems, these two conditions are not synonymous.

7g

## A PROPOSAL

8

Now that I have elaborated sufficiently on why the currently-specified Go-Ahead mechanism simply won't work, I must of course address myself to the problem for which GA was originally proposed as a solution.

8a

Implementation of the reverse break capability in those half-duplex terminals that don't have it is of course the desirable solution, and one which all installations should be encouraged to pursue on a long-term basis. With reverse break, the user Telnet is able to relinquish control to the user immediately when there is no further output to be printed, yet get it back again if further output subsequently arrives and the user hasn't started to type anything.

8b

In the interim, the GA mechanism is still usable (subject to my modification suggested in Paragraph 6c) for the cases in which a half-duplex terminal is communicating over the network with a host oriented toward such terminals. For this purpose, GA should be a (normally suppressed) option that may be negotiated into effect.

8c

In all other cases involving half duplex terminals without reverse break, the user Telnet must at all times retain control over the line for possible further output, until the user explicitly indicates he is about to type something (e.g. by pressing the "Attention" key).

8d

This is the ONLY scheme that will prevent blocked typeout in all cases, and I urge immediate consideration of it.

8e

NWG/RFC# 596

EAT3 8-DEC-73 01:30 20812

Second Thoughts on Telnet Go-Ahead

(J20812) 8-DEC-73 01:30; Title: Author(s): Edward A. Taft/EAT3;  
Distribution: /MLK NLG NICSTA; Sub-Collections: NWG NIC NLG NICSTA; RFC#  
596; Clerk: EAT3;



JHB 7-DEC-73 21:05 20813

Telecon log, Nov. 30

for your information

Telecon log, Nov. 30

Telecon received

1

30 Nov., John Zanner, AFSC Data Automation, Andrews AFB, (301) 981-6400 (or Lt Col Madril). He talked to John Islei, and was very interested in accessing the Net and ARC. He asked about Utility rates and how billing is determined, and ports; had a question about baud rates higher than 9600; Was going to try to get one of RADC's slots into. Utility. I discouraged this idea, and suggested that he consider buying a slot, to which there was a positive response. He seemed satisfied with the info. and mentioned collaboration with ESD and RADC.

1a

Telecon log, Nov. 30

(J20813) 7-DEC-73 21:05; Title: Author(s): James H. Bair/JHB;  
Distribution: /JCN DCE RWW; Sub-Collections: SRI-ARC; Clerk: JHB;

Request to NDM for personal, modified version of INMES

Dean: I would like a version of INMES (Call it 'MI' for 'Message Input') that does the following two changed things:

- 1) It clears the DNLS, tty message area when done.

I want a better DNLS indication of when the process is done. Currently the "Message input in process," or whatever, stays up after the process is done. (If clearing the tty display doesn't help enough, perhaps explicit DNLS feedback, "Message input done" might be nice.

- 2) It brings in a file named "mif.txt;\*", for "message input file", rather than message.txt.

I can't help always feeling that between the time I read in the message.txt file and the time I could get to the exec and delete it, there might be another message delivered into it that I would thus lose. So, in spite of the low odds on this, I always read the file at exec level after the INMES ingestion, and then quickly delete it if it doesn't have new material appended.

In the net-mail input approach that I want to try, there is only one, initial operation at the exec level: RENAME FILE message.txt to MIF.txt;1;t. That operation (according to my understanding) in one swoop ensures that:

I capture all that has arrived in message.txt,

a message arriving immediately thereafter will neatly go into a new version of message.txt for later attention,

the MIF.txt file will stay around in case of need during that working session, but will automatically be deleted when I next log off (which saves my being concerned about cleaning it out).

Then, for second and final subsequent operation (no hurry), in NLS, do G P G 'mi' CA, G P E 'mi' CA.

Then I want the execution, without further ado, to input the mif.txt file, to follow statement .JOURNAL and down one level.

Then, before (Recreating display and) RETURNing, I would like for it to do the equivalent of,

if in DNLS, J I (journal:gebtry),

or if in TNLS, a re-position for A: (journal d:gwynI)

NOTE: It would be even nicer to have the Message Input program



Request to NDM for personal, modified version of INMES

do the RENAME FILE message.txt TO mif.txt;##;t for me, and for it  
to tell me if there isn't a message.txt file (i.e no network mail  
in my in basket).

3d

This is an explicit request; if it can be done within an hour's work  
at modifying INMES, please fix me up with a trial process named "MI".

Thanks, Dou

4

DCE 8-DEC-73 14:14 20814

Request to NDM for personal, modified version of INMES

(J20814) 8-DEC-73 14:14; Title: Author(s): Douglas C. Engelbart/DCE  
; Distribution: /ndm jcn rww chi (fyi) dvn (fyi) ji (fyi, John) ;  
Sub-Collections: SRI-ARC; Clerk: DCE ;

20814 Distribution

1

N. Dean Meyer, James C. Norton, Richard W. Watson, Charles H. Irby,  
Dirk H. Van Nouhuys, Jean Iseli,

1a

missing rfc

tell me more about the missing rfc that was assigned to me, eg title,  
date, and could you repeat the number ? i try to help track it down.  
--jon.

1



JBP 8-DEC-73 14:39 20815

missing rfc

(J20815) 8-DEC-73 14:39; Title: Author(s): Jonathan B. Postel/JBP;  
Distribution: /MLK; Sub-Collections: NIC; Clerk: JBP;

new fbctl functions

I've added the following 2 functions to fbdtl:

typenulllit

clears the screen and types a null literal ( litdpy("\$") ) in anticipation of successive calls on fbctl of type fbaddlit

addcalit

appends the string "TYPE CA TO CONTINUE" to the lit area (similar to typecalit except appends only the above string instead of replacing any existing strings and then displaying the passed string with the above appened)

1

1a

1a1

1b

1b1

KEV 8-DEC-73 18:35 20816

new fbctl functions

(J20816) 8-DEC-73 18:35; Title: Author(s): Kenneth E. (Ken)  
Victor/KEV; Distribution: /NPG; Sub-Collections: SRI-ARC NPG; Clerk:  
KEV;

consideration for your fellow programmers (and a good practice in any case)

It is EXTREMELY inconsiderate of some people to place undebugged code in tasks such that if someone (in this case me) runs tasks and brings up a new system the system no longer works.

All code should FIRST be debugged in private files (using load program, etc. ) before being moved into the system sources.



consideration for your fellow programmers (and a good practice in any case)

(J20817) 9-DEC-73 09:51; Title: Author(s): Kenneth E. (Ken) Victor/KEV; Distribution: /NPG; Sub-Collections: SRI-ARC NPG; Clerk: KEV;

Bell Canada Initial Idents: Request for Mike Bedford to Review

Mike: I have set up some new idents for Inez, Phil, Jim et al. I just used the data I got from Larry on the phone and filled in the addresses as yours--also the phones. Can you look at the following data and see what needs changing? The Utility will probably be ready to use about the day Jim Bair and I get to Montreal Dec 17th. We look forward to a good training session Monday and Tuesday...less planning than the last visit. Mostly TRAINING so you will be able to do some good work during the weeks before Inez gets rolling and before we change to the new command language Feb 1 or so.

1

Bell Canada Idents: 9-DEC-73 10:19 by JCN

1a

(DMA) BELL-CANADA  
Atkinson, Donald M.  
Room 1105  
620 Belmont Street  
Montreal, Quebec

Phone: (514) 870-5915;  
Delivery: Online Hardcopy;  
User: bell;

1a1

(IMM) BELL-CANADA  
Mattiuz, Inez M.  
Room 1105  
620 Belmont Street  
Montreal, Quebec

Unverified  
User: bell;  
Delivery: Online;  
Phone: (514) 870-5902;  
Function: Bell Workshop Architect;

1a2

(JHK2) BELL-CANADA  
Kollem, Jim H.  
Room 1105  
620 Belmont Street  
Montreal, Quebec

Unverified  
Phone: (514) 870-5902;  
User: bell;  
Delivery: Online;

1a3

Bell Canada Initial Idents: Request for Mike Bedford to Review

(LHD) BELL-CANADA  
Day, Lawrence H.  
Room 1105  
620 Belmont Street  
Montreal, Quebec

Delivery: Online;  
User: bell;  
Phone: work (514) 870-3223 home (514) 684-7207;

1a4

(MIKE) BELL-CANADA  
Bedford, Michael T.  
Bell Canada  
H.Q. Planning  
Room 1105  
620 Belmont Street  
Montreal, Quebec  
Canada  
Phone:  
Office (514) 870-5902  
Home (514) 264-4317

1a5

(PIW) BELL-CANADA  
Weintraub, Phil I.  
Room 1105  
620 Belmont Street  
Montreal, Quebec

Unverified  
User: bell;  
Phone: (514) 870-5902;  
Delivery: Online;

1a6

(RLT) BELL-CANADA  
Treleaven, Ric L.  
Room 1105  
620 Belmont  
Montreal, Quebec  
Canada

Unverified  
Phone: (514) 870-5917;  
Delivery: Hardcopy Online;  
User: bell;  
Host: sri-arc;

1a7

JCN 9-DEC-73 10:21 20818

Bell Canada Initial Idents: Request for Mike Bedford to Review

(J20818) 9-DEC-73 10:21; Title: Author(s): James C. Norton/JCN;  
Distribution: /MIKE LHD JHB JCN; Sub-Collections: SRI-ARC; Clerk: JCN;



## Note to RADC Users: Transfer of AKW Online Services to OFFICE-1

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PRESENT RADC DIRECTORIES WILL MOVE IN MID-DECEMBER 1973.....	4
GROUP ALLOCATIONS.....	5

TRANSFER OF AKW SERVICES TO A NEW FACILITY	2
--	---

During the past year or so, SRI-ARC has provided online access for RADC users to services such as NLS, Journal, Sndmsg, and the NIC Query through the SRI-ARC PDP-10/TENEX--host 2.

2a

In order that we may provide increased reliability and quality of service to our users, we are now about to provide these services through a new PDP-10/TENEX computer facility to be operated for SRI-ARC by Tymshare, Inc in Cupertino, California.

2b

FACILITY NAME: OFFICE-1	3
-------------------------	---

The new facility will be known as "OFFICE-1" and will be host 43 decimal (for tip users) connected to the ARPANET through a TIP at Tymshare.

3a

This is also host 53 (octal, for telnet users)

3a1

PRESENT RADC DIRECTORIES WILL MOVE IN MID-DECEMBER 1973	4
---	---

Present RADC user directories (there are over 20) will be moved to the Utility computer shortly after December 12, 1973. The exact timing will depend upon final checkout operations now in progress.

4a

We will keep RADC users informed of the date of transfer as it approaches.

4b

At the time of the transfer there will be a message for RADC users at the SRI-ARC machine directing them to OFFICE-1, host 43, where online AKW services and their user directories will be available.

4c

We plan to keep the present RADC directories active at ARC for backup use for at least a week after the transfer. All RADC users' files will be moved to their Utility directories when service from that facility is made available to them in

Note to RADC Users: Transfer of AKW Online Services to OFFICE-1

order to minimize confusion as to changes in duplicate copies. Moved files will be protected by disk dumps to help out with any difficulties users may have.

4d

#### GROUP ALLOCATIONS

5

We intend to continue to use the group allocation scheme currently in effect to ensure appropriate allocation of resources to RADC users in line with funding provided by RADC. We will soon be working on changes to improve the effectiveness of this feature.

5a

This will provide access to an estimated minimum of 5 RADC users at all times during the Utility's 16 hour (5am to 9pm PST), 6 day service periods (Monday through Saturday).

5b

The extension of the RADC allocation to 16 hours each day should ease the access situation considerably at RADC. Offquota access will still be available and may at first prove quite beneficial to those RADC users who are already initially trained and running on the system now. Other using organizations may have a slower start, although we will be working hard at getting them on and using the system as fast as possible.

5b1

Other users of the Utility computer will be allocated portions of the system according to the funding provided for those activities. Some such user organizations are: selected ARPA offices, discipline- and mission-oriented communities performing ARPA-related work (Computer-Based Instruction, Energy, etc), and Network Information Center users.

5c

JCN 9-DEC-73 15:21 20819

Note to RADC Users: Transfer of AKW Online Services to OFFICE-1

(J20819) 9-DEC-73 15:21; Title: Author(s): James C. Norton/JCN ;  
Distribution: /RADC SRI-ARC ; Sub-Collections: SRI-ARC RADC; Clerk:  
JCN ;  
Origin: <NORTON>RADCTransfer.NLS;1, 9-DEC-73 15:17 JCN ;

Name for the Workshop Utility Computer Facility: OFFICE-1

There has been considerable discussion of the name for the Workshop Utility Computer Facility around ARC. When I issued Journal document (20663,1) indicating that KWCS1 would be the name for the facility, I got many replies that suggested other names, criticized the absence of an explanation of who "we" was--as in "we have chosen"--and suggested that in any case KWCS1 just would not be appropriate.

1

The "we" whom I should have specified was Jim Norton, Doug Engelbart, and Dick Watson. I am coordinating this task as ARC/Utility Operations Manager.

1a

After further discussions with ARC people and with some outside of ARC, we (the above we) have settled on OFFICE-1 as being the name we will use. This should be easier to remember and pronounce, less cryptic, and probably more in line with the expectations of many of the first users of the Utility.

2

Future facilities would be named: OFFICE-2, OFFICE-3 and so on.

2a

I thank those who took the time to suggest and discuss alternative names and those who pointed out the shortcomings of my earlier Journal item.

3

I'll have to admit that I still like the idea of getting the term "knowledge workshop" exercised a little through devices such as the facility name, but will readily admit that KWCS1 would not have made it, particularly in light of the responses that were so negative, even from within.

4



JCN 9-DEC-73 12:58 20820

Name for the Workshop Utility Computer Facility: OFFICE-1

(J20820) 9-DEC-73 12:58; Title: Author(s): James C. Norton/JCN;  
Distribution: /SRI-ARC JSP LHD DLS JAKE(for action) MLM JIMB;  
Sub-Collections: SRI-ARC; Clerk: JCN;

Another Bell Ident

Mike: oops Here's good old Phil's ident too:

1

(PF) BELL-CANADA  
Feldman, Phil  
Room 1105  
620 Belmont Street  
Montreal, Quebec

Unverified  
Phone: (514) 870-5902;  
User: bell;  
Delivery: Online;

1a

JCN 9-DEC-73 14:00 20821

Another Bell Ident

(J20821) 9-DEC-73 14:00; Title: Author(s): James C. Norton/JCN;  
Distribution: /MIKE JHB LHD; Sub-Collections: SRI-ARC; Clerk: JCN;

## CA Program and DEX/Data Prep Format

Dean:

As you may have noticed I have not been using the NIC system in the last couple of weeks. Now that I am back using it I discover that the CA program I wrote some weeks ago does not work on my 'live' data. It does not work in so far that it does not get any matches on searching for EOL. The only difference that I can think of is that the test data was read in from the keyboard, whilst the live data comes in and is processed by DEX. Our data prep equipment puts out the sequence CR LF DEL at the end of line, and I think it may be the DEL which is throwing either DEX or the scan process. Any ideas?

In NLS one cannot search for DEL (or can you?) and editing my original tape when it first gets in the system (using say, Teco) is similarly not possible.

I hope you can think of a way round it. By the way, thanks for the comments, and the circulated reply to someone elses query. I'd better finish now before I get NET Trouble again

Cheers .. Steve

1



CA Program and DEX/Data Prep Format

(J20822) 10-DEC-73 00:14; Title: Author(s): Stephen R. Wilbur/SRW;  
Distribution: /NDM; Sub-Collections: NIC; Clerk: SRW;

INFO ON TENEX

DEAR DAVE

I HAVE A COLLEAGUE WHO IS INTERESRED IN OPERATING SYSTEMS  
AND IS TRYING TO OBTAIN INFORMATION ON TENEX.  
CAN YOU SUGGEST ANY LITERATURE OR REFERANCES ON TENEX.

1

INFO ON TENEX

(J20823) 10-DEC-73 04:03; Title: Author(s): Keith N. Sandum/KNS;  
Distribution: /DCW3; Sub-Collections: NIC; Clerk: KNS;

## Possible ANTS use of the Utility

Jim,

I got your note. After this please direct mail for me either to DAY@isi or thru the Journal (my ident is DAY). We have a lot of people using this usercode and so I might not get it for a while.

About your note, we don't consider 400 pages of documentation very much. In fact that 400 pages includes very very little ANTS documentation, so our needs could easily double or triple. In what follows I would like to try to rough out 1) how we presently pay for our storage requirements at UCSD, 2) and what kinds of things we will have, need, or want to do.

#### Paying for things at UCSD

At UCSD, we pay a staggered rate on CPU and IO time ranging from \$6.25 /sec for CPU and \$2.92 for IO to \$.83 for CPU and \$.58 for IO. These rates go down according to 5 queues in which jobs are placed based on what kind of turn-around time you desire. The most expensive is for interactive and the least for overnight batch. For example, Queue 3 will usually give you about 15-30 minute turnaround on large compiles at \$2.78 / sec (for CPU time).

More important to this discussion though is disk charges. At UCSD, one can have two sorts of disk 1) contract and 2) non-contract.

Most people have non-contract disk. This is like what most systems support. A user creates his files and the local site looks every once and while totals it up and makes a charge. At UCSD, this charge is \$.0055 per 1000 bytes per day.

However, if you can guarantee the Comp Center that you will pay for so much disk (I believe there is a threshold but don't know what it is), they (the Comp Center) will give you a special rate. This rate is \$.0032 per 1000 bytes per day. This is the mode in which we pay for most of our disk space at UCSD.

This contract method may be useful to the utility. I think that as these networks get going, there are going to more and more sites like our selves who will not have many local resources and will want to rely on the network for them. We are going to have these mini-sites developing software at other sites and wanting to document for access by the rest of the net. This seems like what part of the utilities function is to provide the means for doing this. However, I don't think many sites will have enough to do continuously to warrant buying a slot. (If you like I can comment on this further.)



## Possible ANTS use of the Utility

## Possible uses of the utility by the ANTS group

5

## Equipment

5a

We have at Illinois an Imlac with mouse and keyset. So I (and some others here) would like to be able to use IMNLS. Further, I would be very interested in hooking a Line-processor to one of our Hazeltines (if possible) and trying that. This could have several benefits to both of us depending on each others plans (Your experimenting with use over the net and its use on an ANTS system and any attendant games therein.)

5a1

## Documentation and other stuff

6

We will need to have nls taught to our secretaries for the obvious reasons. (this is fairly easy, right Jim).

6a

I think the ANTS newsletter should most definitely be done at NIC and the attendant distribution. Is the utility planning on handling this kind of a service?

6b

The Peespol manual presently exists on-line at UCSD and could be moved to NIC and placed in an NLS form. (I'm contemplating software to perform this function.)

6c

The ANTS Command Language Manual should, of course, also be available.

6d

A narrative description of the ANTS system will also be written and made available. It can be batted around whether this would need to be on-line or merely recallable from an archive.

6e

Also, the definitive ANTS System Documentation detailing the in and outs of the whole system. This could also be archived probably.

6f

Since ANTS is a system that people can get there hands into and write their own device drivers, commands, and data transforms, etc there are two other manuals not as detailed as the definitive system documentation. They will be the System-writers Reference Manual and the System-writers Guide. One is a "how to" and the other is "a place to find it if you think you know what you want".

6g

So as you can see we have a lot of stuff to put on-line. It would be possible to do any part of this stuff with the utility or all depending on what you and we think. I will be glad to comment further if I can, or we can talk while I'm out there. Jim, I will get you that document on our editor as soon as we can get some made

Possible ANTS use of the Utility

up. I haven't forgotten you.(See Jim, I really don't mind using  
TNLS, actually it keeps getting easier)

As always,  
John Day

7

8

DAY 9-DEC-73 20:31 20824

Possible ANTS use of the Utility

(J20824) 9-DEC-73 20:31; Title: Author(s): John D. Day/DAY;  
Distribution: /JHB; Sub-Collections: NIC; Clerk: DAY;  
Origin: <ILLINOIS>NOTETOBAIR.NLS;1, 9-DEC-73 18:44 DAY ;

Tickler for week of 10 December

(dm3) 10 December - Monday

0830 hrs. Branch Chief's Meeting

ISIS/D. Nelson - Advanced Development Candidates

Dr. Cragg Visit - 0845 - 0945 - ISOverview - Mr. Barnum

1000 - 1100 Software First - Maj Patterson

1100 - 1200 - Higher Order Languages - Mr. DiNitto

1300 - 1400 - Software Reliability & Validation - Mr. Nelson

1400 - 1700 - Discussion

One hour is scheduled for each, but it is suggested that a 30 minute briefing be prepared to allow for questions.

ISIM/ISIS - Completion of Info Sci Div Questionnaire - All SSE personnel

(dt3) 11 December - Tuesday

Col Thayer - TDY

ISIM/R. Iuorno - Negative Interim Report on Inventions on Contract F30602-73-C-0001 w/Univ of Michigan - Completed

(dw3) 12 December - Wednesday

Col Thayer - TDY

ISF Confessions - 0830 hrs.

Due Date - ISIM/ISIS - Awards for Technical Achievement

1445 hrs. Presentation on Software - ISI/Col Thayer

(dth3) 13 December - Thursday

Col Thayer - TDY

Laboratory Activity Reports due today: Bucciero must have them by 1000, ISM must have them by 1100, and DOT must have them by 1600.

0830 hrs. Branch Chief's Meeting

(df3) 14 December - Friday

Col Thayer - TDY

Bobbie: Travel figures due by noon.



Tickler for week of 10 December

Due Date - ISIM/R. Luorno - Interim Report - Contract  
F30602-73-C-0001 for Technical Review

5c

Due Date - ISIM/Ray Liuzzi - Technical Evaluation PR-B-4-3245

5d

20825 Distribution

Larry M. Lombardo, Anna A. Cafarelli, Roberta J. Carrier, Donna R. Robilotta, David L. Daughtry, Richard H. Thayer, Frank J. Tonaini, Mike A. Wingfield, Edmund J. Kennedy, Ray A. Liuzzi, John W. Johnson, Donald Van Alstine, Dean F. Bergstrom, William P. Bethke, Frank S. LaMonica, William E. Rzepka, Rocco F. Iuorno, Frank P. Sliwa, Thomas J. Bucciero, Robert E. Doane, David A. Luther, Roger B. Panara, John L. McNamara, Joe P. Cavano, Duane L. Stone, Marcelle D. Petell, Josephine R. Stellato, Robert K. Walker, Thomas F. Lawrence, James H. Bair,

RJC 10-DEC-73 06:07 20825

Tickler for week of 10 December

(J20825) 10-DEC-73 06:07; Title: Author(s): Roberta J. Carrier/RJC;  
Distribution: /RADC; Sub-Collections: NIC RADC; Clerk: RJC;

## Biographical Sketch - Mr. Richard Nelson

## BIOGRAPHICAL SKETCH - Richard Nelson

1

Mr. Richard Nelson is currently serving as Chief of the Software Sciences Section of the Rome Air Development Center, USAF. Except for a two-year assignment as staff scientist with the SHAPE Technical Center (NATO) in the Hague, Netherlands, Mr. Nelson has been employed by RADC since 1950, and prior to that by its predecessor the Watson Laboratories, USAF, from 1947. Prior to that he served 2 1/2 years with the U.S. Navy, and for a short time with the Western Electric Company.

2

In addition to duties involved with the RADC program on software reliability and High-Order programming language control, since 1971 Mr. Nelson has been the Chairman of the USAF Industry Committee modernizing the JOVIAL programming language (and authors of the recent JOVIAL J/73 version). He is also serving as a member on the ANSI X3/SPARC/BASIC Committee for the standardization of the BASIC timesharing language, and is a member of the Planning Committee for the 1975 National Software Reliability Symposium. Mr. Nelson is a past member of the IEEE, a present member of the ACM, and holds a BA in Physics with graduate work in Computer Sciences from Syracuse University.

3



20827 Distribution  
Frank J. Tomaini,

Biographical Sketch - Mr. Richard Nelson

(J20827) 10-DEC-73 06:45; Title: Author(s): Roberta J. Carrier/RJC;  
Distribution: /FJT; Sub-Collections: NIC; Clerk: RJC;  
Origin: <CARRIER>BIO.NLS;1, 10-DEC-73 06:43 RJC ;

## Comparison of AABNCP and NPS studies

10 december 1973

Mr Tomaini

This note is written in response to your request to compare the aabncp TRS study with the NPS study effort by Walker, Kesselman, et al.

I have concluded that there is no direct overlap in the two proposed efforts; however, it appears that the two jobs would involve the same offices and possibly some of the same people (ESD, MITRE, and RADC). Additionally, the Remote Terminal Emulator may be the device proposed by ESD/YSE to access the RADC computer for the AABNCP RTS study (see YSE letter undated, subject "RADC FY-74 Support for the 481b Program").

The purpose of the NPS study is to ascertain the capabilities of the Network Processing System. This will involve the use of the TPE to generate transactions within the GCOS software. Some overlap in the two studies may be manifested here in that some GCOS performance statistics can be compiled as they relate to the generated transactions.

Note that the main purpose of the AABNCP RTS study is to determine how well GCOS functions when using the Priority Dispatcher and a Transaction Processor. Hopefully, the study can be made using the WWMCCS software as well if ever we are able to run it in Time sharing and batch mode. Additionally, we are to make the RTS study with different loadings of GCOS or WWMCCS.

Note that while we have been trying to generate a proposal of work acceptable to IS, ISC has gone on and set up their study plan and have assumed available resources without clear approval for the work. I think we can basically do the same thing realizing that we do have the people capable of the study. The only problem may be in our requirementment to have a generalized Transaction Processor available, and even so, some limited study could be made without the TPE.

In conclusion, I think the two studies are more complementary than overlapping. We could proceed by reviewing and cooperating with the ISC effort.

DAVID L DAUGHTRY

20828 Distribution

Frank J. Tomaini, Roberta J. Carrier, Ray A. Liuzzi, Rocco F. Iuorno,  
David L. Daughtry,



Coparison of AABNCP and NPS studies

(J20828) 10-DEC-73 07:53; Title: Author(s): David L. Daughtry/DLD2;  
Distribution: /FJT RJC RAL RFI DLD2; Sub-Collections: NIC; Clerk: DLD2;  
Origin: <DAUGHTRY>AABNCP-LETTER2.NLS;1, 10-DEC-73 07:47 DLD2 ;

I have written a short letter to Mr. Tomaini about the AABNCP and NPS proposals and how I think they relate. The letter is available by journal mail...Dave Daughtry

1

20829. Distribution

Frank J. Tomaini, Roberta J. Carrier, Ray A. Liuzzi, Rocco F. Iuorno,  
David L. Daughtry,

(J20829) 10-DEC-73 08:00; Title: Author(s): David L. Daughtry/DLD2;  
Distribution: /FJT RJC RAL RFI DLD2; Sub-Collections: NIC; Clerk: DLD2;

That Old RFC

Jon,  
It's RFC 517 NIC 16712 -- the number was taken from the system in May  
of this year. That's all I know about it, except that it was taken  
out by you. Let me know what you find out.  
Marcia

1



20830 Distribution  
Jonathan B. Postel,

That Old RFC

(J20830) 10-DEC-73 08:28; Title: Author(s): Marcia Lynn Keeney/MLK;  
Distribution: /JBP; Sub-Collections: SRI-ARC; Clerk: MLK;

this is the much talked about toronto talk how does it look

(ott) NOTES FOR OTTAWA TALK

During all of this talk, i am assuming that someone else, either yo or Don has explained the background of BPG, including:

location in company structure

objectives

methods of operation

etc.

home ta

background

initial delphi study on the home services... what services looked most probable

purpose

to determine what direction the technological emphasis was taking are there some real problems that must be overcome....what will the side-effects of some of these services be ?

methods (methodology = SPRITE

what is sprite ?

sequential polling and review of interacting teams of experts

identify groups or disciplines with a stake in the future of the these services (that is, their future development) and get them to compare note re their assumption, expectations, and fears re the development of the services.

asking the groups to pool their knowledge and develop some forecasts about the future of a particular technology (traditionally) or process

How is sprite different from Delphi ?

who are the experts ? Sprite recognizes that definitions vary.

1

1a

1a1

1a2

1a3

1a4

1b

1b1

1b1a

1b2

1b2a

1b3

1b3a

1b3a1

1b3a2

1b3a3

1b3b

1b3b1

this is the much talked abot toronto talk how does it look

accent on identifying differences rather than on coming to a conclusion	1b3b2
reliance on comments for developing subsequent round, rather than on the statistical data	1b3b3
areas covered	1b4
privacy (with respect to other people)	1b4a
securtiy (with respect to data)	1b4b
interpersonal relations (expected changes in how we relate to people)	1b4c
time (how much more free time, how will we spend it ?)	1b4d
trvl- comm'ns	1c
purpose	1c1
to develop a better understanding of what factors motivate businessmen to travel rather than use communications media, and to understand what aspects of their travel could be substituted for by these same media, or media that are being developed.	1c1a
methods	1c2
survey of some 40,000 business travelers, traveling between Montreal and (Toronto, Ottawa, and Quebec City), and Toronto and (Ottawa). 9000 replies expected.	1c2a
cooperation between many different groups, each with a vested interest in the results was necessary. Some of cooperating groups included:	1c2b
Air Canada, CP Air, CN Rail, Voyageur Bus, Gov't. of Quebec,	1c2b1
parameters around which the questionnaire was based	1c3
corridor being traveled (even the idea of a corridor is crucial)	1c3a
type of company or institution sponsoring the trip, and the travelers situation in that company	1c3b
principle reason or purpose for making the trip	1c3c

this is the much talked about toronto talk how does it look

secondary reasons for the trip (business and non:business)	1c3d
nature of communication process that will dominate the meeting, ie:	1c3e
bargaining	1c3e1
decision-making	1c3e2
courtesy or personal relations	1c3e3
security or confidentiality required	1c3e4
duration of the trip	1c3f
types of face-to-face communication that might have been replaced by telecommunication.Examples:	1c3g
showing visual material	1c3g1
talking to a number of people at one time	1c3g2
talking to a number of different groups in the course of the day.	1c3g3
areas for further work.....where has it lead us	1c4
intra-city transportation elements	1c4a
energy consumption :          travel vs. communications	1c4b
visual	1d
conference T.V.	1d1
computer conferencing	1e
general idea ..... new form of interaction	1e1
asynchronous comm'n. with sophisticated retrieval capabilities	1e1a
personal touches possible like anonymous messages, confidential messages, etc.	1e1b
input management routines: don't enter this comment until Larry has already read the text once; don't enter this comment until Nov. 28, etc.	1e1c



this is the much talked about toronto talk how does it look

some specific examples of how it can improve communications 1e2

able to hold conferences "out of time", "out of place" 1e2a

the fact that it is a formal conference tends to keep everyone on the right subject....not much jumping around.....chairman can control direction of the conference. 1e2b

it's democratic...everyone gets a voice 1e2c

even though everyone is speaking, you don't have to listen to you can be selective 1e2d

saves listeners' time 1e2d1

encourages speakers to be concise 1e2d2

continuously updated written record of proceedings is available 1e2e

to go back in the conference to confirm anything without disrupting proceedings 1e2f

some examples of config. systems we're using 1e3

BNR - used mainly as a message service and project documentation aid. Very little interactive, simultaneous communication. very easy to retrieve past messages.....by number, by date, by author, or by content 1e3a

Institute for the F - used mainly as a project development and coordination aid 1e3b

Englebart's ARC - not as useful for conferencing as the above two, but it has a host of information management routines that let you get right into another planner's work and observe what he is doing, how he operates, what his style is, what his priorities are, etc. 1e3c

more detail on the Englebart system. 1e4

Initial reactions to a lot of the people we talk to are skeptical.....they wonder how anybody could work in that sort of environment, ie, with someone peering over their shoulder all the time 1e4a

actually the reverse is true. the worker becomes more productive, rather than less productive... the increased productivity stems, we believe, from the improved

this is the much talked abot toronto talk how does it look

communications within his community of related planners or  
researchers. He has access to the ideas, thoughts,  
schedules, procedures, etc. of the community.

1e4b

how to give credit for work done

1e4b1

synergy at work

1e4b2

comunity's structuring of heir own informaato must be of  
key importance.

1e4b3

20831 Distribution  
Lawrence H. Day,

MIKE 10-DEC-73 09:13 20831

this is the much talked abot toronto talk how does it look

(J20831) 10-DEC-73 09:13; Title: Author(s): Micaael T. Bedford/MIKE;  
Distribution: /LHD; Sub-Collections: NIC; Clerk: MIKE;

MIKE 10-DEC-73 09:15 20832

htis is he tiltelelelel

this is the txt of message test one...hi larry

1



20832 Distribution  
Lawrence H. Day,

MIKE 10-DEC-73 09:16 20832

htis is he tiltelelelel

(J20832) 10-DEC-73 09:16; Title: Author(s): Michael T. Bedford/MIKE;  
Distribution: /LHD; Sub-Collections: NIC; Clerk: MIKE;

Visit Log: Per L. Hoving, Per Overnas -- University of Uppsala

VISIT LOG: Per L. Hoving, Per Overnas (UMLAUT on O and a), and wife

From

Department of Computer Sciences,

University of Uppsala,

sturegatan 4 B,

S-752 23 Uppsala, Sweden.

Interest: Display work stations

Demoed the system to them and sent them away with several documents, including AKW paper and last couple of reports.

They were very interested in our system and used it to do some play editing. Most intrigued by split screen stuff. Very nice people.

Visit Log: Per L. Hoving, Per Overnas -- University of Uppsala

(J20834) 10-DEC-73 16:48; Title: Author(s): Charles H. Irby/CHI;  
Sub-Collections: SRI-ARC; Clerk: CHI;

MLK 10-DEC-73 16:52 20835

Hark ARC

Please read this important announcement



Hark ARC

There will be an ARC Christmas party at the Englebart's this Friday December 14 starting at 3 PM. All ARC staff and their friends, spouses, children, etc. (no dogs) are invited.

1

Please bring something for all to eat or drink as it's a potluck so to speak. Sign up is at the ARC office window.

2

One dollar will help buy beer and other Christmas cheer -- payable to Judy, Marcia, or Carol by Thursday. No Scrooges allowed.

3

Please come

4

20835 Distribution

Donald C. (Smokey) Wallace, Richard W. Watson, Don I. Andrews,  
James H. Bair, A. Jim Blum, Meredith (Reddy) E. Dively, Jeanne M.  
Leavitt, Rodney A. Bondurant, Jeanne M. Beck, Mark Alexander Beach,  
Judy D. Cooke, Marcia Lynn Keeney, Carol B. Guilbault, Susan R. Lee,  
Elizabeth K. Michael, Charles F. Dornbush, Elizabeth J. (Jake)  
Feinler, Kirk E. Kelley, N. Dean Meyer, James E. (Jim) White, Diane  
S. Kaye, Paul Rech, Michael D. Kudlick, Ferg R. Ferguson, Douglas C.  
Engelbart, Beauregard A. Hardeman, Martin E. Hardy, J. D. Hopper,  
Charles H. Irby, Mil E. Jernigan, Harvey G. Lehtman, Jeanne B. North,  
James C. Norton, Jeffrey C. Peters, Jake Ratliff, Edwin K. Van De  
Riet, Dirk H. Van Nouhuys, Kenneth E. (Ken) Victor

Hark ARC

(J20835) 10-DEC-73 16:52; Title: Author(s): Marcia Lynn Keeney/MLK;  
Distribution: /SRI-ARC; Sub-Collections: SRI-ARC; Clerk: MLK;  
Origin: <KEENEY>XMAS.NLS;3, 10-DEC-73 16:50 MLK ;

Yes let us bury the GA

Hic Jacet

Yes let us bury the GA

I liked your rfc on GA. We have always agreed on the issue. I hope that your very persuasive arguments and impeccable wording will open the eyes of the renegades at 360/91 and generate such sentiment that GA as required initial mode will die. I have already voiced my opinion in an earlier RFC though in much milder terms. But I will voice it again in stronger (even profane) words. I missed the new TELNET protocol meeting as my wife was having a baby and I was the midwife. That is the only meeting I regret having missed as much damage was done by Braden, Padlipsky and McKenzie in letting the GA be born and survive. Though Padlipsky relented and offered to withdraw his suggestion when told of precisely the arguments mentioned in your rfc. We need only to convince Braden, and McKenzie says he will be happy to agree to a change in specs. Added dividend is that old user TELNETS will "work" with new servers without the GA..... after a fashion atleast. So let us move on and start the pressure on ccn renegades.

1



Yes let us bury the GA

(J20836) 10-DEC-73 20:51; Title: Author(s): Abhay K. Bhushan/AKB;  
Distribution: /EAT3; Sub-Collections: NIC; Clerk: AKB;

20836 Distribution  
Edward A. Taft,

Re: Programs and site write-up

Dear Yngvar,

Sorry, I have fallen a bit behind in my correspondence. I have two messages from you to which I have not responded.

With regard to the write-up we discussed while you were here: yes, I will be glad to use the information from the ARPAnet news. I will wait until it comes out and look it over; then if I need more information I will get in touch with you. Meanwhile, if you receive word that other hosts are definitely going to be added to your IMP please let me know so that I can get a more complete write-up.

In response to your request for programs available for optical ray tracing: I have looked through the information I have but see nothing along those lines, therefore, I would suggest that you send a brief message to all the Liaison around the network asking them if they know of such a program or programs. You can do this through the Journal (at SRI-ARC) by using the ident NLG when asked for 'distribution'. If you are not familiar with this send me a sndmsg telling me where you want your mail delivered and I will do it for you.

Unfortunately we have not gathered as much information as we would like concerning programs available around the network - I hope to spend some time on this after the first of the year.

How are you coming with NLS? That was an unfortunate situation we got into with partial copies. The partial copy is the copy one is currently working on, but is not the permanent copy. In order to transfer current work onto the permanent copy, one must update the file. This gets rid of the partial copy and overwrites all the new material onto the permanent file. Our problem arose when both of us were logged in as you. This is a rare instance that under ordinary circumstances should not happen. Let me know if you are having problems as you go along.

Best regards, Jake

20837 Distribution  
Yngvar Lundh,

JAKE 10-DEC-73 23:07 20837

Re: Programs and site write-up

(J20837) 10-DEC-73 23:07; Title: Author(s): Elizabeth J. (Jake)  
Feinler/JAKE; Distribution: /YL; Sub-Collections: SRI-ARC; Clerk: JAKE;  
Origin: <FEINLER>LUNDH.NLS;2, 10-DEC-73 23:05 JAKE ;



## Article Coming Your Way

Jean:

I am sending the Arpanet News article under separate cover. As I remarked before, I am not quite sure what format you would like it in, but at present it is plain NLS, I have not even included the Author, although I'm sure you know who it was written by.., I hope it meets with your approval in its final form, and any time I can be of any other help either on the Arpanet News front or any other aspect, please do not hesitate to contact me. By the way, some Saturday we must get together for that interview. I saw you on over the weekend, but you were busy, at least I think it was you.. HELP?

Anyway, cheers for now .. Steve

1

20838 Distribution  
Jean Iseli,

Article Coming Your Way

(J20838) 10-DEC-73 23:29; Title: Author(s): Stephen R. Wilbur/SRW;  
Distribution: /JI; Sub-Collections: NIC; Clerk: SRW;

## ARPANET - A British Way of Life?

## ARPANET -- A British Way of Life?

## Introduction

Apart from being at the receiving end of puns about 'very remote hosts', what does it feel like to be on the British spur of the ARPANET? Well, as for most other Tip users it varies between the bleak 'NET TROUBLE' to the warm 'LINK FROM ..' responses on a terminal. Obviously though, we have other rewards than friendly chit-chat, including access to computer systems which would otherwise be unavailable, and off-peak use of these machines.

Why did I therefore single out the 'NET TROUBLE' and 'LINK FROM ..' messages? Largely because I feel that these are very significant as far as we, at present, in Britain are concerned. The reason is that we are on a spur from Washington, via Norway, and net trouble usually means the spur is down, thus isolating us from all American machines.

Linking and mail facilities are very vital, since these in fact represent to us the only effective means of communication. If we had the same computer systems in the UK as we have access to over the net we could obviously rely on telephone or postal services for queries etc.. However, over the net we have to rely on the mailing services within the network, and in fact we probably have very good response to our mail, since they get into your systems at the beginning of the day, resulting in our getting replies the same or next day.

We have been actively on the network, both via terminals and front-ending the RHEL 360/195, for about three weeks now. My own feelings on logging in to a strange host are ones of wondering where it is, what its surroundings are and such like, so in part of the remainder of this article I shall try to give an informal look at our installation. I shall also try to present some of my experience so far, but as a user rather than a computer network specialist. Perhaps one of our system implementers will write a more technical item in the future.

## The UK Scene

The research group working on ARPA related topics, and engaged in looking after the Tip are part of the Dept. of Statistics and Computer Science, at University College, London. We are sited in a set of Georgian houses overlooking Gordon Square, in the heart of Bloomsbury. The houses have their facade preserved and still appear much as they must have done to their former inhabitants, the so-called 'Bloomsbury Set' of the early part of this century. Those inhabitants included Virginia Woolf and Lytton Strachey.



## ARPANET - A British Way of Life?

On the ground floor of 47 Gordon Square can be found our installation. In one room we have a Tip, two PDP-9s, two telephone switchboards, four terminals and a very noisy air conditioning plant! From the ARPA point of view all US linking comes via the Tip into either, one of the switchboards and thence to the dialled up users, or to PDP-9A and via the other switchboard to the RHEL 360/195 about sixty miles away in Berkshire. PDP-9A is becoming used more and more to front end the 360, and PDP-9B is at present being used largely in the development of further software. In the future, it is hoped that this machine, too, may be connected to the Tip and front end the Computer Aided Design Centre machines in Cambridge, again about sixty miles away.

3b

Geographically, we are somewhat unique. Apart from being the first Tip outside the USA, the majority of our Tip users use the network via dialled up connections. Although by American standards the distance between them and the Tip is not large, distances of between 60 and 200 miles can pose problems, especially with regard to capturing of printers and related topics.

3c

On a recent count, there are some 15 groups in this country who expect to become active participants on the net within the next month. They range from groups collaborating on AI work, through some intending to do graphics work to others involved in information retrieval exercises. A document will be issued shortly giving the names and means of contacting these active users.

3d

## My Activities

4

My personal activities on the net over the past few weeks have been aimed at three broad areas:

4a

Getting familiar with the NIC,

4a1

Setting up procedures for dealing with local documentation,

4a2

Providing some personalised mail facilities within the normal SNDMSG facilities.

4a3

Obviously, the first two activities have involved a reasonable amount of work at the NIC. What we intend to do as far as British copies of Network documentation is concerned, is to keep reference manuals at the British Library in Yorkshire. Documents sent there will then be put on to microfiche and a copy sent to all participants. The original documents are then available for loan, or prints. The microfiche will be free to users, but they will



## ARPANET - A British Way of Life?

have to pay for prints. Since the documentation concerning the 360/195 will also be kept this way, we hope to be able to extend this service to American users in the future.

4b

The catalogue of this collection will shortly be kept on-line at the NIC, in (uk-ics,uk-docs,bl). If any US users find any of our 360/195 documentation in there of interest, please contact me via ident SRW at the NIC, or by SNDMSG to KIRSTEIN at ISI. In the latter case, include the characters SRW: in the message or title, (see next paragraph).

4c

The other of my recent activities concerned a mail problem which we have, and I suspect, many other sites have. Many of our users are interested first in getting a feel for the network, and then later in persuing some more specific activity. Until they are engaged in such activities we are unwilling to have separate accounts set up for each user, so we feel that it should be possible to fit them all under the umbrella of one account. This has now been done, and any of the active groups can be contacted via the UK account at ISI. In order to filter the mail, the sender has to include a short mnemonic followed by colon, in the message. When UK users call POST, (our mail subsystem,) they give their identifier and see only mail destined for them. Obviously, means are provided for getting at unaddressed mail.

4d

## Experiences

5

## Time Difference

5a

The one factor which affects us more than any other users is the time difference between the UK and USA. We are normally eight hours ahead of the west coast, and five hours ahead of the east coast. This means that our best response on Californian machines is between 8 am and 12 noon, by which time the east coast users start getting active. This access period immediately gives us a number of advantages, but at the same time a number of sociological disadvantages.

5a1

The obvious advantage is that of working on underloaded machines, and having fantastically good response on machines which US users tell me they rarely use because they can never get in. The fairly obvious disadvantage is that all computer installations, out of sheer economics, do their maintenance in off-peak times, and via ARPANET that means we are the ones deprived of the machine! In fact, this is a mixed blessing as we shall see.

5a2

The reason I entitled this article "A British Way of Life", is because of the sociological changes British network use

## ARPANET - A British Way of Life?

implies. Student lectures have to be given and telephone calls have to be taken in the morning, (certainly if one wants results by next day,) so that I find the period from about 10 am onward very difficult to use for network activities. Thus my way of life has been tempered to the extent of starting around 6.30 to 7 am and working till 9.30 uninterrupted. It should be now obvious why routine maintenance, one day a week is somewhat of a blessing!

5a3

## Personal Communication

5b

Whilst we, at UCL, have got access to a reasonable number of general purpose manuals I find, as one always does, that they never quite explain just what you want to know. Over the past few weeks, therefore, I have found the various mail systems invaluable. In general replies have been very prompt. However, on the few occasions when some information was needed desperately and no response was forthcoming, the feeling of frustration is immeasurable due to the lack of telephone access.

5b1

Occasionally, we use the net in the afternoons, when we specifically wish to talk to someone, but the most interesting linking I have done has been at weekends. I suppose the attraction of linking is that it lies somewhere between the pioneering radio ham era and some sort of spiritualism! Whenever problems have arisen and I have linked to someone I thought might help, I have found that the assistance given has been fantastic, and obviously beats the mail facilities hands down. One particularly interesting link was after I had requested a file from archive some days ago at SRI. When the file was reloaded the operator came through to inform me. We got to chatting, and he in talking about England said his Uncle was a professor in the university. It eventually turned out that I had been working with the same uncle for some four years!

5b2

## Technicalities

5c

Finally, a few words on the technicalities of life on a spur. Since we only have one route into the general network from London to Washington, any failure on this spur isolates us completely. Furthermore, as I have said, our access is best in the morning. Thus, if either the host we are interested in, or the line is down we have a day in which we can do no work. Ah! you say, what about off-line facilities? This is just what we said in the circumstances. All we wanted to do was to prepare some documents off-line, and then next time the net was up send them to our friendly host. To a certain extent we have been successful, but the main problem lies in the fact that the Tip

## ARPANET - A British Way of Life?

is not designed to support free running devices like a teletype reader, and one ultimately, with any sort of realistic loading on the net gets into trouble with buffers overflowing. I am told this is being looked into, but I feel a strong need for good facilities in this area.

5c1

Just as we feel we need good facilities in the Tip to allow us to prepare off-line paper tapes, so we also need support systems in the hosts we are using. Many of these facilities exist at the NIC, but as yet through lack of information I have not tried other systems' utilities such as RUNOFF which I believe does page formatting, on other Tenexs.

5c2

ARPANET - A British Way of Life?

(J20839) 10-DEC-73 23:30; Title: Author(s): Stephen R. Wilbur/SRW;  
Distribution: /JI; Sub-Collections: NIC; Clerk: SRW;  
Origin: <UK-ICS>ANART.NLS;4, 5-DEC-73 02:32 SRW ;



## L10 and Journal Queries

Dean:

A few more queries, now that I can get back on-line after the first of the season's power cuts..

## Outputting Sequential (?) Files from L10 Programs

One program which I would like to try writing in L10 would be to produce circulation list labels from a list of names and addresses held in an NLS file. The output format would be 3 labels side by side, by say 6 deep, ie. 18 per page. Thus one page of labels would be well over the limit of size for an NLS statement. Is the most sensible way then, to output a sequential (SENDPRINT format?) file, or would it be better to put one set of 3 labels as an NLS statement?

## NLS Statement Size

A strange thing happened the other day. I had some o/p from an on-line session, which I wanted to include as a scenario in a document I am writing. When this was put into an NLS statement, after processing by DEX, all but about the last three lines appeared. Repeated insertion of these lines had apparently no effect when I used 'ps', but using the '/' cmd the text appeared to be there. Splitting the statement in fact did produce the text, plus about 4 more copies which I had in fact successfully edited in. Any comments? The statement size was 1069 chars according to TECO which I had used to put in the primes needed for DEX (is there a better way?) and viewspec 'w' was used for output.

## Journal Mail Printing

Is there any way, (apart from diverting output), of getting journal mail output via the likes of 'pj' to our printer, ie. is it possible to specify a print file? Also, is it possible to use a 'pj' type function on the Author branch, without moving Author to Journal? Producing both of these branches on our printer, properly paged, would be very useful, since the output is difficult to read when printed continuously over the fold!!

Sorry to keep raising points like this, but certain areas of documentation are bound to be lacking in any large system, and these areas obviously call for personal contact. I hope this message eventually reaches you, since the TIP has stopped three times during submission, and I have continued by using ATTACH.. Oh what faith I have!!

Cheers and Thanks .. Steve



LLO and Journal Queries

(J20840) 11-DEC-73 01:21; Title: Author(s): Stephen R. Wilbur/SRW;  
Distribution: /NDM; Sub-Collections: NIC; Clerk: SRW;  
Origin: <UK-ICS>MES.NLS;1, 11-DEC-73 00:39 SRW ;

tickler - week of 3 - 7 Dec

(dm2) 3 December - Monday

0830 hrs. Branch Chief's Meeting

Robert Stover - Interview w/Col Thayer -- 1330 hrs.

News Brief items due into Becky Today. Completed

Bobbie: Personnel Strength Rpt. due. Completed

Due Date - Action Items (IS) Reply to Thayer - Review status of DM-1

(dt2) 4 December - Tuesday

Due Date to ISF - For Tom B. Draft Proposal Re Equipment Maintenance

(dw2) 5 December - Wednesday

ISC Confessions - 0830 hrs.

(dth2) 6 December - Thursday

0830 hrs. Branch Chief's Meeting

Status of DM-1 - Bergstrom w/Col Thayer - 1330 hrs.

ISIM/Rr. Panara - Impact statements due

Laboratory Activity Reports due today: Bucciara must have them by 1000, ISM must have them by 1100, and DOT must have them by 1600.

(df2) 7 December - Friday

Timecards due today.

Bobbie: Travel figures due by noon.

Due Date - ISIM - Unsol Prop DO 85-74, "Network Info Center &amp; Augmented Knowledge Workshop Development" w/SRI (1 cy) - Completed

Due Date - ISIM - Unsol Prop DO 82-74, "Interactive Command Language III" w/Honeywell (2 cys)

20841 Distribution  
Frank J. Tomaini,

RJC 11-DEC-73 05:45 20841

tickler - week of 3 - 7 Dec

(J20841) 11-DEC-73 05:45; Title: Author(s): Roberta J. Carrier/RJC;  
Distribution: /FJT; Sub-Collections: NIC; Clerk: RJC;