

SEP 30 - OCT 6, 1973: A WEEK IN REVIEW

## WEEKLY ANALYSIS REPORT:

WEEK: SEP 30 - OCT 6, 1973 (24 HOURS/DAY)

TOTAL SYSTEM CPU: 53.717 HRS

## (ARC)

IDENT	CPU HRS	CON HRS	CPU/CON	% SYS	CON/CPU:1
(STAFF)					
(JMB)	.444	14.193	.031	.827	31.966
(DCE)	.755	22.067	.034	1.406	29.228
(SRL)	.312	7.070	.044	.581	22.660
(NDM)	.211	15.726	.013	.393	74.531
(JCN)	1.160	24.453	.047	2.159	21.080
(DVN)	.681	18.854	.036	1.268	27.686
(PR)	.023	.494	.047	.043	21.478
(RWW)	.255	9.517	.027	.475	37.322
-----					
(TOTAL)	3.841	112.374		7.152	
(PSO)					
(JML)	.069	4.787	.014	.128	69.377
(BAH)	.363	15.549	.023	.676	42.835
(MEJ)	1.135	74.558	.015	2.113	65.690

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(KIR)	1.712	46.199	.037	3.187	26.985	6a4d
	-----	-----		-----		6a4e
(TOTAL)	3.279	141.093		6.104		6a4f
						6a4g
(NIC)						6a5
(JDC)	.048	4.591	.010	.089	95.646	6a5a
(EJF)	.691	24.079	.029	1.286	34.847	6a5b
(CBG)	.010	.100	.100	.019	10.000	6a5c
(MDK)	.346	7.320	.047	.644	21.156	6a5d
(MLK)	.434	16.371	.027	.808	37.721	6a5e
(JBN)	.670	32.178	.021	1.247	48.027	6a5f
	-----	-----		-----		6a5g
(TOTAL)	2.199	84.639		4.093		6a5h
						6a5i
(HARDWARE)						6a6
(RDB)	.008	.259	.031	.152	32.375	6a6a
(MEH)	.018	.397	.045	.034	22.056	6a6b
(JR)	-	-	-	-	-	6a6c
(EKV)	-	-	-	-	-	6a6d
	-----	-----		-----		6a6e
(TOTAL)	.026	.656		.186		6a6f
						6a6g
(TENEX)						6a7
(DIA)	.057	2.093	.027	.106	36.719	6a7a
(WRF)	1.153	24.989	.046	2.146	21.673	6a7b

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(KEV)	.726	20.653	.035	1.352	28.448	6a7c
(DCW)	1.625	54.849	.030	3.025	33.753	6a7d
	-----	-----		-----		6a7e
(TOTAL)	3.561	102.584		6.629		6a7f
						6a7g

## (NLS)

(CFD)	1.737	46.637	.037	3.234	26.849	6a8a
(JDH)	.961	27.790	.035	1.789	28.918	6a8b
(CHI)	1.306	31.851	.041	2.431	24.388	6a8c
(DSK)	.099	6.212	.016	.184	62.747	6a8d
(HGL)	.331	10.633	.031	.616	32.124	6a8e
(EKM)	.997	36.970	.027	1.856	37.081	6a8f
(JEW)	.801	45.015	.018	1.491	56.199	6a8g
	-----	-----		-----		6a8h
(TOTAL)	6.232	205.108		11.601		6a8i

## (GROUP) TOTALS

GROUP	CPU HRS	CON HRS	CPU/CON	% SYS	
					6b
					6b1
					6b2
(STAFF)	3.841	112.374	.034	7.152	6b3
(PSO)	3.279	141.093	.023	6.104	6b4
(NIC)	2.199	84.639	.026	4.093	6b5
(HARDWARE)	.026	.656	.040	.186	6b6
(TENEX)	3.561	102.584	.035	6.629	6b7
(NLS)	6.232	205.108	.030	11.601	6b8

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	-----	-----	-----		6b9	
(TOT)	19.138	646.454	35.765		6b10	
					6b11	
(STATS)					6c	
HIGHEST CPU: KIR	1.712 hrs	LOWEST CPU: CBG	.010 hrs		6c1	
HIGHEST CON: MEJ	74.558 hrs	LOWEST CON: CBG	.100 hrs		6c2	
HIGHEST CPU/CON: CBG	.100	HIGHEST CON/CPU:1: JDC	95.646		6c3	
					6c4	
(OVERHEAD)					6d	
PETERS	1.951	54.493	.036	3.632	27.931	6d1
BACKGROUND	1.131	57.767	.020	2.105	51.076	6d2
CAT	.634	5.260	.121	1.180	8.297	6d3
CATALOG	.330	2.071	.159	6.280	6.276	6d4
DOCB	-	-	-	-	-	6d5
DOCUMENTATION	.278	8.995	.031	.518	32.356	6d6
GILBERT	-	-	-	-	-	6d7
NETINFO	.076	2.806	.027	.141	36.921	6d8
NIG-WORK	-	-	-	-	-	6d9
OPERATOR	.752	31.956	.024	1.400	42.495	6d10
PRINTER	7.754	113.587	.068	14.435	14.649	6d11
SYSTEM	1.278	125.519	.010	2.379	98.215	6d12
SYSTEM	.787	136.836	.006	1.465	173.870	6d13
SYSTEM	6.419	124.877	.051	11.950	19.454	6d14
	-----	-----	-----			6d15
(TOTAL)	21.390	664.167	45.485			6d16



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(XEROX)

NAME	CPU HRS	CON HRS	CPU/CON	% SYS	CON/CPU:1
COWAN	.017	.924	.018	.032	54.353
GESCHKE	.004	.426	.009	.007	106.500
SATTERTHWAITE	.295	14.029	.021	.549	47.556
SWEET	.009	1.581	.006	.017	175.667
	-----	-----		-----	
(TOTAL)	.325	16.960		.605	

(RADG)

NAME	CPU HRS	CON HRS	CPU/CON	% SYS	CON/CPU:1
BERGS	.250	17.397	.014	.465	69.588
BETHK	.167	7.633	.022	.311	45.707
CAVAN	.204	25.876	.008	.380	126.843
IUORN	.082	5.538	.015	.153	67.537
KENNE	.301	15.908	.019	.560	52.850
LAMON	.348	9.249	.038	.648	26.578
LAWRE	.127	9.897	.013	.236	77.929
MCONAM	.311	15.407	.020	.579	49.540
PANAR	.279	14.633	.019	.519	52.448

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RADC	.024	2.014	.012	.045	83.917	6f13
SLIWA	.010	.488	.020	.019	48.800	6f14
STONE	.440	20.212	.022	.819	45.936	6f15
THAYE	.004	.215	.019	.007	53.750	6f16
TOMAI	.229	12.683	.018	.426	55.384	6f17
-----	-----		-----			6f18
(TOT)	2.776	157.150		5.597		6f19
						6f20

(NETUSERS) TOP FIVE

NAME	CPU HRS	CON HRS	CPU/CON	% SYS	CON/CPU:1	
TORBETT	1.733	25.396	.068	3.226	14.654	6g4
NSRDC	.744	39.706	.019	1.385	53.368	6g5
BBN-NET	.616	23.827	.026	1.147	38.680	6g6
GUEST	.567	28.264	.020	1.056	49.848	6g7
UCSB	.521	22.210	.023	.970	42.630	6g8
-----	-----		-----			6g9
(TOTAL)	4.181	139.403		7.784		6g10

(NET)	CPU HRS	CON HRS	CPU/CON	% SYS	CON/CPU:1	6h
						6h1
TOTAL	7.085	293.475	.024	13.170	41.667	6h2
						6h3
(OTHER)	CPU HRS	CON HRS	CPU/CON	% SYS	CON/CPU:1	6i

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						611
BAIR	.231	8.726	.026	.430	37.775	612
JIMB	.312	12.021	.026	.581	38.529	613
MARRAH	.002	.078	.026	.004	39.000	614
ACCOUNT O	1.570	12.030	.131	2.923	7.662	615
	----	-----		----		616
TOTAL	2.115	32.855		3.938		617

618

7

19730 Distribution

Susan R. Lee, Beauregard A. Hardeman, Douglas C. Engelbart, Don I. Andrews, Charles F. Dornbush, Elizabeth J. (Jake) Feinler, Martin E. Hardy, J. D. Hopper, Charles H. Irby, Mil E. Jernigan, Diane S. Kaye, Kirk E. Kelley, Michael D. Kudlick, Elizabeth K. Michael, Jeanne B. North, James C. Norton, Jeffrey C. Peters, Paul Rech, Dirk H. Van Nouhuys, Kenneth E. (Ken) Victor, Donald C. (Smokey) Wallace, Richard W. Watson, James E. (Jim) White, Duane L. Stone, Thomas F. Lawrence, James H. Bair, L. Peter Deutsch, James G. Mitchell,



Decisions in the help database for Oct 16

1. Fixed Recognition Mode will be used in Examples. 1
2. Name delimiters in the Syntax and Example branches will be <NULL> left delimiter and <EOL> right delimiter. In the rest of the database, name delimiters will be <NULL> left delimiter and colon right delimiter. In special cases where a clarifying word follows the name, the right delimiter may be made a space. 2
3. Because the link search algorithm will not search level by level, syntax, and example statements in the function branch should be unnamed so that links that start with SYNTAX or EXAMPLE will go to the right place. 3

19732 Distribution  
Kenneth T. Pogran,

Ken.

I sent the first copy to "KP" since that was the "Ident" printed at the top of RFC 561. When the NIC told me that my note was filed in "Subcollections NIC, KP" I got suspicious, looked up your ident, and found it was KTP.

Alex

(J19732) 16-OCT-73 20:50; Title: Author(s): Alex A. McKenzie/AAM;  
Distribution: /KTP; Sub-Collections: NIC; Clerk: AAM;



MPS segmentation system

This document is being Journalized at this time to make it  
referenceable from the Xerox PARC 1972-73 ARPA Final Technical  
Report.

## MPS segmentation system

## MPS Segmentation System Overview

1

All data in MPS are stored in segments. There are three kinds of segments: file, data, and window.

1a

A file segment is simply the addressable version of a file in the 10X system.

1a1

A data segment is the analogy of private data in the 10X virtual memory.

1a2

Window segments provide direct, linear addressing of objects composed of pages selected from one or more file segments.

1a3

At any given moment some set of segments is present in the user's VM ("swapped in").

1b

If the user wants to directly access a segment, it must be swapped in. Also, if a stack (a type of data segment) needs to be lengthened, it may have to be moved in VM. This is equivalent to swapping it out, and then swapping it back in with a different length. Whenever a segment is being considered for swapping or is swapped, a strategy procedure (either system or user supplied) is called to decide whether to swap the segment, or to fix up any addresses which may be necessary (when the segment is actually swapped).

1b1

In general, addresses manipulated by MPS programs are in segmented form and require an entire word on the PDP-10 (or two words on a NOVA).

1c

A segmented address contains a segment number, possibly an indirect or index register designator, and a VM address.

1c1

Bits	Function
0	0 if in memory, 1 if swapped out
1-12	Segment number
13	Indirect flag
14-17	Index designator
18-35	Address in Virtual Memory (if swapped in), [some invalid, trap-causing address otherwise]

1c1a

At any given moment, it must be possible to map any VM address into a segmented address and to map any segmented address into a VM address if the segment is swapped in.

1d

When a segment is swapped out, any VM addresses pointing to it

## MPS segmentation system

must be converted to "swapped-out form." In swapped-out form, any use of a segmented address will cause a segment-out-of-memory signal (signal in the MPL sense) to be generated.

1d1

The area of virtual memory in which stack segments may reside is quantized at the 10X page level (512 words). Any private pages in this segmented virtual memory (SVM) are actually pages in a file, called the DATAFILE, created at the beginning of an MPS session by the segmentation machinery.

1e

A file or a window segment or a data segment of at least one page resides in as many whole VM pages as are needed to hold it while it is swapped in.

1e1

Data segments which are less than one page long are grouped together in pages. If one such small segment is swapped in, a request to swap in a small data segment which co-resides in the same page (called a "cosegment") requires only that the segment be marked as swapped in. If a small data segment is moved (as a side effect of lengthening it, for instance), no attempt is made to reclaim the space previously occupied by it in the current implementation (this may very well change).

1e2

An empty page slot in SVM may mean one of two things:

1f

(a) it is an unoccupied slot of a window segment currently covering that page;

1f1

(b) it is a genuinely free page of the SVM.

1f2

Since the segmentation system is a software administered discipline, swapping of segments in and out of the SVM requires advice from programs outside of the segmenting machinery itself, in general. Such strategy procedures are invoked whenever

1g

(a) a segment is being considered for swapping: if the strategy procedure returns the value FALSE, the segmenting machinery will not swap the segment;

1g1

(b) the segment is about to be swapped out; or

1g2

(c) the segment has just been swapped into SVM.

1g3

The section on swapping below outlines these interfaces in detail.

1h

Segments have names, either user specified or system supplied, and are hierarchically related. The only inviolable rule on segment

## MPS segmentation system

naming is that no two segments with a common immediate parent may have the same simple name; this prevents ambiguous naming .

1i

(ucalls)

2

## Routines for Manipulating the Segmentation System

3

## Creating and Destroying segments:

3a

SegNum ← NewData(Nwords, owner)

3a1

Creates a new data segment of the specified length and returns the segment number. If owner is a valid segment number, then MakeParent(new segment, owner) will be done as a side effect of creating the new data segment.

3a1a

The segment initially contains only zeroes.

3a1a1

The segment is given an internal name

3a1a2

SegNum ← NewWindow(Npages, owner)

3a2

Creates a new window segment with the given number of page slots and returns the segment number assigned to it. If owner is a valid segment number, then MakeParent(new segment, owner) will be done as a side effect of creating the new window segment.

3a2a

All the page slots are initially empty

3a2a1

The segment name is internal as for NewData

3a2a2

SegNum ← NewFile(Name, Options, owner)

3a3

Opens the file with the given name (string), with options as given (this is just currently passed to the 10X system, and is thus highly system dependent), and assigns it a segment number, which is returned. The Options will hopefully be separated from the specifics of the 10X system in a future version of the segmenting machinery. If owner is a valid segment number, then MakeParent(new segment, owner) will be done as a side effect of creating the new file segment.

3a3a

The segment name is the same as the file name.

3a3a1

DestroySeg(SegNum)

3a4

Destroys the given segment and releases the segment number.

3a4a



## MPS segmentation system

If the segment is a data segment, it is obliterated, and the segment number is released. 3a4a1

If the segment is a file segment, the file is deleted (in the 10X sense) and the segment number is released. 3a4a2

If the segment is a window segment, its private pages are destroyed, its directory data segment is destroyed, and the segment numbers of both the window and the directory segment are released. 3a4a3

ReleaseSeg(SegNum) 3a5

Behaves like DestroySeg except when SegNum represents a file segment, in which case, the file is deleted from the segmented address space but is not deleted as a 10X file. 3a5a

Changing Attributes of Segments 3b

DelPage(SegNum, Page) 3b1

Destroys the given page in the given segment. 3b1a

Cannot be used to delete pages from data segments. These must be contiguous memory -- use SetLength to delete tail of data segment. 3b1a1

If the segment is a file segment, the page is actually destroyed. (However, if the segment is later mapped in, a zeroed page is created in this position). 3b1a2

If the segment is a window segment and the page belongs to another segment, the page is removed but not destroyed. If the page is private to the window segment, it is destroyed. 3b1a3

CreatePage(SegNum, Page) 3b2

Creates the given page in the given segment, which must be a window segment. The page must be within the current length of the window. (Use SetLength to adjust the number of pages in the window). 3b2a

MapPage(SegNum1, Page1, SegNum2, Page2) 3b3

Puts page Page1 from segment SegNum1 into the slot at Page2 in segment SegNum2, which must be a window segment. 3b3a

The same actual page will appear in the two places, not a

## MPS segmentation system

copy. (this has implications for the NOVA MPL implementation, of course)	3b3a1
If the destination page is occupied, then the prior contents are deleted (via DelPage).	3b3b
If the source is a data segment, then it must be at least a page long.	3b3c
SetLength(SegNum, Nentries)	3b4
Sets the length of the designated segment as given. It may be necessary to "move" the segment if its length is being increased, in which case it will first be swapped out (by SetLength) and then later swapped in.	3b4a
Nentries is the number of pages for a window segment or the number of words for a file or data segment.	3b4a1
Lengthening a data segment appends zeroes to it.	3b4a2
Lengthening a file or window segment appends empty pages(slots).	3b4a3
IncLength(SegNum, Nentries)	3b5
Increments the length of the designated segment as given.	3b5a
The effect is exactly: SetLength(SegNum, ReadLength(SegNum)+Nentries).	3b5a1
Swapping segments and User Control of Swapping	3c
Addr ← SwapIn(SegNum)	3c1
The specified segment is swapped into VM and its base address in segmented form is returned as value.	3c1a
If the segment cannot be swapped in, a signal is generated and passed to the caller.	3c1b
A strategy procedure is invoked (as described below under SegStrategy, SpSegStrategy, and Lock)	3c1c
(a) to determine whether it is ok to swap the segment into VM; and	3c1c1
(b) to perform any necessary housekeeping for the segment after it has been swapped in.	3c1c2

## NPS segmentation system

## SwapOut(SegNum)

3c2

The given segment is removed from VM. If the segment cannot be swapped out, a signal is generated.

3c2a

A strategy procedure (as described below under SegStrategy, SpSegStrategy, and Lock) is invoked to decide whether the segment is allowed to be swapped out, and if so, the strategy procedure is invoked again just before the segment is actually removed from VM.

3c2b

## Lock(SegNum)

3c3

The given segment is locked into place. If it is currently swapped out of VM, it will not be able to be swapped in until an Unlock is performed for it. If it is currently in VM, it will remain at the same VM address until Unlocked.

3c3a

Locking overrides any other user-defined or system-supplied strategy procedures for the given segment.

3c3b

Any attempt to lengthen a locked segment will cause a signal to be generated by the system.

3c3c

## Unlock(SegNum)

3c4

Allows the given segment to be moved or swapped or lengthened. It does not in any way affect the swapped state of the segment.

3c4a

## SegStrategy(SegType, ProcAddr)

3c5

The procedure p pointed to by the ProcAddr parameter will be invoked if a request is made to swap any segment S of type SegType and the following conditions hold:

3c5a

(a) S is not locked;

3c5a1

(b) either no special strategy procedure has been specified for the particular segment S being swapped, or the value FALSE was returned by the current special strategy procedure for S when called with the ReallyGoing parameter = TRUE (see description of parameters to strategy procedures following).

3c5a2

Whenever invoked, p will be called as  
p(SegNum, GoingOut, ReallyGoing), where:

3c5b

SegNum identifies the segment being swapped,

3c5b1

## MPS segmentation system

GoingOut=TRUE means the segment is to be swapped out  
(GoingOut=FALSE means it is being swapped in), 3c5b2

ReallyGoing=FALSE means the segment is being considered  
for swapping; if p returns TRUE, the segmentation system  
assumes that the segment may actually be swapped; if p  
returns FALSE, the segment will not be swapped. 3c5b3

If ReallyGoing=TRUE, then p should perform whatever  
pre-swapout or post-swapin housekeeping is required,  
the direction being indicated by the GoingOut  
parameter. 3c5b3a

If ProcDescriptor=0, the strategy for segments of type  
SegType reverts to the system default strategy. Presently,  
this strategy procedure does no housekeeping and simply  
returns the value TRUE. 3c5c

SpSegStrategy(SegNum, ProcAddr) 3c6

The procedure p pointed to by the ProcAddr parameter will be  
invoked if a request is made to swap some segment S and S is  
not Locked, as described above. The arguments to p are as  
described under SegStrategy. 3c6a

If some segment S with special strategy procedure Ps is  
being swapped, and Ps is called with the ReallyGoing  
parameter = TRUE, Ps may choose to let the strategy for  
segments of the same type as S be called also. It does this  
by returning the value FALSE. If the ReallyGoing parameter  
is FALSE, the value returned by Ps is used to decide whether  
or not the segment may be swapped, and no call on the  
current strategy procedure for  
segments of S's type will be made. 3c6b

Reading Attributes of Segments 3d

SegNum2 ← ReadWindow(SegNum1, Page1: Page2) 3d1

Returns the segment and page address of the page (of a data  
or file segment) residing in the window segment slot, or 0  
if the slot is empty. 3d1a

A returned value of -1 means the page is a data page private  
to the window segment. 3d1b

Nentries ← ReadLength(SegNum) 3d2

Returns the length of the given segment. 3d2a



The length of a data or file segment is measured in machine words, and of a window segment in pages.

3d2a1

class ← ReadSegmentClass(seg)

3d3

The class of the given segment is returned. The class is one of

3d3a

free: an unassigned segment number (or segment number out of range),

3d3a1

file: the segment is a TENEX file,

3d3a2

data: the segment is private virtual memory,

3d3a3

window: the segment is a window segment,

3d3a4

system: the segment is in use by the segmentation machinery for internal purposes.

3d3a5

Programs wishing to know the integer values corresponding to the segment classes should INCLUDE the DATA module (mps,segdefs,), in which the classes are defined as the identifiers freebt, filebt, datbt, windbt, and sysbt, respectively.

3d3b

SetSegmentType(seg, type)

3d4

The type field for the segment, seg, is set to the given type, which must be an integer in the range [0,maxmt]. The type of a segment is distinct from its class; its type denotes its use in terms of MPS and may be one of the following:

3d4a

freemt: MPS usage not specified, or unassigned,

3d4a1

codemt: MPS code segment, containing the code and symbol tables for an MPS object module (PROGRAM or DATA),

3d4a2

processmt: a PROGRAM module's static data -- it is segments of this type which correspond to MPS routines,

3d4a3

datamt: a DATA module's static data, corresponding to an instance of a DATA module in the same way as a segment of type processmt does for a PROGRAM module,

3d4a4

stkmt: a stack for a routine,

3d4a5

## MPS segmentation system

wsdirmt: (non-MPS use) a segment which acts as a directory for a window segment.

3d4a6

The integer values for the segment types are contained in the DATA module (mps,segdefs,) mentioned above. Their names are those given. The identifier maxmt is also defined therein.

3d4b

type ← ReadSegmentType(seg)

3d5

Returns the MPS type of the specified segment. The type will be one of the values defined under SetSegmentType above.

3d5a

SegNum2 ← NextSeg(SegNum1)

3d6

Returns the number of the next higher segment above the given one which actually exists, or zero if none.

3d6a

Page2 ← NextPage(SegNum, Page1)

3d7

Returns the number of the next higher page above the given one which actually exists in the given segment, or -1 if no higher page.

3d7a

## Address conversion and analysis

3e

SegAddr ← VToSAddr(VMAddr)

3e1

Given a virtual memory address, returns corresponding segmented address or 0 if location is not part of any (swapped in) segment,

3e1a

Addr ← MakeAddr(SegNum, WordNum)

3e2

Creates a segmented address from a segment and word number.

3e2a

SegNum ← SegNumPart(Addr)

3e3

Extracts the segment number from a segmented address.

3e3a

WordNum ← WordPart(Addr)

3e4

Extracts the word displacement from a segmented address.

3e4a

Flag ← SwappedIn(SegNum)

3e5

Returns 1 if the segment is in VM, or 0 if not.

3e5a

## MPS segmentation system

## Naming Segments

3f

The segmentation machinery supports an hierarchical naming scheme for segments: a name conflict exists if and only if two segments with a common immediate parent have the same simple name. SetSegName enforces this rule.

3f1

FindSegName(String, ContextSeg)

3f2

Returns the segment number of the segment with the given string as name, within the context of the specified segment, or 0 if no match is found. The following rules are used to determine if there is such a segment:

3f2a

(a) if one of the segments owned by the given segment has a name which matches the given string, that segment's number is returned;

3f2a1

(b) if no segment is found which matches under rule (a), then a search is made starting at ContextSeg and proceeding from parent to parent until a name match is found or the top of the ownership tree is encountered.

3f2a2

SetSegName(SegNum, String)

3f3

Sets the name of the given segment to the string. If any sibling of SegNum already has the name String, a signal is generated.

3f3a

nchar ← ReadSegName(SegNum, String, FirstChar, LastChar)

3f4

Reads the name of the given segment into the string starting at position FirstChar. If more than (LastChar-FirstChar+1) characters are needed to contain the name, a signal is generated; as many characters as will fit will already have been placed in the string by the time the signal is generated.

3f4a

A segment which has not been assigned a name by a previous call on SetSegName (or NewFile, which calls SetSegName) has a system supplied name of the form '#.NUM' where .NUM is the segment's number.

3f4b

The number of characters in the name is returned.

3f4c

## Segment Parentage and Associations

3g

MakeParent(SegNum, ParentSegNum)

3g1

## MPS segmentation system

Make ParentSegNum the parent of SegNum. SegNum will be the "first" child of ParentSegNum. Checks for possible naming conflicts among siblings.

3g1a

ParentSeg(SegNum)

3g2

Returns the segment number of SegNum's parent segment, or 0 if there is none.

3g2a

ChildSeg(SegNum)

3g3

Returns the segment number of the first child of segment SegNum, or 0 if the segment has no children.

3g3a

SiblingSeg(SegNum)

3g4

Returns the segment number of SegNum's sibling, or 0 if he has none. The children of a given segment are "ordered" so that one can sequence through them by acquiring the segment number of the parent's first child using ChildSeg and then moving from one child to the next using SiblingSeg until the family is exhausted.

3g4a

SetSegLink(fromseg, toseg)

3g5

A "link" to toSegNum will be placed in the segment table entry for fromSegNum (which must not represent a file segment for implementation reasons). This has the following uses:

3g5a

(a) if fromSegNum represents a stack segment, and toSegNum a process data segment, then the stack segment will be linked to the data segment of the process (in the MPL sense) to which it belongs;

3g5a1

(b) if toSegNum is a code segment, and fromSegNum is a process data segment, then this associates the code segment with the process.

3g5a2

ReadSegLink(fromseg)

3g6

Returns the segment number to which SegNum contains a "link" (set by SetSegLink).

3g6a

## Implementation

4

## Tables

4a

## Core allocation table

4a1



## MPS segmentation system

Entry per virtual memory page giving:	4a1a
caseg -- segment number if occupied, 0 otherwise.	4a1a1
casa -- address of segment on data file.	4a1a2
When try to swap in a segment, use this table to find an adequate number of adjacent free pages.	4a1b
Also used to map virtual memory addresses to segmented memory addresses.	4a1c
Segment table	4a2
One entry per segment, containing:	4a2a
sttype -- type of segment, (free, data, file, window)	4a2a1
stloaded -- true if segment swapped in to the virtual memory	4a2a2
staddr -- if stloaded then VM address of segment, else datafile address.	4a2a3
stsize -- size of segment in pages for window segment, in words for all other types	4a2a4
stfile -- handle on segment in file system if file segment, segment number of associated segment if stack or data seg, of directory if window segment	4a2a5
plus several other fields, most of which are used to show relationships between segments and are used by the symbol table machinery.	4a2a6
Symbol table	4a3
Data file	4b
Management of storage on the file	4b1
Large segments -- size $\geq$ one page	4b1a

19734 Distribution  
Jeanne B. North,

protocol documents on-line?

alex:

i am preparing to send out an rfc making three points.

1) my address is changed to mitre.

2) telnet options with subnegotiation now must use SE and double IAC if it occurs as a parameter byte.

3) that new protocols must be submitted as on-line documents. the value of this requirement is pointed up by the editing job required by fixing up the existing telnet options.

do you have any comments?

--jon.

1

19735 Distribution  
Alex A. McKenzie,



MSP ?

nancy:

i hear that you might be expermenting with the message switching host to host protocol in a few months. i hope it is true. the msp should be tested and compared to the existing protocol. i will be very interested in the results, and may even be able to participate in th experments.

1

19736 Distribution  
Nancy J. Neigus,

MITRE-TIP Network Participants (In your IDENT Subsystem)

Marcia,

Pursuant to your most recent SNDMSG note to me, the following information should be useful.

In keeping with the NLS philosophy, we maintain the Ident record for MITRE-TIP in a current state. If individuals in MITRE-TIP do not indicate mailing address, it is because they desire the default mailing address of MITRE-TIP to which they belong. In some instances, a different address is indicated (as in the case of John Morgenstern).

The MITRE-TIP record in your indent submode has no "dead-wood", that being a concept that I am not familiar with. I will continue to maintain this record in a current state so that you may simply use it for your compilation of the Participants Directory (or is that somehow an independent process?)

Incidentally, several weeks ago, I added Jon Postel to MITRE-TIP ident record and it mysteriously vanished; how can that happen? I have re-inserted his ident therein where it belongs.

Hope this helps,

Jean

1

19738 Distribution

Marcia Lynn Keeney, Jonathan B. Postel, Susan S. Poh,



The week in review for the 7-13 shows that you used 6.3 hours CPU in 31 hours connect, nearly 10% of the system with a ration of cpu to connect of 4! Can that be true? If so how did you do it?!

1

19739 Distribution

N. Dean Meyer, Beauregard A. Hardeman,

(suggestion)

1

This is a formal suggestion that I would invite you to consider. I notice that often when journal mail is delivered, that at least the following attributes are prevalent:

1a

When I employ the RD subsystem at ISI (this subsystem is employed by a number of people) that journal forwarded mail appears as one message. That is, several journal items are amalgumated into a single message. This is undesirable since through RD, an index to messages is provided for selective management of the messages. It would be better to format each as an individual message.

1a1

For some items of journal mail, forwarded through the net, the subject associated with the message is not present; if you could somehow manufacture a subject (and this could be done), it would facilitate management of the messages by a large number of users who employ RD and use the indexing feature as a way of selectively administering their messages.

1a2

Some forwarded journal items consist only of links (pointers) to the actual text. This makes such submissions of dubious value to the user who has his Journal mail forwarded for convenience. I believe there may be reason to consider a user option to forward the "pointed to text".

1a3

To ensure better user utility from NLS, I would strongly recommend your adding a viewspec for Journal Items such that when the viewspec were invoked, a printout of initial file branch .1 or .2 with added viewspecs "xmb" would result in an index to items where each one were augmented with a subject. I believe this would render a real service to users.

1b

Thank you for your consideration of these suggestions, Jean

1c

19740 Distribution

Douglas C. Engelbart, Jim O. Calvin, James C. Norton, Mil E.  
Jernigan, James E. (Jim) White, Susan S. Poh, Jonathan B. Postel,

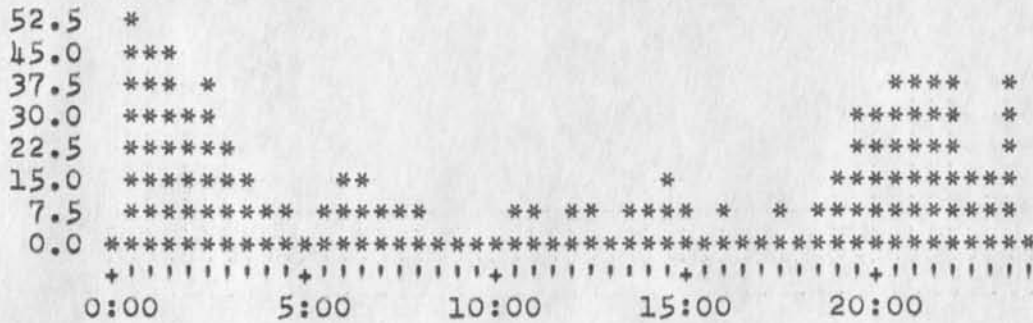


## Superwatch Average Graphs for Week of 10/8/73

## TIME PLOT OF AVERAGE IDLE TIME FOR WEEK OF 10/8/73

x axis labeled in units of hr:min, xunit = 30 minutes

1

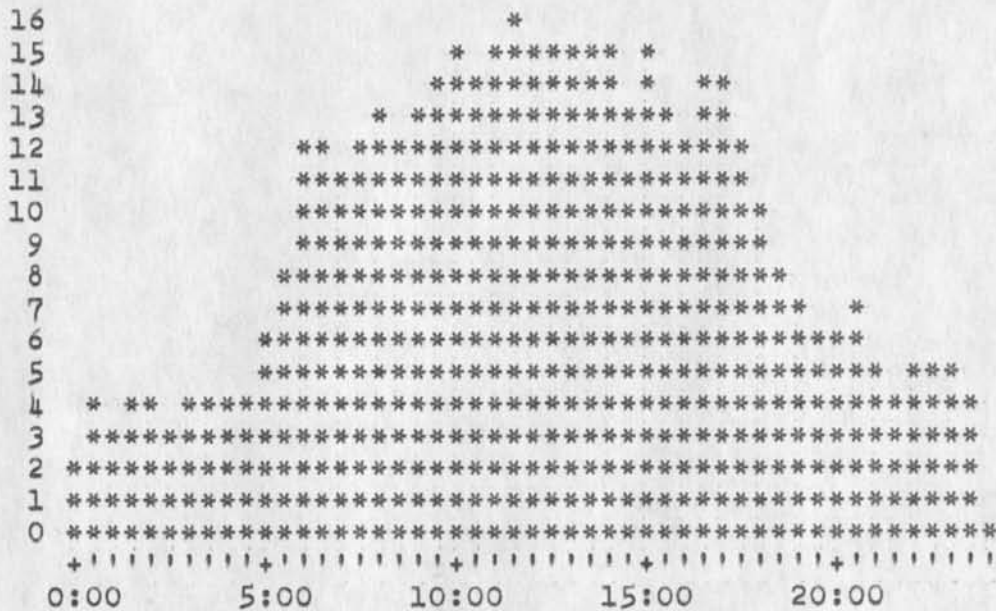


1a

## TIME PLOT OF AVERAGE NUMBER OF USERS FOR WEEK OF 10/8/73

x axis labeled in units of hr:min, xunit = 30 minutes

2

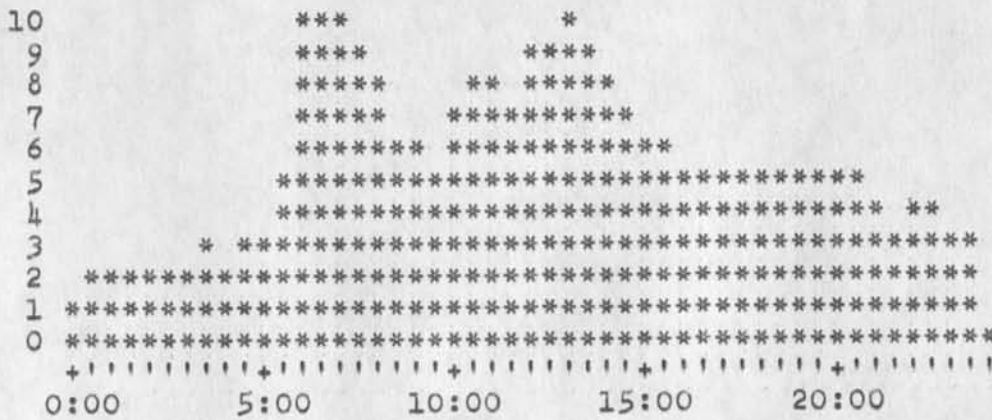


2a

## Superwatch Average Graphs for Week of 10/8/73

TIME PLOT OF AVERAGE NUMBER OF NETWORK USERS FOR WEEK OF 10/8/73  
 x axis labeled in units of hr:min, xunit = 30 minutes

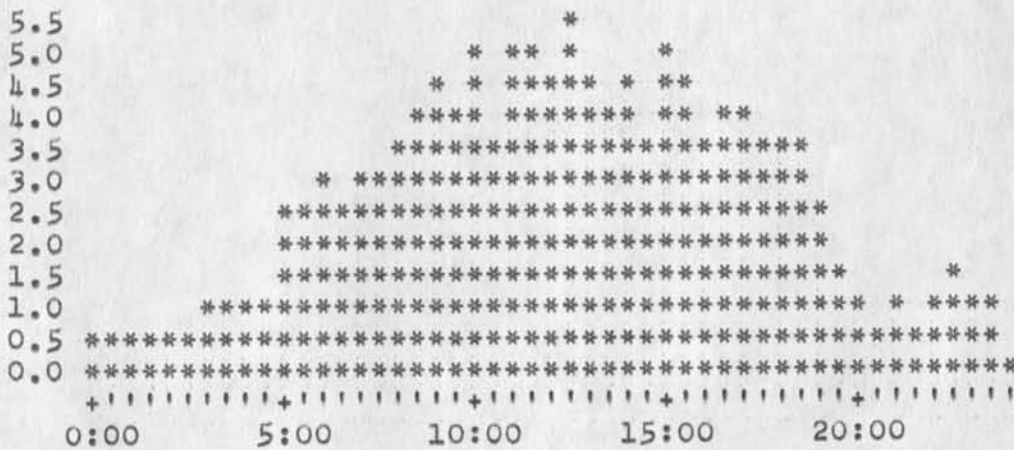
3



3a

TIME PLOT OF AVERAGE NUMBER OF GO JOBS FOR WEEK OF 10/8/73  
 x axis labeled in units of hr:min, xunit = 30 minutes

4



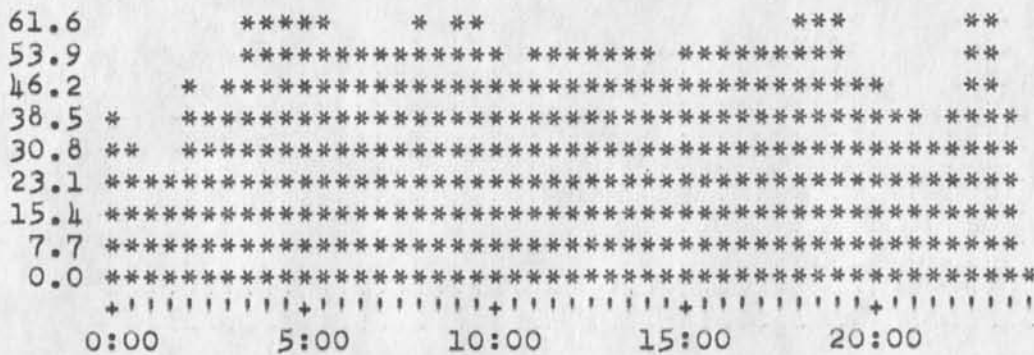
4a

## Superwatch Average Graphs for Week of 10/8/73

TIME PLOT OF AVERAGE PER CENT OF CPU TIME CHARGED TO USER ACCOUNTS  
FOR WEEK OF 10/8/73

x axis labeled in units of hr:min, xunit = 30 minutes

5

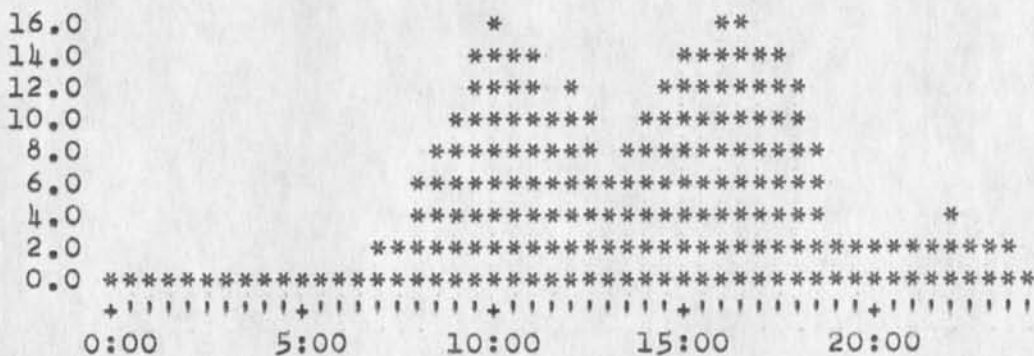


5a

TIME PLOT OF AVERAGE PER CENT OF SYSTEM USED IN DNLS FOR WEEK OF  
10/8/73

x axis labeled in units of hr:min, xunit = 30 minutes

6



6a

19741 Distribution

James C. Norton, Richard W. Watson, Douglas C. Engelbart, Paul Rech,  
Donald C. (Smokey) Wallace, Jeffrey C. Peters, Dirk H. Van Nouhuys,  
Elizabeth J. (Jake) Feinler, Charles F. Dornbush, Kirk E. Kelley,  
Duane L. Stone, Beauregard A. Hardeman,



System Utilization/Efficiency

Maybe I'm just super-frustrated today or maybe this is a valid item for HOTACTION.

## System Utilization/Efficiency

"The time has come," the Walrus said, "to talk of many things." One of these things is system use. We are walking a fine line between getting people on the system and the problem of getting the system to the people who need to use it. It is time to start thinking of the system for what it really is.... a very scarce resource that is limited and therefore must be used with care. We can no longer afford to let people use the system in any fashion just as long as they use it. If AKW is to really augment our effectiveness, it must be used in the right way (and not just as an on-line replacement for paper and pencil).

1

I would like to see some brainstorming done on ways to get the maximum out of the resource that we have ... my whole point being that the way we presently use the system is not very optimal. In any case some of my ideas follow and I would like to know what you think of them (not realistic, not necessary, etc.).

2

(1) Review the way RADC users work with the system - not with the goal of minimizing their use but rather with the viewpoint of maximizing their throughput on the system.

2a

This should be a natural extension to any training program anyway. I've seen many people stumble around for tens of minutes trying to do something with the commands they knew that could have been done with one instruction if they only knew it. It might well be worth the effort to have some 'expert' sit down with the new users and maybe suggest better ways to do things. I'm sure we could all profit by something like that.

2a1

It would also pay to have USER-GUIDES Manuals available and encourage people to try out new things, like the different forms of addressing. I, for one, don't know where I could get my hands on one of those user manuals and it becomes pretty difficult to refresh your memory or try to find a better way to do something.

2a2

(2) Revamp the use of output processing (which I think is widely misused and inefficient).

2b

Limit its use to relatively smaller files during the week, saving the larger ones for nights or weekends.

2b1

Promote the use of ODT which I think is faster and places less of a drain on the system. Besides, if we are supposed to be on-line, I would think we shouldn't have to rely on hardcopy so much.

2b2

Create the ability to specify what files you want to make sequential but delay the actual processing until a later time

## System Utilization/Efficiency

during non-peak hours and without the stipulation that the person be logged in.

2b3

Allow use of the printer at night or on weekends - maybe even automatically; that is, without the person having to be logged in.

2b4

(3) Promote Expanded ( or rather initial) use of DEX

2c

(4) Set up an internal time-limit policy so that when a potential user is refused a LOGIN he can link to the person on the top of the connect time queue and ask him to log out. A policy like this might help force people to make better use of the time that they will have on the system and at the same time insure that people won't be locked out completely as happens today.

2d

19743 Distribution

Edmund J. Kennedy, Duane L. Stone, James C. Norton, Roger B. Panara,



DNLS Users Beware!!!!

After another day of asking people to use the command GUFFS, there has been response from four people, and I don't think this will give us a reasonable look at command frequency.

1

Unless an enormous amount of negative feedback (or other such good thing) is directed my way tomorrow (Friday), I plan to add the code to NLS which will automatically create QBVM files for everyone every time they quit NLS.

2

It will take longer to quit, logout, etc. but this test will only be run for a short time and I hope this will not be too great an inconvenience.

3

I will collect the data so the individual user has to do only 2 things:

4

(1) Do not delete the QBVM files unless its absolutely necessary

4a

(2) Whenever possible, end a DNLS session by using the command Execute Logout rather than logging out of the exec.

4b

Your cooperation will be appreciated (assuming I get it!) and if you have any problems or questions let me know.

5

#### 19745 Distribution

Donald C. (Smokey) Wallace, Richard W. Watson, Don I. Andrews,  
A. Jim Blum, A. Analysis, Meredith(Reddy) E. Dively, Jeanne M.  
Leavitt, Rodney A. Bondurant, Jeanne M. Beck, Mark Alexander Beach,  
Judy D. Cooke, Marcia Lynn Keeney, Carol B. Guilbault, Susan R. Lee,  
Elizabeth K. Michael, Charles F. Dornbush, Elizabeth J. (Jake)  
Feinler, Kirk E. Kelley, N. Dean Meyer, James E. (Jim) White, Diane  
S. Kaye, Paul Rech, Michael D. Kudlick, Ferg R. Ferguson, Douglas C.  
Engelbart, Beauregard A. Hardeman, Martin E. Hardy, J. D. Hopper,  
Charles H. Irby, Mil E. Jernigan, Harvey G. Lehtman, Jeanne B. North,  
James C. Norton, Jeffrey C. Peters, Jake Ratliff, Edwin K. Van De  
Riet, Dirk H. Van Nouhuys, Kenneth E. (Ken) Victor

NP for SNDMSG, User Program INMES, and round-trip conversion between  
NLS and sequential files

Time for more dialogue re improved facilities for NLS support of  
composng, sending, and managing SNDMSG traffic?

NP for SNDMSG, User Program INMES, and round-trip conversion between NLS and sequential files

I regularly use INMES, and appreciate it very much. Have one thought about improvement, as discussed below, but also think general dialogue about further NLS support for SNDMSG traffic is relevant now.

1

My Need/Possibility (NP) item stems from the way I have come to deal with my own SNDMSG traffic:

2

I compose many of my SNDMSG transmittals in NLS, do Output Sequential, and send the resulting XMES.TXT file using ↑B in SNDMSG. I usually set my NLS viewspecs at wynB -- I like the paragraphing and between-paragraph line gaps that result. (Also, from DNLS I often set Goto Display Format Characterize 2, to get short lines that won't later wrap around.) Many of these transmittals are addressed to myself, to give me a record -- and thus get ingested back into NLS with the next INMES batch.

2a

My questions come from looking at the resulting format in NLS after INMES operates on the MESSAGE.TXT file; the format niceties are destroyed. I also notice that the paragraph formats are messed up in the other SNDMSG items brought in through INMES. Are we ready now to consider some further refinements in the NLS/SNDMSG coordination, perhaps in INMES?

3

Can INMES, for instance, do statement breaks when it finds blank-line separations?

3a

Could we perhaps recommend best VSPEC settings for outputting to Sequential, perhaps then reasonably provide new conventions in INMES processing such that the roundtrip through SNDMSG and INMES would preserve the "paragraphing" structure?

3b

How soon will "Execute Journal" have a form of "submit SNDMSG" that uses more or less standard Journal submission procedures (including use of a Command Form statement)?

3c

Might a special version of Output Sequential (e.g. Output SNDMSG?) be provided, to help the round-trip process?

3d

We might usefully consider some of the tricks that were once used when we had the equivalent "round trip" need for NLS material on the CDC 3100. Good-quality printout then was done by outputting to paper tape, and running the tape through a paper-tape-reading modified Selectric typewriter. We wanted to use the paper tapes as backup storage, so we could read them back in later if the online file got messed up.

4

We established conventions for having the "output to paper tape"



NP for SNDMSG, User Program INMES, and round-trip conversion between NLS and sequential files

process artfully insert non-printing characters in the paper tape, that didn't affect the printed page, but that removed ambiguities for the read-in processor. For instance, page headers and footers were tagged this way, to be ignored by read-in; also, any EOL characters that formatted within a statement were differentiated from EOLs that marked inter-statement separation; and leading-SP characters in a line that were only for indentation were differentiated from leading SPs that were included in the NLS statement's formatting.

4a

It wouldn't hurt to have some SNDMSG text be shipped around with a few extra non-print characters to provide us with such tagging.

4b

[General Note: Guess round-trip from NLS to any sequential form might similarly consider using special conventions of this sort.]

4c

It might be appropriate now to canvas other SNDMSG/NLS users, who want to compose and manage their SNDMSG traffic in NLS, for other ideas and possibilities to improve the process; any development and experimentation that experienced parties can get done, before we face the problem of moving Utility clients onto NLS, would be worth considering.

5

19746 Distribution

Nps Np, Richard W. Watson, Charles H. Irby, Richard W. Watson, N.  
Dean Meyer, Duane L. Stone, James H. Bair, James C. Norton,

Jeanne,

Please, at your earliest convenience, insert: (mitre-tip,protocol,) and (jernigan,aloha,) in the October ARPANET Newsletter update. Branch .1 in the former is unfortunately in Output Processor format as it was submitted; I would appreciate your reformatting it (thanks jeanne).

1

19747 Distribution

Jeanne B. North, Mil E. Jernigan, Jonathan B. Postel, Nancy J.  
Neigus, David H. Crocker,



DVN 17-OCT-73 20:24 19748  
JAG 19 OCT 73 12:31PM

When I came to ARC I inherited a number of files from the previous technical writer, Dave Csares. This was the most interesting.

AN ESSAYE ON BEANS AND RICE, BEING AN ORGANICK EXPRESSION OF A  
PATCHWORK HERITAGE ROOTED IN LATIN AMERICA AND REFLECTING THE  
NUTRITIONAL VALUES THEREOF AS AFFECTED BY THE NORTH-AMERICAN  
EXPERIENCE; OR, SYNCRETISTIC FRIJOLOGY REVEAL'D

1

The discussion is restricted to black beans. Needless to say  
there are many other kinds, but as each must be prepared in a  
different manner, a complete discussion will have to be done by  
someone else with more time and a greater catholicity of culinary  
experience. The black bean, with rice, is a major staple of the  
Costa Rican and Brazilian diets and is also used in other  
countries. The Chinese make a preparation of fermented black  
beans with capsicum, but that is a horse of a different garage;  
likewise, the Japanese sell heavily salted black beans in plastic  
bags, but this practice must, for the moment, remain inscrutable.

2

The important thing to remember about black beans is that they  
have a lot of flavor and will soak up a lot more from whatever  
you cook them with. Accordingly, you want the flavors you add to  
be strong ones. Another thing to remember is that cooking time  
is highly unpredictable, being a function of the particular crop  
of beans, the hardness or softness of the cooking water, and the  
other things in the pot with the beans. The best cooking method  
is to start by soaking the beans overnight.

3

Get the following ingredients together (all measurements are very  
rough-- good luck):

4

2 pounds of dried black beans

4a

This is enough to make a big cauldron of beans. Co-op has  
them in the Palo Alto area; elsewhere, try a Mexican or  
Puerto Rican market or bully your local supermarket into  
getting some.

4a1

2 or 3 onions

4b

3 or 4 leeks

4c

(Optional; use another onion if you can't get leeks.)

4c1

4 or 5 tomatoes

4d

3 or 4 large ribs of celery

4e

celery tops

4f

(Just the leaves, from 1 bunch of celery).

4f1

3 or 4 leaves of mustard greens	4g
(Optional)	4g1
half a bunch of parsley	4h
fresh garlic	4i
(Anywhere from 5 to 15 good fat healthy cloves.)	4i1
basil	4j
other herbs according to your idiosyncrasies	4k
red chili peppers	4l
(You can use the little dried ones -- anywhere from 1 to 3 broken up with a mortar and pestle).	4l1
2 cups orange juice	4m
sherry (NOT cooking sherry, just cheap sherry)	4n
(Optional)	4n1
beer	4o
(Optional)	4o1
meat	4p

The traditional Brazilian formula for feijoada calls for "19 different kinds of pork" -- ham, hog jowls, various sausages, pigs' ears, chitlins, bacon, salt pork, etc. You will probably want to simplify this, using the general principal of adding strong flavors to the beans. I recommend salt pork, bacon ends, ham hocks or butt ends (smoked if possible) Italian pork sausage (sweet or hot or both), and linguica. Don't omit linguica.

4p1

Use anywhere from 2 to 4 pounds of meat, including the bones, if there are any.

4p2

The night before you plan to eat the beans, put them in a BIG cauldron and add enough water to cover them, plus another 2 or 3 inches. Cover and set aside. Cut all the vegetables into small pieces and put them in another big cauldron. Add the garlic and red pepper. Don't peel the garlic, cut it up, mash it, or otherwise mutilate it. These are French practices. Just

separate the garlic into individual cloves, cut off the tough, fibrous ends, and throw it in. The flavor develops much better this way. Also, don't soak the peppers or any of that nonsense. Add some water to this cauldron, put it on the fire, and boil hell out of it until you are ready to go to bed.

5

In the morning, you will find that the beans have soaked up a lot of water. Reheat the vegetables, adding a couple tablespoons each of oregano and basil and other herbs if you're using them (bay leaves are good, preferably fresh ones). Add the vegetables to the beans, add water to cover if necessary, and bring to a boil.

6

While the beans are coming to a boil, cut the meat into appropriate-size pieces--bite-size where possible (BIG bites). When the beans boil, put the meat in. Add the orange juice, plus enough water to cover everything. Bring to a boil again, reduce heat, cover loosely (if you cover beans tightly they may boil over), and simmer.

7

The simmering will take several hours. Check the water level from time to time; add water as necessary to keep the level about half an inch above the beans. Stir occasionally.

8

After a couple of hours, add some salt and taste the liquid. Salt until it tastes about right. It takes a lot of salt. From here on out, keep tasting. Flavors are mixing and developing and you may have to add still more salt as the beans cook. When the beans begin to be about half-tender, you may start including some sherry and/or beer in the liquid that you add. When the beans are almost completely tender,

dip out about a cup of them, mash them with a fork, and put them back in. This will thicken the liquid. The beans will be done within about half an hour, if you have guessed right.

9

Serve with rice and sliced oranges.

10

(ERRORSTRINGS)

11



19748 Distribution

Kirk E. Kelley, Jeanne M. Leavitt, Jeanne M. Beck, Elizabeth J.  
(Jake) Feinler, Nancy J. Neigus, David H. Crocker, Ferg R. Ferguson,

Next Week a Mysterious File Will Appear In Your Directory if You Use  
DNLS

Next week Susan Lee is going to employ a system in NLS which automatically creates a file that lists the commands entered by each display user. (ljournal,19745,) She is surveying what commands people use in the hopes, for example, of changing the code to run most-used command smost efficiently.

1

To record the use, the system creates in your drectory a file named QBVM+your ident.

2

Althought we are not including network users in the survey, such a file will appear in your direcotry if you use DNLS. This jounral item is to tell you not to worry about it. You are welcome to print it to discover what you have done, or to delete it, or both.

3

The QBVM file is a little hard to interpret. If you do want to read it <ijournal,14170,> provides guidance.

4

19749 Distribution

Roberta J. Carrier, Donna R. Robilotta, David L. Daughtry, Richard H. Thayer, Frank J. Tomaini, Mike A. Wingfield, Edmund J. Kennedy, Ray A. Liuczi, Richard Calicchia, John W. Johnson, Donald Van Alstine, Dean F. Bergstrom, William P. Bethke, Frank S. LaMonica, William E. Rzepka, Rocco F. Iuorno, Frank P. Sliwa, Thomas J. Bucciero, Robert E. Doane, David A. Luther, Roger B. Panara, John L. McNamara, Joe P. Cavano, Duane L. Stone, Marcelle D. Petell, Josephine R. Stellato, Robert K. Walker, Thomas F. Lawrence, James H. Bair,

Jean, I just read u1 of the 10/73 arpanet news: now could you give that big discussion to Telenet, without mentioning that Larry Roberts is its new President? maybe in u2?? Mike Leavitt

1



19750 Distribution  
Jean Iseli,

Iseli: Keep up collaborative dialogue, but please use Journal

Jean: Regarding your SNDMSG:  
18-OCT-73 0057-EDT JC at CASE-10: Link  
cc: norton at NIC, victor at NIC

1

The substantive content of your memo is just the sort that we want to see, with respect to "collaborative dialogue" with knowledgeable users about system evolution. I'll let Ken and others respond to the content.

2

What I want to say here concerns the communications means for this type of dialogue. Collaborative dialogue, to support distributed participation in complex-system evolution, is exactly what we had in mind when building the Journal the way we did -- e.g. why we make a permanent, catalogued, retrievable and accessible copy -- that this sort of contribution would not be lost, that subsequent dialogue could be free to cite it knowing that any reader could get access to the cited reference, etc. Similar considerations here as for professional Journals, where careful attention to reference citation is urged, but where it is only cricket to cite articles that are accessible.

3

I urge you to contribute more often, and to feel free to browse through our Journal indexes and memo content wherever you find interest -- but please make your contributions through the Journal, o.k.?

4

And if you really want to be cooperative, please re-transmit your abovementioned (see, no linking possible to a SNDMSG??) memo via the Journal. Then if we want to reply, we can use links to cite specific passages, other interested parties in our design community can follow the dialogue, etc.

5

Thanks in advance; your participation is both solicited and welcomed.

6

Best regards, Doug

7

19751 Distribution

Jean Iseli, Richard W. Watson, Mil E. Jernigan, James C. Norton,  
Kenneth E. (Ken) Victor,

Response to your query on NIC and Mailbox Idents.

In response to your message, Marcia, Chris Reeve is CLR@70, Bill Long is WJL@70. Rich Guida has left organization, and Allan Oppenheim doesn't have a network mailbox here yet. Rest of the people are bonafide. Also Ed Fredkin doesn't have a Mailbox at our site.

1



Response to your query on NIC and Mailbox Idents.

(J19752) 18-OCT-73 17:23; Title: Author(s): Abhay K. Bhushan/AKB;  
Sub-Collections: NIC; Clerk: AKB;

● Your name has been added to FTPIG.

1

(J19753) 18-OCT-73 17:26; Title: Author(s): Abhay K. Bhushan/AKB;  
Sub-Collections: NIC; Clerk: AKB;

Keeping the EXAMPLE section of Help and the command summary current

To Dean,

Because of recent changes (per CHI) in commands, there will have to be some changes in the structure of the EXAMPLE section of Help. I am listing them here for you to make because they will screw up your hardcopy. There will be equivalent changes in the FUNCTION section, but I will make those.

Delete (Locked) from under (Jump)--command will not be implemented.

Move (Temporary) from under (Terminate) to be under (Reset)--syntax has also changed. This leaves only one thing for (Terminate), so move the example for Terminate Record UP one level to follow a CR after (Terminate).

Move (Modifications) from under (Retrieve) to be under (Undelete). This leaves only one thing for (Retrieve), so move the example for Retrieve Archived UP one level to follow a CR after (Retrieve), and change the second word "Archived" to "File".

I will continue to send you any changes to the structure of the EXAMPLE branch for you to make, until you tell me you're done with this round. As of 5 p.m. Thursday I will no longer make changes [syntax or anything else] to (userguides, commands)\* [Your hardcopy of Tuesday is outdated], but will copy it to the SYNTAX section of HELP and then keep up with all syntax changes in that branch. After you finish your first pass through the examples you could do a "SINCE" content analysis on SYNTAX in HELP to see what new changes are to be made. How will this work for you? Severe objections should get to me ASAP.

\* Note to DIRT people:

Version 93 should be the last version of the file (userguides, commands). Don't anybody make new ones. Save all edits you wish to do until after I copy it to the SYNTAX branch of (userguides, help) on Friday morning.



19754 Distribution

N. Dean Meyer, Dirk H. Van Nouhuys, Kirk E. Kelley,

DCE 18-OCT-73 18:35 19755

Analysis: Re (19745,2), throw the switch, invoke automatic QBVM  
recording

SPECIAL ATTENTION REQUESTED BY EVERY MEMBER OF ARC

Analysis: Re (19745,2), throw the switch, invoke automatic QBVM recording

Susan: Your data collection is an important function. I'm quite sure that the unresponsiveness to your requests is more because people are absent-minded about remembering to save their QBVM data than because of any possible feeling of rebellion or antipathy. Please do take the step of invoking automatic record keeping, without any qualms whatsoever. In fact, I'd guess that your resulting data would be worth a lot more by not being limited to the select work sessions where the user happened to remember.

1

PR, RWW, JCN, CHI: If there is any reasonable way for the systems programmers to make the record taking entirely automatic, so that Analysis can collect data no matter how the NLS user disengages from the system, I would explicitly and strongly urge this to be done.

2

I feel that it is dumb to waste valuable Analysis time trying to use awkward and limited means for gathering its data. There is far too large an investment of public resources in this AKW experiment (like about \$10 million in ARC over the last decade) to curtail the value derived due to unwarranted delicacy about any participant who objects to having his online behavior monitored. It would be like not being able to observe and record the astronauts' behavior as they did their work in their unique, experimental environment.

3

It would seem to me that the monitoring data would be more meaningful if taken when the user wasn't aware -- i.e., when his behavior is not specially affected by any concern about whether or not he is being monitored.

4

Therefore, I hand to Analysis the mandate to monitor the working behavior of ARC staff, within the ARC working environment, whenever and however it feels the need. ARC staff should assume that their working behavior, within our "Knowledge Workshop environment," may be being monitored by our Analysis group at any time.

5

Everyone working in ARC is assumed by me to have volunteered, when he joined us, to be part of the bootstrapping experiment. If anybody on the ARC staff seriously objects to the foregoing practise, I want him to straighten out the matter with me immediately?

6

NOTE: Non-ARC users are not assumed to have such a working agreement. If we would want personal monitoring data from their online work, we will have to make appropriate agreements with them.

7

19755 Distribution

Donald C. (Smokey) Wallace, Richard W. Watson, Don I. Andrews, Duane L. Stone,  
Bonnar Cox, A. Jim Blum, A. Analysis, Meredith(Reddy) E. Dively,  
Jeanne M. Leavitt, Rodney A. Bondurant, Jeanne M. Beck, Mark  
Alexander Beach, Judy D. Cooke, Marcia Lynn Keeney, Carol B.  
Guilbault, Susan R. Lee, Elizabeth K. Michael, Charles F. Dornbush,  
Elizabeth J. (Jake) Feinler, Kirk E. Kelley, N. Dean Meyer, James E.  
(Jim) White, Diane S. Kaye, Paul Rech, Michael D. Kudlick, Ferg R.  
Ferguson, Douglas C. Engelbart, Beauregard A. Hardeman, Martin E.  
Hardy, J. D. Hopper, Charles H. Irby, Mil E. Jernigan, Harvey G.  
Lehtman, Jeanne B. North, James C. Norton, Jeffrey C. Peters, Jake  
Ratliff, Edwin K. Van De Riet, Dirk H. Van Nouhuys, Kenneth E. (Ken)  
Victor



I-D-S in the RADCMIS

There is a file entitled <bethke>radcmis that you should read in your spare time. I have a hard copy if you desire it. Also you may attempt to print <bethke>ppmis.1;1 , that way you get your own hard copy or "hard" he-he-he. Note .. the file is not repeat not complete.

1

19766 Distribution

Frank J. Tomaini, Joe P. Cavano, Edmund J. Kennedy, Roger B. Panara,

I-D-S in the RADCMIS

(J19766) 19-OCT-73 05:07; Title: Author(s): William P. Bethke/WPB;  
Distribution: /FJT JPC EJK RBP; Sub-Collections: RADC; Clerk: WPB;

## Protocol Documents Online?

Jon,

My only objection to your "three things" is the point about protocol documents required to be on line. I probably shouldn't object, since there are obvious advantages, but I suspect that such a decision will take me out of the protocol business, since I can only type with one finger and the secretaries here either can't or won't use computer systems much. There is also the problem of putting "pictures" on line. Finally, even if I were to try typing in the TELNET documents (say), it's just about impossible to get a connection to the NIC opened, and kept open; This morning I am in at 8:30 and got only an "offquota" login, and if I leave my TTY for more than 5 minutes they will log me out, giving me my next chance to do anything useful tomorrow.

Regards,

Alex

1



19767 Distribution  
Jonathan B. Postel, Jeanne B. North,

Protocol Documents Online?

(J19767) 19-OCT-73 05:55; Title: Author(s): Alex A. McKenzie/AAM;  
Distribution: /JBP JBN; Sub-Collections: NIC; Clerk: AAM;

Alex,

The confusion stems from the fact that long, long ago I discovered that I had a NIC Ident of KP, without anyone asking me what my NIC Ident should be. It seems that I once showed up at a Network meeting, and signed a piece of paper "Ken Pogran"; it took me two years to get the NIC to change my long-form name to "Kenneth T. Pogran" and my IDENT to KTP. But Marcia Keeney tells me that they are winning in that both the old and new Idents work. Don't ask me how!

Regards,  
Ken

1

19768 Distribution  
Alex A. McKenzie,



(J19768) 19-OCT-73 06:48; Title: Author(s): Kenneth T. Pogran/KTP ;  
Distribution: /AAM ; Sub-Collections: NIC; Clerk: KTP;

ARPANET Newsltr October Update Comment

Reference: #19750

Mike: JBN inserted the article you mentioned. In a discussion with Jeanne, we decided that since we had, through the on-line interview with Dr. Larry Roberts previously announced his new appointment, that it would possibly be inappropriate to alter the article that JBN extracted to form the referenced update. If you feel the judgement should be reconsidered, I am sure that we would be pleased to reflect that in the update.

Thank you very VERY much for your interest in the Newsletter and contribution towards its improvement. Jean

1

19769 Distribution  
Jeanne B. North, M. R. Leavitt

ARPANET Newsltr October Update Comment

(J19769) 19-OCT-73 07:41; Title: Author(s): Jean Iseli/JI;  
Distribution: /JBN (thought this is the response we should give, hope  
you agree) MRL; Sub-Collections: NIC; Clerk: JI;



Response to (19743,) NLS Crunch

Some comments on (19743,)...NLS is a scarce resource, but also an experimental one. It's unstable and inconsistent from a service standpoint, which just adds to the limitations on available connect time. Hopefully the utility will clear up this part somewhat, but with the increased user population we will soon be faced with the same problem again. Now seems a good time to start a dialogue on the subject.

1

(1) Review the way RADG users work with the system - not with the goal of minimizing their use but rather with the viewpoint of maximizing their throughput on the system.

2

Your suggestions in this area deal with "advanced" training and system documentation, both a necessity if users are going to improve their proficiency in using the system. Of course, improving a person's proficiency may lead to INCREASED use of the system, rather than reduced use. We should find some way to pass on goodies we have learned to others. One possibility is to have a regular (weekly??) NLS users meeting, in which users would exchange discoveries they have made.

2a

(2) Revamp the use of output processing (which I think is widely misused and inefficient).

3

My understanding of the output processor is that the CPU resources are about the same, regardless of whether it is ODT or ODP. The connect time is less for ODT, providing the file is only a couple of pages. I'm sure there is a crossover point for total connect time required to print a file, and eventually the ODP method would win. We could make a few runs and find out where this is for different load conditions.

3a

I have found that the need for paper grows as the file grows. I have to stop and print things out every once in a while when the doc gets over 4-5 pages, to see if the flow and continuity are still there. Also scanning for typos, misspellings etc is easier on paper (and probably reduces system connect time compared with doing it on-line).

3b

We now have a user program which can produce print files from journal items (JPRINT) submitted the previous day. We could run this early each morning and give hard copy to the author. For drafts which the author is unwilling to submit to the Journal, we could work out a similar procedure, where they would leave the file name they wished printed in some common/open file. Bobbie or Anne could then have the job each morning of running JPRINT and of making hard copy of the additional files in the open file. This might be a good first step toward a PSO (People Support Organization) like SRI has.

3c

Response to (19743,) NLS Crunch

I think the best we can do with the line printer on weekends and at night is to post instructions on the 8090 on how to fire up the tape unit, line printer and 8090. Its not really that complicated. When the new line printer gets here, this problem should go away. If you know you will be here at night now, see Red Moran, and he will keep the 8090 and tape unit up for you.

3a

(3) Promote Expanded (or rather initial) use of DEX

4

I agree!! Until a couple of weeks ago there was no DEX available over the network. I'm still not sure that there is, but Dirk sent me a message to try it again. I will try to do this soon.

4a

(4) Set up an internal time-limit policy so that when a potential user is refused a LOGIN he can link to the person on the top of the connect time queue and ask him to log out. A policy like this might help force people to make better use of the time that they will have on the system and at the same time insure that people won't be locked out completely as happens today.

5

I'm not really in favor of setting up a time limit policy. At what level would you set the limit? I would support the idea of internal bargaining, however. One should not "give up" when he gets the "NO NEW LOGINS ALLOWED" if he has legitimate work to do (particullarly if he has time constraints). Barginning should b encouraged and expected--the rule, not the exeception.

5a

19770 Distribution

Joe P. Cavano, Edmund J. Kennedy, Roger B. Panara, Edward F. LaForge,  
Frank J. Tomaini,

Response to (19743,) NLS Crunch

(J19770) 19-OCT-73 07:50; Title: Author(s): Duane L. Stone/DLS;  
Distribution: /JPC EJK RBP ELF FJT; Sub-Collections: RADG; Clerk: DLS;  
Origin: <STONE>WALRUS.NLS;1, 19-OCT-73 07:48 DLS ;



NLS Collaboration, et al

Reference: #19751

Doug: Thank you very much for your considerate esponse. It will be my destinct please to use the Journal for the type of collboration indicated.I have begun to do that /recent submission of some recommendations for SNDMSG augmentation and NLS augmentation is an example/ and very much appreciate the opportunity to comment in this fashion.

As a positive suggestion on how such collaboration might be further encouraged, it might be worth some consideration in assuring that all submitted journal items are acknowledged in some form. I do not mean, in any way to be picky or snippy, but I have noticed in the past that submitted items have gone unanswered....As I am sure you will agree, this acts as a negative incentive to future submissions.

Thank you again Doug, I hope that the on-going dialog will be of some small assistance in the evolution of NLS. ....Jean

1

19771 Distribution  
Douglas C. Engelbart, James C. Norton,

NLS Collaboration, et al

(J19771) 19-OCT-73 07:50; Title: Author(s): Jean Iseli/JI;  
Distribution: /DCE JCN (fyi); Sub-Collections: NIC; Clerk: JI;

INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

Prepared this paper under some time pressure, in three nights. I stole heavily from Cavano, Bair, Norton, etc.



## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

## INTRODUCTION

The importance of managers to the American economy and society has been emphasized in countless articles and books. He has been observed, studied, analyzed, synthesized, and counseled by experts in every field from theology to computer sciences. Yet his unique characteristics are hard to find. A good manager, it seems, can be identified but cannot be quantified. Likewise, his job and his manner of accomplishing it defy detailed description. Despite this lack of rigorous definition, information scientists and managers alike have felt for the past 10-15 years that the productivity of managers, and hence of those he manages, could somehow be improved by the application of a marvelous new tool called the computer.

This paper examines some of the initial attempts to automate the manager, the problems encountered, and current trends in machine aided management. A specific combination of philosophy and emerging technology; which the author believes has the greatest chance of revolutionizing the managers' job, is briefly described. Some preliminary findings are reported from a study of a government R&D office where the technology was implemented; along with their potential impact on the manager, his workers and their relationship within the organization.

## BACKGROUND

During the Industrial Revolution businesses were small enough to be run by one individual who did all the necessary jobs of buying, selling, planning, and accounting. All the basic data and information needed for the successful completion of these duties was assimilated by the individual entrepreneurs and any problem that emerged could usually be handled by him alone.

In essence, each individual was an integrated data processing system who was the central source of information[2]. Based on his interpretation of his data, he established policies, made plans and arrived at decisions. As organizations grew ever larger and more complex and competition became keener, the amount of information became too big for one person to handle. It became necessary to involve additional people and delegate authority. Employees were hired and given responsibilities in the functional areas such as finance, production, and engineering. Soon the entrepreneur had to rely on colleges and universities to train his people. The result of this process was the construction of walls, both visible and invisible, about the various functions that made up the organization. The walls around a function such as planning not only served to keep people from production or engineering out but also made prisoners of the planners themselves, isolating them from the very people they were planning for. Differences in jargon further served to isolate

## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

the people from each other, and communication became more difficult at a time when it was necessary for people of differing specialities to work together on the same problem. Sometimes this caused functions to lose sight of their original purpose of serving the total organization and they began to see themselves as complete entities independent of everyone else.

Today these functional boundaries are a vital part in any organization structure (a glance at any organization chart shows how prevalent this is). Ironically, these boundaries are not necessarily logical but simply evolved from the way they were originally perceived in the organization. Too often we find the computer perpetuating these arbitrary breakouts by being applied to help only within a particular isolated function or only on one aspect of a problem.

In a modern organization the fragmentation due to delegation of authority and separation of duties is something that may have to be put up with in order to get anything done in an operational sense. Hopefully, as advanced technology is applied more and more, the basic structure of the organization itself will change and adapt itself to take advantage of the new tools that are emerging. Nevertheless, top management still needs to see a unified picture of the total organization and feels they need to see it right now.

In the early 60's the idea of a general purpose Management Information System (MIS) was born. It was based on the computer, which had made great strides forward in the previous decade in the processing of non-nummerical data.

## ENTER MIS

The ultimate goal of an MIS would be to present a unified view of the organization and its problems. The information system would cut across all the individual functional areas that existed in any particular company. It would seek to emulate an individual entrepreneur by being a central depository for all the data of the organization. It would accomplish this by providing integrated non-redundant information, by incorporating techniques of management science and by responding in a timely manner to ad hoc requests for information.

An MIS would provide management with the data they need to coordinate their activities, allocate resources (people and money) and control operational plans and schedules. To do this, the information system would provide management with unbiased information on the status of personnel and the current financial condition of the organization in a near real-time manner. Managers could then establish sound

## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

policies and be made aware of shortcomings within the organization as they occurred and not after the fact.

At the same time the system should not be used to generate information indiscriminately. In our "information-rich" society the necessary information needed to solve a particular problem is usually buried with so much other extraneous data that it cannot be used effectively. Therefore, another prime goal of a MIS would be to deliver only the data that is needed; that is, the minimum information necessary for the problem at hand.

## PROBLEMS WITH MIS

It appears that the information scientists over estimated both their capabilities and their understanding of the manager's job. As a result there have been a number of attempts at building MIS's for higher level management which have been less than successful, particularly in supporting the manager in his policy making and planning roles. In retrospect, some of the more out standing reasons for "under achievement" were:

the disjointed development of isolated subsystems, which were often incompatible with each other and merely fortified the organizational barriers extant between personnel, training, R&D, purchasing, etc.

the assumption that with enough diligence, anything is programmable. The complexity of some of the resulting systems was so great that they seldom worked for more than short periods of time. The programming teams that built them were subject to the same communication problems that any working group would have; with one vital exception. When they failed to communicate adequately, the system did not just slow down; it stopped altogether.

the use of the systems approach, on a "system" which could not be bounded. The manager's needs changed while the system was being constructed. It was not so much that the systems approach was inappropriate, but that the designers failed to include adaptability as one of their system design criteria. The result was often an inflexible system, delivered several years after the original analysis was made, which no longer meet the manager's needs.

the collary of the above was management's failure or unwillingness to involve themselves in all phases of system design and analysis. Too often the manager was more than willing to step aside and let the "whiz kids" construct the system for him.



## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

the failure to recognize a dichotomy that exists in most organizations. The people in the lowest levels of an organization generate most of the data that enters the MIS yet they have the least need for information generated by it. Each successive level in the hierarchy produces less and less data but they have correspondingly greater information needs. Finally, at the very top of the organization almost no new data is generated. A situation arises where the individuals who must input the data to the MIS are the least motivated to keep it accurate and up-to-date. As a result, we find the reliability of the data decreasing with time and a corresponding decline in the validity of decisions made on the basis of this data.

the failure to recognize the central fact of uncertainty in a manager's job. A manager's job has been described as making decisions under conditions of uncertainty. If there was no uncertainty in a specific decision-making activity, a routine could be established that would lay out what actions to perform depending on the given conditions and anyone could accomplish this. In some cases, it would not be necessary to invest money in a manager at all. By this definition a manager should apply his energy toward those efforts or tasks that are new. Since there is by definition no prior experience to rely on in a novel situation, no one could predict exactly what kind of information would be relevant to this new problem. Therefore, the information system cannot provide all the information needed because the manager, himself, cannot identify in advance what kind of information he might want. If a easy way to communicate his information needs to his subordinates is provided the manager, however, some of the limitations of the MIS can be overcome.

PROBABLY MOST IMPORTANT was the lack of an overall philosophy of management and technology to guide system design and development. It was necessary to first recognize that a manager was just a special subclass of the more general class of people in this country called "knowledge workers". It was also necessary to realize that an evolutionary, incremental approach to system development must be taken in a situation like the managers', where information needs and technology are both changing rapidly.

It now appears that both the philosophy and technology are coming into focus, which will allow successful integration of previously isolated information systems into a cohesive whole. The philosophy is best expressed in the writings of Peter Drucker and the technology is emerging at Stanford Research Institute (SRI) [10].

## PHILOSOPHY

The importance and implications of the idea of knowledge work have



## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

been described by Drucker [4,5]. Considering knowledge to be the systematic organization of information and concepts, he defines the knowledge worker as the person who creates and applies knowledge to productive ends, in contrast to an "intellectual" for whom information and concepts may only have importance because they interest him, or to the manual worker who applies manual skills or brawn. In those two books Drucker brings out many significant facts and considerations highly relevant to the theme here, one among them (paraphrased below) being the accelerating rate at which knowledge and knowledge work are coming to dominate the working activity of our society:

In 1900 the majority and largest single group of Americans obtained their livelihood from the farm. By 1940 the largest single group was industrial workers, especially semiskilled machine operators. By 1960, the largest single group was professional, managerial, and technical -- that is, knowledge workers. By 1980 this group will embrace the majority of Americans. The productivity of knowledge has already become the key to national productivity, competitive strength, and economic achievement, according to Drucker. It is knowledge, not land, raw materials, or capital, that has become the central factor in production.

In his provocative discussions, Drucker makes extensive use of such terms as "knowledge organizations," "knowledge technologies," and "knowledge societies." It seems a highly appropriate extension to coin the phrase "knowledge workshop", just another name for the place in which knowledge workers do their work. Knowledge workshops have existed for centuries, but only recently has special emphasis been placed on their systematic improvement, toward increased effectiveness of this new breed of craftsmen.

## TECHNOLOGY

The visible components of the technology are the time-shared computer (allowing a number of persons to simultaneously share a computer's vast resources in a real time manner) and the effective networking of dissimilar computers [7,11]. The less visible side is the recognition of the common "core" of activity carried on daily by knowledge workers, regardless of their speciality.

To illustrate this later point, if you asked a particular knowledge worker (e.g., scientist, engineer, manager, or marketing specialist) what were the foundations of his livelihood, he would probably point to particular skills such as those involved in designing an electric circuit, forecasting a market based on various data, or managing work flow in a project. If you asked him what tools he needed to improve his effectiveness he would point to requirements for aids in

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designing circuits, analyzing his data, or scheduling the flow of work.

But, a record of how this person used his time, even if his work was highly specialized, would show that specialized work such as mentioned above, while vital to his effectiveness, probably occupied a small fraction of his time and effort.

The bulk of his time, for example, would probably be occupied by more general knowledge work: writing a planning or design document; carrying on dialogue with others in writing, in person, or on the telephone; studying documents; filing ideas or other material; formulating problem-solving approaches; coordinating work with others; and reporting results.

The Augmentation Research Center (ARC) at SRI has been working for some years to develop a general purpose information system that would augment the common core activities of knowledge workers[3]. It has been more successful than other similar attempts because of its underlying philosophy and because of its development strategy called "bootstrapping".

Bootstrapping implies a pragmatic, evolutionary development process; where the developers of the system also used the system to further develop it. If newly developed capability was insufficient, just window dressing or otherwise fell into disuse, its deficiencies were soon noted by the developing group at the ARC and the new feature was eliminated, modified or upgraded.

The development of the system has progressed to the point where it is now being used by small groups around the country as their primary medium for conducting their daily business. It contains a host of tools, procedures and methodology embedded in a consistent command language; which allow one to accomplish most of his daily communication and documentation activities. Some of the more important communication features include:

linking..the ability to connect one's terminal to another (or several) and hence to carry on a "converation" between the linked parties.

message distribution..allows the immediate distribution of messages to one or more individuals.

shared files..allows two or more people to read and write on the same file, thus facilitating recorded dialog.

shared screens..allows two people to work on and view the same file at the same time. This mode of communication is often

## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

further augmented by a telephone voice link between the two parties.

journal...allows one to send any document (from one word to several hundred pages) to any recognized user of the system. A reference to the document is delivered on-line or the entire document is delivered off-line via a remote printer or the US mails. The document is automatically indexed by author, number and keyword in the title. The indices are also available on-line and off-line for subsequent searching.

Documentation capabilities include:

text editing...a full range of text editing features are available to the user.

viewing...the text is arranged in an hierarchial fashion and a number of special views of the document one is preparing or studying can be easily obtained based on the structure of the document.

formatting...several hundred directives are available for the users to format his document for publication. Printing can be done on any conventional teleprinter terminal, on a highspeed line printer, or on a phototypeset machine, where controls over font, charactersize, columns, etc. are possible.

Concurrently, a second technology has been developed which allows the interconnection of dissimilar computers. It has been supported by the Advanced Research Projects Agency (ARPA) and is called the ARPANET. There are currently over 40 computer sites in the US and 3 overseas, representing all the major computer manufacturers, linked together.

This makes it possible to connect programs together which are running on different computers located at different geographic sites. This means that one no longer has to replicate software, hardware and expertise on site before he can use some newly developed information system. One need only provide a software interface from the general purpose information system to the more specialized (and more powerful in its domain) information system.

Examples of such special purpose systems available on the ARPANET include:

a DELPHI system at the Universtiy of Southern California, which allow the rapid collection of expert judgements in a formal manner. [12,13]



## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

a powerful 3 dimension graphics capability at the University of Utah, which can assist in the design and analysis of complex 3D objects.

a computer based instruction program at the Bolt, Berranck & Neuman company in Boston, which allows an instructor to insert course material and students to be taught in an on-line interactive tutoring mode.

a general purpose MIS capability at the Systems Development Corp., which allows one to experiment with setting up his own MIS files and query language.

## CAN IT WORK

What does this all mean to a manager of the future? What impact might this technology have on the individual worker, the group, the manager, the organization, the society?

Will it (as its proponents proclaim) actually increased communication and at the same time reduce error, promote management by objective, improved the efficiency of individuals, groups and organizations, reduced administrative overhead? Or will it prove to be another expensive venture into the information science world that costs more than its worth?

Until this year, one could only speculate how well this technology might fair in competition with more conventional means of conducting business. The Rome Air Development Center (RADC) has started a two year project to evaluate the benefits and costs of this new technology. It has set out to answer 4 questions:

1. Can this technology be introduced at all into a "conventional" office environment. If so, what are the problems and pitfalls one should try to avoid?

To date the technology has only been used in a very special environment at the ARC. The people are primarily computer people and are highly screened and very motivated in their work. The principle users of the system are its developers, so why shouldn't they be able to make it work to their advantage?

2. What are the benefits and cost savings that might be associated with use of this technology in a government R&D office?

This question has to address the difficult problem of measuring knowledge workers' productivity.



## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

3. What are the costs of the system and where are tradeoffs possible?

There will be human costs as well as the more conventional dollars and cents costs[14].

4. Is it worth it to make computer mediated human communication a way of life?

This is a value judgement, which must be made after all the evidence is in.

The first phase of the evaluation has been completed and the tentative answer to question #1 is yes. This yes is based on the experiences of the Augmented Knowledge Workshop (AKW) team, which are covered in a detailed study by one member of the team[1]. Since the essence of question #1 is people and their reactions to this technology, the data collection instruments were adapted from the field of Psychology. The six used were:

- (1) a chronicle of comments and unstructured participant observation,
- (2) case study descriptions,
- (3) structured, but open-ended interviews,
- (4) a controlled attitude questionnaire,
- (5) a content questionnaire and
- (6) a tally of communication transactions.

Because the study had to be conducted within a working environment, it was by necessity a descriptive field study. The study covered a 6 month time period and include 20 participants (a complete section and extension to the branch and division offices in the chain of command). Where appropriate, comparisons were made with an organizational unit of similar size and with a common manager. For an overview of the organizational landscape see appendix 2.

## INITIAL FINDINGS

## GENERAL

The most outstanding finding was the personal attitudes toward the introduction of the technology. They were shifted toward the negative side, despite the fact that the subjects worked in a computer sciences R&D group. The range was from extreme

## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

apprehension at the thought of having to deal with a computer to one of acceptance as a challenge. There was no significant correlation with education, job position, age, sex, or prior experience with a computer. In the opinion of the experimenters, there was a correlation with a person's general personality.

It would pay any organization contemplating the introduction of a technology which affects the everyday activity of everyone in the organization, to precede it with a through indoctrination program. The philosophy behind the introduction of this technology, what benefits should accrue to the individual and the organization, and a empathetic attitude of management toward the problems of learning should be made clear.

## TRAINING

Training was conducted over a week period, in groups of 5-8 people. It was followed up by private tutoring at the request of the student. A period of voluntary use of the system was instituted for 1 month. At the end of that time all students in the section were requested by their supervisor to use the system exclusively for communication and documentation tasks.

The system was more of a burden to people than a help until they attained a certain level of proficiency. The rate at which they attained this minimal level was directly correlated with the product of the length of time they had been exposed to the system and the intensity of use during that exposure period. The intensity of use in turn was influenced by the above mentioned attitudes, by peer group pressure, and finally by management pressure.

A second level of proficiency was noted, where the system was essentially transparent to the user. At this level people are doing on-line composition and prefer typing themselves, because of the flexibility they have to change and rearrange text as they are thinking about it.

Managers must expect to allocate a substantial portion of their workers' time to training, before the system starts to pay-off. They must also be willing to spend an equal, if not greater amount of their own time in training.

The training program should be intensive in the beginning, ie do not expect the worker to also carry on his regular job during the initial training period. On-the-job training will procede indefinitely, primarily through personal contacts with coworkers.

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## WORKERS

The majority of workers, even those with initially negative attitudes toward the technology, accepted the system as a way of life by the end of the six month period. The time to acceptance was a function of a person's rate of attainment of proficiency with the system.

The majority of workers perceived improvement in the quality and quantity of their work. The system improved the response time of individuals to routine tasks greatly.

The immediate perceived benefit of the system to the worker is that it gives him access to management that he never had before. One would think that the worker might be more guarded in his comments to management, since they are written and perhaps stored in the computer forever for all to see. The opposite seemed to be the case, perhaps because of the novelty of the technology or perhaps because the general air of informality the system seemed to generate.

## GROUPS

Inter group communication was increased, intra group communication was increased only slightly.

Informal geographically seperated groups are formed to attack a common problem. This is possible only because of the ARPANET technology.

Dont' look to technology alone to solve the intra group communication problem. It will facilitate it simply because it is easy to do, but will not guarentee it.

Expect informal groups to arise, which may never meet each other. They will be brought together by complementary needs and experteze. Its a way to get "free" consultant services, but can add to a manager's problems in keeping his people focused on the task at hand..

## MANAGERS

There was no relation between the level of a manager in the hierarchy and acceptance and use of the system.

If a manager did not use the system, for whatever reason, he was bypassed by the worker and lower levels of management. The use of a secretary to act as a surrogate system user, is of marginal use in reversing this trend.



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If a manager is contemplating introducing this type of technology into his environment, he had better be prepared to participate whole-heartedly, or he may find himself cut out of the mainstream of his organization's thought.

In the authoritative-to-participative continuum of management style, the use of this technology will force a manager toward the participative end, whether he likes it or not. If he ignores feedback (which he will get even though he may not have solicited it), he will be by-passed or ignored.

## COMMUNICATION

The frequency and breadth of communication of those using the system the most was substantially greater than those using it little or not at all. The number of different people and groups communicated with and the number of levels in the chain of command communicated with was greater for those using the system.

The system was used for social as well as work communication. There was a slight decrease in face-to-face communication for the users of the system.

Traditionally, there is a trade-off between two-way and one-way communication. One-way is faster, but more error prone. Two-way is slower but more accurate. The use of the system seems to eliminate this traditional trade-off; indeed, the notion of one-way and two-way is not too meaningful within the context of this technology. The system tends to be an equalizer and lower the barrier between management and worker. One manager in particular, took a lot of ribbing because of his disregard for the rules of punctuation and grammar.

## MOTIVATION

Use of the system is self motivating when the second level of proficiency, mentioned in the training section above, has been reached.

There was a noticeable change in the work habits of the more proficient users of the system. They started coming in early in the morning, working through lunch hour, staying late at night and working on weekends. The system is more responsive at these times, which apparently motivates proficient users to use it at these times. The additional work hours obtained from the proficient and highly motivated workers was an unexpected benefit to the organization.



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People tend to become dependent on the system as indicated by this quote from one of the more avid fans of the system.

"When the system is flying so am I! This causes a noticeable change in my behavior. I am extremely reluctant to break for lunch, social conversation, coffee, the 5:00PM whistle or weekends. I smoke more (unconsciously). This is making me an emaciated, constipated, emphysematous, introvert; who is neglecting his family."

He offers the following analysis using some learning theory concepts. He states that the use of DNLS is self--reinforcing because it is immediate, happens often, and happens at the level at which the behavior occurs. Less frequent, but perhaps more powerful reinforcement is obtained from coworkers -- "Oh I didn't know you could do that!" -- which gives one a feeling of being on top of things, one-up-man-ship, superiority, etc.; and it is obtained from bosses in a similar way. In addition, the ability to respond quickly, often before the question is asked, engenders admiration.

## SUMMARY

If the findings of this study and the writings of the authors listed below are any indication, the manager of the future can expect to operate in an environment permeated with on-line, real-time networks of computers. One will find computers used more and more to augment human communication [8,9]. Indeed, in the foreseeable future, this will be the primary use of computers, with access to other more traditional systems being made from the communication computer.

The manager should be able to use this tool to practice participative management, without the traditional loss of organizational efficiency. When faced with difficult decisions, the manager will have a much broader and deeper base of talent upon which to draw, because of his easy access to internal and external expertise through the computer. His workers may not all be there during any given working day; some will be working from home, some will be coming in late or early. Less emphasis will be placed on number hours behind the desk and more on productivity and results.

Traditional office tools (desks, filing cabinets, typewriters, pencil and paper) will be replaced by consoles. Large screen displays will replace flip-charts, viewgraphs and blackboards as briefing aids in the conference room [6].

More importantly, managers will have to be even more aware of and

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sensitive to the perceptions and attitudes of their employees as this technology ushers in the "brave new world".

## INCREASING THE PRODUCTIVITY OF THE KNOWLEDGE WORKER

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19772 Distribution  
Edmund J. Kennedy,

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(J19772) 19-OCT-73 08:27; Title: Author(s): Duane L. Stone/DLS;  
Distribution: /EJK; Sub-Collections: RADG; Clerk: DLS;  
Origin: <STONE>PAPER.NLS;3, 19-OCT-73 08:15 DLS ;

L10 problem program 10/19

I'm trying to get this program to branch on the value of siml, so that the same statements will be replaced by \*\*\*\*\* or #####s depending on how my IF statement is set up. I know there are other ways to do this but right now I would appreciate it if you could tell me why this program doesn't work when siml does not equal 1 (it works alright if siml were 1 and branches to the THEN statement. But when I think it should be going to the ELSE part, the file is returned exactly as it is without #### being inserted. I hope this makes sense to you and that you can straighten me out.

L10 problem program 10/19

PROGRAM condense

DECLARE TEXT POINTER p1,p2,p3,p4,p5,p6,p7,p8,p9,p69;

DECLARE STRING txt="\*\*\*\*\*";

DECLARE STRING sub = "#####";

DECLARE sim1 ;

DECLARE sim2 = 2;

DECLARE sim3 = sim1;

DECLARE sim4 = "jonum";

(condense) PROCEDURE;

LOCAL array[100];

sim1 ← sim2 ;

IF sim1 = 1 THEN

IF FIND NP ENDCHR ↑ p1 THEN

BEGIN

ST p1 ← \*txt\*;

RETURN (TRUE)

END

ELSE

IF FIND NP ENDCHR ↑ p1 THEN

BEGIN

ST p1 ← \*sub\*;

RETURN (TRUE)

END;

RETURN (TRUE);

END.

1

1a

1b

1c

1d

1e

1f

1g

2

2a

2b

2c

2c1

2c1a

2c1b

2c1c

2c1d

2c2

2c2a

2c2a1

2c2a2

2c2a3

2c2a4

2c3

2d



19773 Distribution  
N. Dean Meyer,

L10 problem program 10/19

(J19773) 19-OCT-73 08:51; Title: Author(s): Joe P. Cavano/JPC;  
Distribution: /NDM; Sub-Collections: RADG; Clerk: JPC;

Count us in for QBVM data collection

We at RADC would be most interested in DNLS user statistics collected via QBVM. If you can conveniently include us in your analysis, please feel free to do so. We would be interested to see how our use of DNLS compares with other types of users at the ARC.

1

19774 Distribution

Douglas C. Engelbart, Edmund J. Kennedy, Dirk H. Van Nounhuys, Susan  
R. Lee,



Count us in for QBVM data collection

(J19774) 19-OCT-73 09:05; Title: Author(s): Duane L. Stone/DLS;  
Distribution: /DCE EJK DVN SRL; Sub-Collections: RADG; Clerk: DLS;

## Comments on analysis

I think an automatic analysis of how users use NLS and related systems could provide some very interesting material from which to draw conclusions and do not object at all to having my sessions analyzed. However, I would like to suggest that this analysis be given a proper perspective by having the user of NLS possibly input personal comments about his type of usage, or by having a comparison of different types of users and jobs, or possibly having a comparison of outside and inhouse use, tnls vs dnls, etc. (I am sure Analysis has several schemes of there own.) I do object to this information being pesented on a personal basis in some instances, such as person y is on the system constantly and person x is not, therefore y is a better nls user than x. My feeling is that a person is a good user of nls if he can quickly and easily get his work done with it, and nls will be a good system if it allows the user to do his work quickly and easily. We should get away from the game of 'look how many routines I have memorized' and exchange it for a game of 'look how few effective routines I need to get a job done well'. This will give us real payoff, in my opinion, and I am sure that the work of the analysis group will contribute significantly to this effort.

JAKE

19775 Distribution

Douglas C. Engelbart, Richard W. Watson, Paul Rech, Susan R. Lee,  
Michael D. Kudlick,

Comments on analysis

(J19775) 19-OCT-73 09:31; Title: Author(s): Elizabeth J. (Jake)  
Feinler/JAKE; Distribution: /DCE RWW PR SRL MDK; Sub-Collections:  
SRI-ARC; Clerk: JAKE;



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